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PATENT COOPERATION TREATY

PCT

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 9099.21P.WO	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/US00/08310	International filing date (day/month/year) 29/03/2000	Priority date (day/month/year) 29/09/1999
International Patent Classification (IPC) or national classification and IPC A61B5/00		
Applicant SICEL TECHNOLOGIES, INC et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 7 sheets, including this cover sheet.

This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 5 sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

Date of submission of the demand 17/04/2001	Date of completion of this report 30.11.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Abraham, V Telephone No. +49 89 2399 7463



**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/US00/08310

I. Basis of the report

1. With regard to the elements of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

Description, pages:

1-59 as originally filed

Claims, No.:

1-25 as received on 20/09/2001 with letter of 18/09/2001

Drawings, sheets:

1/27-27/27 as originally filed

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- the language of publication of the international application (under Rule 48.3(b)).
- the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- contained in the international application in written form.
- filed together with the international application in computer readable form.
- furnished subsequently to this Authority in written form.
- furnished subsequently to this Authority in computer readable form.
- The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- the description, pages:
- the claims, Nos.:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/US00/08310

the drawings, sheets:

5. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):
(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)
see separate sheet

6. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.

claims Nos. 1-15, 17-25.

because:

the said international application, or the said claims Nos. 1-15 relate to the following subject matter which does not require an international preliminary examination (*specify*):
see separate sheet

the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 17-25 are so unclear that no meaningful opinion could be formed (*specify*):
see separate sheet

the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

no international search report has been established for the said claims Nos. .

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

the written form has not been furnished or does not comply with the standard.

the computer readable form has not been furnished or does not comply with the standard.

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N) Yes: Claims

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/US00/08310

	No:	Claims	16
Inventive step (IS)	Yes:	Claims	
	No:	Claims	16
Industrial applicability (IA)	Yes:	Claims	16
	No:	Claims	

**2. Citations and explanations
see separate sheet**

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:
see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

Reference is made to the following documents:

D1: US-A-4 571 292 (LIU ET AL.) 18 February 1986
D2: WO-A-98 58250 (GROSS ET AL.) 23 December 1998

I

1. The amendments filed with the letter dated 18.09.2001 introduce subject-matter which extends beyond the content of the application as filed, contrary to Article 34(2)(b) PCT. No basis can be found in the application for a computer program product comprising a computer readable storage medium having a computer readable programm code embodied in the medium as defined in claims 1-15. As a consequence under Rule 70.2(c) PCT the present report is established on originally filed claims 1-15 and on claims 16-25 filed with letter dated 18.09.2001.

III

1. According to Article 34(4)(a)(i) PCT and Rule 67.1 PCT no international preliminary examination is required to be carried out on claims 1-15 of the present application, because the subject-matter of these claims relates to a method for treatment of the human or animal body by surgery. The claimed method includes the step of in vivo generating a constituent element of an environment proximate to the sensor electrode, for example by electrolysis of body fluid, which is regarded as being of surgical nature. Furthermore, the surgical step of implanting the in vivo sensor system is implicitly included in the claimed method.
2. The subject-matter of independent claim 16 as far as being understood (see paragraph VIII below) is not new (see paragraph V below).

Due to the plurality of claims directly depending on claim 16 it is unclear in which direction the application may go. The various definitions of the subject-matter given in claims 17-20, 22, 24 and 25 which relate to entirely different aspects of the application, do not allow to correctly identify "the claimed invention" on which an opinion should be based in the sense of Article 33(1) PCT.

This makes it difficult, if not impossible, to determine the matter for which protection is sought. Due to this lack of clarity (Article 6 PCT) no further examination can be carried out on the claims 17-25.

Furthermore, the requisite unity of invention (Rule 13.1 PCT) no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the claims directly depending on claim 16.

V

1. The application does not meet the requirement of Article 33(2) PCT, because the subject-matter of claim 16 as far as being understood (see paragraph VIII below) is not new.

Document D1 which is at present considered to represent the most relevant prior art discloses the following:

An electrode assembly (Fig. 3-6) comprising:

a substrate (15),
a generating electrode (14)... having a first shape that defines an interior region (Fig. 3-6),
a sensor electrode (12), spaced apart from the in vivo generating electrode, having a second shape and positioned in the interior region in non-contacting relationship with the generating electrode (Fig. 3-6).

As disclosed in document D2 it is possible with a conventional electrode arrangement comprising a working-, reference- and counter-electrode to generate oxygen by means of electrolysis in the proximity of the working electrode (page 29, lines 18,19). Accordingly, the arrangement of electrodes as shown in D1 is suitable for carrying out the self-calibrating process of the present application and electrode (14) can be regarded as a generating electrode.

VII

1. The independent claim should have been drafted in the two part form in accordance with Rule 6.3(b) PCT, with those features known in combination from D1 being placed in the preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).
2. The features of the claims should have been provided with reference signs placed

in parentheses (Rule 6.2(b) PCT).

3. According to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 and D2 should have been mentioned in the description and these documents should have been identified therein.
4. The last paragraph of the description on page 56, lines 1-14 implies that the extent of protection may be expanded in a vague and not precisely defined way. Such formulations are not allowed (see PCT Guidelines C III 4.3a).
5. The units [inch] and [mmHg] employed throughout the description are not additionally expressed in terms of the units stipulated by Rule 10.1(a) PCT.
6. Not all examination authorities accept the formulation "*incorporated herein by reference*" used in the present application on page 4, lines 15,16.
7. The reference to the unpublished patent applications on page 1, line 3 and on page 4, line 9 is not regarded as being part of the disclosure and should have been replaced by the corresponding published document, the PCT Guidelines, II-4.18.

VIII

1. Claim 16 does not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The claim attempts to define the subject-matter in terms of the result to be achieved which merely amounts to a statement of the underlying problem ("the generating electrode is adapted to generate a constituent element of the environment" and "the sensor electrode is adapted to determine a level of the constituent element generated by the generating electrode"). Furthermore, the term "constituent element of the environment" is vague, unclear and has no well-recognized meaning. The intended limitations of the subject-matter of the claim are therefore entirely unclear.

For the assessment given in paragraph V above the unclear passages have not been taken into consideration.

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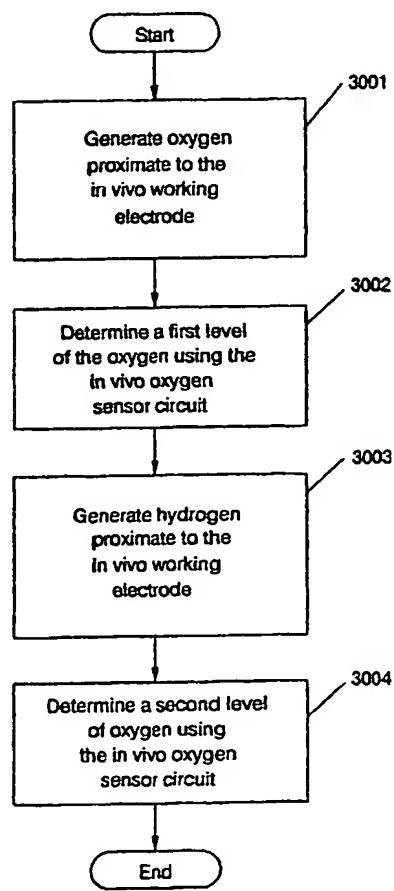
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[Continued on next page]

(54) Title: METHODS OF CALIBRATING IN VIVO SENSOR SYSTEMS USING IN VIVO GENERATING ELECTRODES AND RELATED DEVICES



(57) Abstract: Calibration of in vivo oxygen and pH- sensor systems can be performed by generating a constituent element of an environment proximate to an in vivo sensor electrode via an in vivo generating electrode and determining a level of the constituent element in the tissue via the in vivo sensor electrode. Accordingly, accurate monitoring of tissue can be achieved while reducing the need to calibrate the in vivo sensor systems using invasive procedures. Related electrode assemblies are also discussed.

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UA, UG, US, UZ, VN, YU, ZA, ZW.

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Published:

— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHODS OF CALIBRATING IN VIVO SENSOR SYSTEMS USING IN VIVO GENERATING ELECTRODES AND RELATED DEVICES

Related Applications

The present application claims priority from U.S. Patent Application No. 09/407,359 entitled "Methods and Systems and Associated Implantable Devices For Dynamic Monitoring of Physiological and Biological Properties of Tumors" filed on 5 September 29, 1999.

Field of the Invention

The present invention relates to in vivo sensors, and more particularly, to tissue-implanted devices.

10

Background of the Invention

The availability of a system and device capable of monitoring changes within any cell population of interest would be an important addition to the cancer treatment armamentarium and one that will fill a need by making available more precise 15 knowledge of the most sensitive time(s) for treating a tumor cell population. This vital information could aid in the delivery of highly specific individual treatment regime rather than the empirical and somewhat generalized treatment plans of today.

The *in vitro* study of malignant cell populations has established important general principles by which clinical treatment protocols are developed. These 20 principles have established differences between malignant and normal cell populations and have been employed in the treatment of malignant disease. There have been many attempts to exploit these differences, both in pre-clinical and clinical studies, in order to attempt to obtain total tumor cell kill and improved cure rates. One of the major obstacles in achieving this goal has been the difficulty in minimizing 25 normal tissue toxicity while increasing tumor cell kill (therapeutic index). Thus, presently, most treatment strategies employ an empirical approach in the treatment of malignant disease. That is, the timing of delivery and dose of cytotoxic agents are guided more by the response and toxicity to normal tissue than by the effects on the malignant cell population. A major deficiency of this empirical approach is the lack 30 of an efficient method or technique to provide accurate information on the dynamic changes during treatment (which can be extended over a long period of time) that occur within a malignant cell population. Making this invaluable information available to attending physicians can allow clinicians to exploit the revealed

differences between malignant and normal cells, and hence improve the treatment procedures, to achieve better outcomes.

Much of the research in tumor biology has been involved in exploring the cellular, biochemical, and molecular difference between tumor and normal cells in order to improve the therapeutic index. Early cell kinetic studies revealed that cancer cells do not divide faster than normal cells, but rather a larger proportion of the cell population is dividing (Young et al., 1970). At that time, the failure to cure more tumors was attributed to a variation in growth characteristics. In the 1980's, it was proposed that these failures were due to development of resistance of tumor cells through mutations of an unstable genome (Goldie et al., 1984). Later studies suggested that the mechanism for tumor cell survival rests on expression of a gene that codes for a specific protein that expels or extrudes the cytotoxic agents from the cell (Chaudhary et al., 1992). More recently, it has been suggested that resistance is related to dysregulation of the cell cycle which alters the rates of cell growth (Lowe et al., 1994). Additional factors associated with failure to eliminate or effect improved cure rate include hypoxic cell populations, cell proliferation variants, cell differentiation agents, and cell cycle sensitive stages. The ability to monitor these changes during and following any treatment could offer a more precise knowledge of the most sensitive portions of any cell population and aid in the delivery of a more individualized and less empirical or generalized treatment program.

There have been a number of attempts to study certain of the dynamic changes occurring within a cell population, but these attempts generally lack the ability to monitor the changes on a real time basis. Indeed, these methods typically provide information at one point in time and most are designed to provide information on one particular function or parameter. In addition, most of the conventional methods can be expensive as well as time consuming. This can be problematic for patients undergoing extended treatment periods typical of radiation and or drug or chemotherapy, especially when it is desirable to follow dynamic changes both during an active treatment and subsequent to the active treatment throughout a treatment period.

The most reliable current monitoring technique is the biopsy. A biopsy can be taken at any time and can provide significant amount of information. However, it is impractical to biopsy each day and, even if one could, the time delay created in performing the various tests on the sample means that the information received by the physician is not an accurate representation of the patient's current condition. In addition to biopsy material, the radiological techniques of NMR and PET scanning can obtain, respectively, specific biological (cell cycle phase) and physiological (phosphorus) information, but both are sufficiently expensive that repetitive or daily

information is rarely available. The radioactive labeling of specific antibodies or ligands is another available technique, but this method has many of the same problems noted above with the other assays.

In addition, over time, tumors progress through periods wherein they are less robust and, thus, potentially more susceptible to treatment by radiation or drug therapy. Providing a monitoring system which can continuously or semi-continuously monitor and potentially identify such a susceptible condition could provide welcome increases in tumor destruction rates. Further, especially for regionally targeted tumor treatment therapies, it can be difficult to ascertain whether the desired dose was received at the tumor site, and if so received, it can be difficult to assess its efficacy in a relatively non-invasive manner. Thus, there is a need for a monitoring system which can quantify and/or assess the localized or regional presence of a target drug.

Although much of the particular tumor-specific and/or internal systemic information which may definitively identify the most vulnerable tumor stage and, thus, the preferred active treatment period, is still relatively unsettled (as is the ultimate definitive cure or treatment protocol), various researchers have proposed several potentially important physiological and/or biological parameters such as oxygenation, pH, and cell proliferation which may relate to tumor vulnerability or susceptibility, and thus impact certain treatment strategies.

For example, in the article "Oxygen tension measurements of tumors growing in mice," it is proposed that it may be helpful to assess hypoxia in tumors during treatment. Adam et al., Int. J. Radiation Oncology Biol. Phys., Vol. 45, 1998, pp. 171-180. In addition, tumor hypoxia has been proposed to have an impact on the effectiveness of radiation therapy. See Seminars in Radiation Oncology, Vol. 8, 1998, pp. 141-142. Similarly, the authors of "Development of targeting hyperthermia on prostatic carcinoma and the role of hyperthermia in clinical treatment" note that there is a need for a way to assess temperature at the site of the tumor during therapy. Ueda et al., Jpn. J. Hyperthermic Oncol., Vol. 15 (supplement), 1999, pp. 18-19. Moreover, Robinson et al. opines that it is important to know the tumor oxygenation level and blood flow. See Robinson et al., "MRI techniques for monitoring changes in tumor oxygenation in blood flow," Seminars in Radiation Oncology, Vol. 8, 1998, pp. 197-207. Unfortunately, tumor oxygenation can vary and there is evidence to suggest that tumor oxygenation is in a continuous state of flux. See Dewhirst, "Concepts of oxygen transport at the microcirculatory level," Seminars in Radiation Oncology, Vol. 8, 1998, pp. 143-150. This flux makes a dynamic monitoring method important for identifying when the tumor oxygenation level is such that a more active treatment strategy may be desired. In addition, tumor pH has been suggested as an exploitable parameter for drug design for tumor treatments. See Leo E. Gerweck, "Tumor pH:

Implications for Treatment and Novel Drug Design", 8 Seminars in Radiation Oncology No. 5, pp. 176-182 (July 1998).

In the past, various biotelemetry devices and implantable sensors have been proposed to monitor cardiac conditions or physiological parameters associated with glucose or temperature. For example, U.S Patent No. 5,791,344 to Schulman et al. entitled "Patient Monitoring System," proposes a system to monitor the concentration of a substance in a subject's blood wherein one enzymatic sensor is inserted into a patient to monitor glucose and then deliver insulin in response thereto. Similarly, PCT US98 05965 to Schulman et al, entitled "System of Implantable Devices for Monitoring or Affecting Body Parameters," proposes using microsensors and/or microstimulators to sense glucose level, O₂ content, temperature, etc. There are also a number of implantable medical devices and systems which monitor physiological data associated with the heart via telemetry. One example of this type of device is described in U.S. Patent No. 5,720,771 to Snell entitled, "Method and Apparatus for Monitoring Physiological Data From an Implantable Medical Device." The contents of these applications are hereby incorporated by reference as if recited in full herein.

In addition, unlike conventional implanted sensors, tumor monitoring systems and/or sensors used to monitor tumors can be exposed to a relatively harsh environment during a treatment protocol or strategy which can extend over a period of weeks, or even months (such as applied heat, chemicals and/or radiation). Further, such a harsh environment, coupled with an extended treatment period, can affect the function of the device and thus, potentially corrupt the measurement data it generates.

Moreover, chronically implanted sensors can be susceptible to drift or loss of sensitivity over time. For example, the accuracy of some in vivo sensors may degrade due to bio-fouling and/or degeneration of the sensors or drift in the electronics of the sensor. Some conventional sensors are, therefore, calibrated using external devices. For example, some conventional sensors are calibrated using arterialisation of subcutaneous oxygen tension as discussed, for example in "Arterialisation of Transcutaneous Oxygen and Carbon Dioxide," Archives of Disease in Childhood, Vol. 63, 1988, pp. 1395 - 1396, by Broadhurst et al. Other sensors can be calibrated using a calibration reagent that is injected near the sensor as discussed, for example, in "In Vivo Evaluation of Monopolar Intravascular pO₂ Electrodes," Pediatric Research, Vol. 13, 1979, pp. 821-826, by Beran et al. The reagent may have a known composition which may allow the data generated by the sensor to be compared to an idealized value associated with the reagent.

Other conventional sensors can be calibrated by periodically analyzing tissue taken from near the sensor as discussed, for example, in "Continuos Comparison of In Vitro and In Vivo Calibrated Transcutaneous Oxygen Tension With Arterial Oxygen

Tension Infants," Birth Defects: Original Article Series, Vol. XV, No. 4, 1979, pp295-304, by Pollitzer et al. The data derived from the analysis can be compared to the data generated by the sensor. Unfortunately, these conventional calibration methods may be inaccurate or may involve frequent invasive procedures to perform the calibration.

5 In view of the foregoing, there remains a need for tumor monitoring systems and devices which can, *inter alia*, monitor the physiological and/or biological condition of a tumor during a treatment cycle to identify enhanced or favorable treatment windows to potentially increase *in vivo* treatment efficacy associated with such treatment.

10 There also continues to be a need for improved methods of calibrating *in vivo* sensor circuits and related *in vivo* devices.

Summary of the Invention

15 The present invention can provide improved methods of calibrating *in vivo* sensor circuits by generating a constituent element of an environment proximate to an *in vivo* sensor electrode via an *in vivo* generating electrode and determining a level of the constituent element in the tissue via the *in vivo* sensor electrode. Accordingly, long-term monitoring of tissue can be achieved while reducing the need to calibrate the *in vivo* sensor using invasive procedures.

20 In one embodiment, an *in vivo* sensor system can be calibrated by generating a first constituent element of an environment proximate to an *in vivo* sensor electrode at an *in vivo* generating electrode. A first level of the first constituent element is determined via the *in vivo* sensor electrode. A second constituent element of the environment is generated proximate to the *in vivo* sensor electrode at the *in vivo* generating electrode. Finally a second level of the second constituent element is determined using an *in vivo* sensor electrode.

25 In another embodiment, calibration is achieved by determining a relationship between a current in the environment and a third level of the first constituent element using the first and second levels of the constituent elements.

30 In another embodiment, the first constituent element is oxygen and the second constituent element is hydrogen.

In yet another embodiment, an *in vivo* oxygen sensor system can be calibrated by generating oxygen proximate to an *in vivo* sensor electrode at an *in vivo* generating electrode. A first level of the oxygen is determined via the *in vivo* sensor electrode.

35 Next, hydrogen is generated proximate to the *in vivo* sensor electrode at the *in vivo* generating electrode and a second level of the hydrogen is determined using the *in vivo* sensor electrode.

In still another embodiment, an in vivo pH sensor system can be calibrated by generating a changing H⁺/OH⁻ ion level proximate to an in vivo sensor electrode. A rate of change of a voltage is determined at the in vivo sensor electrode generated in response to the changing H⁺/OH⁻ ion level. Finally, a rate of change of the voltage associated with the changing H⁺/OH⁻ ion level is determined. In a further embodiment, the rate of change of the voltage is associated with a voltage level that is associated with a pH level.

In still another embodiment, an increase in OH⁻ ions and a decrease in H⁺ ions is generated proximate to the in vivo sensor electrode and a decrease in OH⁻ ions and an increase in H⁺ ions is generated proximate to the in vivo sensor electrode.

Brief Description of the Drawings

Figure 1A is a schematic illustration of a tumor monitoring system according to the present invention. The illustration portrays a real-time monitoring capability.

Figure 1B is a schematic illustration of an alternate tumor monitoring system according to the present invention. This figure illustrates an ongoing dynamic remote monitoring capability.

Figure 2A is a schematic diagram of a tumor monitoring system configured to relay real time tumor information during an active treatment session (shown as an electric field treatment therapy) according to one embodiment of the present invention.

Figure 2B is a block diagram illustrating a tumor monitoring system configured to relay information (real-time) during a hyperthermia and radiation treatment session.

Figure 3 is a block diagram of a method of monitoring a tumor undergoing treatment according to the present invention.

Figure 4 is a flow chart of a method to identify favorable and unfavorable treatment times according to the periodic (dynamic) monitoring of a plurality of tumor physiological parameters according to the present invention.

Figure 5 is a top view of an implantable biocompatible sensor according to the present invention.

Figure 6A is a top view of an alternative implantable biocompatible sensor according to the present invention.

Figure 6B is a side view of the sensor shown in **Figure 6A**.

Figure 7 is a side section view of an injectable microsensor according to the present invention.

Figure 8A is a section view of the sensor shown in **Figure 7** taken along line 8A-8A.

Figure 8B is a front perspective view of an alternative embodiment of an injectable microsensor similar to the embodiment shown in **Figure 7**.

Figure 9 is a schematic illustration of an implant sensor according to another embodiment of the present invention.

5 **Figure 10A** is a greatly enlarged cutaway front view of a mock implant of a pH sensor with a pH (ionophore) membrane according to the present invention.

Figure 10B is a side view of an alternate embodiment of a pH sensor (with iridium oxide).

10 **Figure 11** is a schematic illustration of an experimental setup used to evaluate an implant tumor sensor according to the present invention.

Figure 12 is a block diagram of a circuit for an implantable sensor according to the present invention.

Figure 13 is a graph of the operation of an exemplary transmitter according to the present invention.

15 **Figures 14A-C** are graphs illustrating transmitter operational parameters according to one embodiment of the present invention. **Figure 14A** illustrates capacitor voltage over time, **Figure 14B** illustrates control voltage over time, and **Figure 14C** illustrates an output voltage waveform.

20 **Figure 15** illustrates an IC block diagram according to one embodiment of the present invention.

Figure 16 is a pictorial representation of an IC layout corresponding to **Figure 15**.

25 **Figures 17A and 17B** are graphs of the results of IC prototype temperature experiments. **Figure 17A** illustrates temperature versus pulse width of data corresponding to a thermistor (with the chip inside a water bath of varying temperature). **Figure 17B** illustrates temperature versus pulse width of data corresponding to a fixed resistor (also with the chip inside a water bath of varying temperature).

30 **Figures 18A and 18B** are graphs of the results of IC prototype radiation experiments. **Figure 18A** illustrates pulse width versus radiation of data corresponding to the thermistor with the chip inside the water bath and exposed to radiation from about 0-8000 cGray (a patient is typically treated with radiation in the range of about 3000-6000 cGray). **Figure 18B** illustrates the data corresponding to the fixed resistor data with the chip inside the water bath and exposed to radiation from about 0-8000 cGray.

Figure 19A is a schematic illustration of a subject with monitoring system with two separate and spaced apart implant sensors positioned on two different tumors according to one embodiment of the present invention. The monitoring system

receiver can refocus to monitor both locations and transmit the data to a remote location.

Figure 19B illustrates an implant sensor with four sensor elements in position (*in situ in vivo*) according to one embodiment of the present invention. As shown, 5 two of the sensor elements are positioned at different surface locations on the tumor, while one of the sensor elements is positioned to penetrate a depth into the tumor. Still another of the sensor elements is positioned proximate to normal tissue that is proximate to the malignant tissue or tumor.

Figure 20 is a schematic illustration of a self-calibrating *in situ, in vivo* 10 microsensor.

Figure 21 is a photograph of a self-calibrating oxygen sensor.

Figure 22 is a section view of a self-calibrating combination pH and O₂ sensor.

Figures 23A-23C are side views of the sensor of Figure 22 illustrating a 15 fabrication sequence.

Figure 24 is a block diagram of an embodiment of an in vivo oxygen sensor system according to the present invention.

Figures 25A and 25B are schematic diagrams of in vivo oxygen sensor 20 circuits coupled to electrodes of in vivo oxygen sensor assemblies according to the present invention.

Figure 26 is enlarged illustration of a first embodiment of an oxygen electrode assembly according to the present invention.

Figure 27 is an enlarged illustration of a second embodiment of an oxygen electrode assembly according to the present invention.

Figure 28 is enlarged illustration of a third embodiment of an oxygen 25 electrode assembly according to the present invention.

Figure 29 is an enlarged cross-sectional view of an embodiment of a portion of an electrode assembly according to the present invention.

Figure 30 is a flow chart that illustrates operations of embodiments of oxygen 30 sensor assemblies according to the present invention.

Figure 31 is a block diagram of embodiment of an in vivo pH sensor system according to the present invention.

Figure 32 is an illustration of a pH titration curve according to the present invention.

Figure 33 is enlarged illustration of a first embodiment of a pH electrode 35 assembly according to the present invention.

Figure 34 is enlarged illustration of a second embodiment of a pH electrode assembly according to the present invention.

Figure 35 is a flow chart that illustrates operations of embodiments of pH sensor assemblies according to the present invention.

Detailed Description of the Invention

5 The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and
10 complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like elements throughout. In the figures, certain layers, regions, or components may be exaggerated or enlarged for clarity.

Generally stated, the systems, devices, and methods of the present invention are aimed at monitoring the changes in physiology and kinetics of living systems.

15 More specifically, the present invention's goal is to monitor at sufficient intervals (preferably semi-continuously, and more preferably substantially continuously) the changes in oxygen, pH, and cell proliferation of any organ or tumor system under "normal" physiological conditions, *in-situ*, as well as prior to, during and following any perturbation (such as radiation, chemical or cytotoxic stimuli and hyperthermia)
20 of such systems. As such, the monitoring systems and methods of the present invention can be useful in many applications, such as, for example, pulmonary, gastrointestinal, neuroscience and pre-clinical research. Nonetheless, the present invention has a particular importance and suitability to tumor systems. As such, the following description of preferred embodiments will primarily discuss the utilization
25 of the present invention for cancer applications.

As noted above in the Background of the Invention, most conventional cancer treatment strategies employ an empirical approach. That is, the timing and delivery of cytotoxic agents are guided more by the response and toxicity to normal tissue than by the effects on the malignant cell population. Thus, a major deficiency of this
30 empirical approach is the lack of an efficient method or technique to provide accurate information on the dynamic changes during treatment that occurs within a malignant cell population. Making this invaluable information available to attending physicians will allow them to exploit the revealed differences between malignant and normal cells, and hence improve the treatment procedures to achieve better outcomes.
35 Conventionally, the normal tissue surrounding the tumor governs the dose of radiation and the scheduling and doses of chemotherapy is most dependent on the tolerance of the patient's bone marrow. The primary reason for the lack of individualization of treatment is that there is presently no commercially viable means by which the basic

information on kinetics and physiology of the tumor can be obtained during and following treatment. A biopsy of the tumor will yield information at one point in time and therefore is valid for only that point in time. This static "snapshot" information may not be valid for predicting the cell kinetics, especially cell kinetics following perturbation by any cytotoxic agent.

There have been a number of attempts to study the dynamic changes occurring within a cell population. However, these lack the ability to monitor the changes on a real time basis. Instead, the conventional methods provide information at one point in time, most are designed to provide information on one function, and most are 10 expensive and time consuming, especially when one considers that it is important to monitor parameters before, during, and following treatment.

The major goal of cancer therapy is to eliminate all tumor cells. Knowledge of the specific change occurring within the tumor at substantially any time can be desirable in order to achieve maximum tumor cell kill and minimum normal tissue 15 damage. Cytotoxic agents are most effective at specific times and conditions of tumor growth. If the most vulnerable time of the tumor cells can be determined, *i.e.*, the time of maximum oxygenation or identification of an increase in cell proliferation associated with phases of the cell cycle, then this information can be used to direct the time of delivery and the choice of the cytotoxic agents.

Preclinical and clinical medicine are in need of information on the dynamic changes which occur in malignant tissue prior to, during, and following cytotoxic (active) therapy sessions in order to define more clearly the circumstances for increasing tumor response. Access to such information can allow for more precise timing of the delivery of cytotoxic agents as well as identifying the most appropriate 20 agent(s), *e.g.*, radiation or chemotherapy therapy. Conventional radiological investigations are limited by their ability to observe dynamic changes, although NMR and PET scan can identify some functional changes. The currently available anticancer agents, although effective in a limited number of tumors, are relatively ineffective in the majority of cancers. The instant invention recognizes that the 25 reasons for this lack of improvement in outcome are typically multifactorial and related in part to an inability to measure, *in situ*, the time profiles of the most sensitive parameters. These tumor parameters include one or more of, but are not limited to, the degree of oxygenation, pH, cell cycle phases, cell proliferation, and the molecular and cellular determinants of sensitivity or resistance to cytotoxic agents. The present 30 invention recognizes that the availability of such information and the ability to act upon such information can provide the means of overcoming a major barrier to improvements in outcome in cancer therapy. Further, it is believed that this newly provided information can create a shift in the therapeutic paradigm from empirical to 35

individual based therapy which can rely (at least in part) on the molecular and cellular properties of the individual patient's tumor.

Advantageously, the present invention now can provide information on the changes occurring during and after therapy which can be utilized to direct therapy and/or to monitor the effects of the therapy. This individualization of therapy can not only improve outcome but also decrease toxicity and morbidity of the treatment. That is, the information obtained on each patient's tumor can radically change the scheduling of therapy and result in an improved outcome. For example, patients can now be monitored from home, through telephone lines or some other remote interface, 10 to determine a favorable or most appropriate time for treatment

Thus, as noted above, the present invention is primarily directed to the *in vivo* evaluation and monitoring of tumors prior to, during, and subsequent to an active treatment, and preferably over an entire treatment regime or period. That is, the present invention is particularly suitable for monitoring the behavior of cancerous 15 tumors such as sarcomas and carcinomas over a particular non-remission treatment period. As such, the internal *in situ* sensors of the present invention are preferably configured to be biocompatible and provide a service life suitable for episodic treatment evaluation of at least about 4-6 weeks, and more preferably at least about 6-10 weeks, and still more preferably at least about 10-12 weeks, whether exposed to 20 radiation, chemotherapy, heat or ionic electric fields (such as the treatment provided by a Thermotron®) directed to the tumor. The sensors and preferred tumor monitoring parameters will be discussed further below.

Turning now to **Figure 1A**, a real-time tumor monitoring system 10 is illustrated. As shown, the tumor monitoring system 10 includes an *in situ* sensor unit 25 positioned in a subject 20 proximate to a tumor 25. Preferably, as is also shown, the sensor unit 50 includes a plurality of sensor elements 51 positioned at different locations on and/or into the tumor 25. It is preferred that the sensor elements 51 monitor more than one physiological parameter or a selected physiological parameter associated with the tumor at more than one position in, on, or about the tumor as will 30 be discussed further below. The sensor unit 50 is configured with a telemetry link 60 to wirelessly communicate with an externally located receiver 75. The receiver 75 includes a computer interface 76 and is operably associated with a physician interface module 80 such as a display monitor associated with a central processing unit, computer, or other computer means to allow physician access to the monitored data. 35 As shown, the physician interface 80 is a laptop or other mobile/portable computer means to allow a physician instant access to the substantially real-time monitored tumor parameters.

Figures 2A and 2B illustrate exemplary applications of real-time evaluations according to the present invention. **Figure 2A** illustrates using the monitored parameter(s) of the tumor during a hyperthermia therapy session (such as via Thermotron® device) to control the length, power, field strength, or polarity of the treatment. This control can be provided because the real-time monitored data associated with at least one tumor parameter can provide feedback on the actual treatment penetration depth (via temperature or other parameter) at the tumor itself. Alternatively, the information regarding the condition or behavior of the tumor may suggest another treatment would be more beneficial, or even that further treatment would not be beneficial (at that time). Indeed, it is preferred that prior to initiation of any active treatment, the tumor data is monitored to assess whether conditions are favorable or indeed, unfavorable, for the treatment strategy proposed. That is, if a drug therapy is recommended for tumors exhibiting a pH above a certain value, and the data suggests that the tumor pH is below this value, a physician may choose to postpone that particular therapy for a more favorable time. Of course, other parameters, such as an elevated oxygenation level and a phase of increased cell proliferation, may suggest that other therapy would be more advantageous or that the drug therapy should nonetheless proceed. Additional discussion regarding tumor parameters and the relationship to treatment is provided below.

Figure 2B illustrates the use of the real-time tumor data in a control feedback loop to control one or more of the power, dose, or duration of a hyperthermia and radiation treatment session. As shown the monitored transmitted data is sent to the receiver 75 which then inputs the data into a computer which has a controller directing the actuator 92 and treatment source 91 (which directs the treatment into the patient).

The patient 20 is noted as the controlled “plant” in this figure.

Figure 1B illustrates an alternate embodiment of a tumor monitoring system 10'. In this embodiment, the tumor monitoring system 10' includes a home receiver unit 75' and a remote interface 78 which communicates with the physician interface 80 (the physician interface shown in this embodiment is a central processing unit).

The patient 20 (the dotted line represents the patient being in the house proximate to the receiver 75') even when at home can continue to monitor and transmit data to a remote site. The remote interface 78 can provide the communications link between the monitored local data and a remote clinical oversight station. As such, the remote interface 78 can be provided by any number of interface or data load means including a computer modem, a wireless communication system, an internet connection, or telephone connection. In this embodiment, upon identification of the existence or onset of a favorable condition for treatment, the central processing site can automatically schedule an evaluation appointment or even schedule a treatment

session on therapeutic equipment to take advantage of an opportune or favorable treatment window(s).

Figure 3 illustrates a preferred tumor monitoring and treatment evaluation method according to the present invention. At least one (and preferably a plurality of) physiological parameter associated with a tumor in a subject undergoing treatment is monitored (Block 100). Data associated with the at least one physiological parameter is transmitted from an *in situ* positioned sensor unit 50 to a receiver 75 located external to a subject (Block 110). The data transmission can be remotely transmitted from a non-clinical site (such as at a patient's home) to a clinical site via modem, telephone, wireless communication systems, and the like (Block 115). The transmitted data is then analyzed to determine a condition of the tumor (Block 120). The monitoring, transmitting, and analyzing steps are repeated at a plurality of sequential points in time (Block 125). That is, as opposed to a "static" single point in time data point, the instant invention allows dynamic monitoring (a plurality of sequential points in time). The dynamic tracking to variation in the tumor can yield valuable therapeutic and diagnostic information. The data is transmitted on a periodic basis (such as every 4-24 hours) over a particular treatment period. The data is transmitted in an at least an intermittent manner (although the data may be transmitted in less or more frequent data transmissions) during an entire treatment cycle, typically from about 1-3 months. More preferably, the data is substantially continuously or semi-continuously monitored (every 1-60 minutes, and more preferably every 1-30 minutes) and, at least locally, transmitted. This ongoing (intermittent, semi-continuous, or substantially continuous) monitoring allows the dynamic tracking or monitoring of the physiological parameter(s).

Of course, the continuous or semi-continuous monitoring/transmitting can be performed locally for electronic storage within memory associated with the receiver/computer interface 75' and then subsequently transmitted (to a central monitoring site on a less frequent basis, such as hourly, daily, and the like). It may be beneficial to preset a data transmittal/acquisition time via a timer in communication with the receiver 75' corresponding to a physician's input (e.g., more frequent monitoring closer in time to the introduction of cytotoxic agents or perturbation, such as every 1-5 minutes, with less frequent monitoring subsequent thereto, such as every 10-15 minutes, or hourly). Alternatively, the data monitoring/transmitting or acquisition time may be self-adjusting and relatively set such as by comparing and reviewing the transmitted data periodically to determine rates of change upon which to institute a more frequent assessment, then transmit less frequently during times of less change in the values. In any event, for stationary receiver units 75, 75', the patient needs to be in proximate position with the receiver 75' to facilitate proper data transmittal. In

order to facilitate the proper position of the patient for a subsequent transmittal to the receiver 75', the receiver 75' is preferably configured to generate an alert or alarm when a desired monitoring transmittal time is approaching. This can remind a subject to approach the receiver for proper transmission therebetween. Of course, the receiver 5 75' can be programmed to audibly state the next transmitting time based on the values of the most recently transmitted data while the more current transmittal is still underway (or on the change between a series of more recent transmittals).

In an alternative embodiment to the home-based tumor monitoring system 10' shown in **Figure 1B**, the receiver 75' can be configured to be portable and sufficiently 10 light weight to allow a user to wear it (attached to clothing or other supporting belts or suspenders or the like) such that it is in a desired proximity to the imbedded sensor unit(s) 50 to more easily provide semi-continuous or substantially continuous dynamic data tracking. Preferably, the portable receiver unit (not shown) is self-powered with a trickle charger (to plug into a vehicle accessory power source or a 15 wall outlet in the home) to allow a user to recharge the unit when not mobile. It is also preferred that the portable unit be configured with sufficient memory to be able to store a block of data over a period of time before uploading to the remote interface, or directly to a computer interface at a clinical site.

In any event, referring again to **Figure 3**, a tumor treatment strategy can be 20 evaluated based on the dynamic information provided by the monitored parameter(s) (**Block 130**). This evaluation can result in a verification of the efficacy of a treatment (**Block 132**) such as, for example, to determine whether the tumor is responding or resistant to the treatment. Further, the evaluation can verify that a given active dose was received at the tumor and in what amount. One example is to quantify the 25 amount of radiation seen or received at the tumor (this can be helpful if the tumor is blocked by dense tissue or is irregularly configured or positioned in the body in hard to reach regions). This verification may also be particularly suitable for use with newer targeted drugs which are designed to target the specific treatment zone in the body. This verification can thus affirm that the drug is delivered to the region 30 intended.

In addition, the evaluation can be advantageously used to identify either, or both, of the presence of a favorable or unfavorable treatment time (**Block 134**). For example, if conditions indicate the tumor is not receptive to the planned treatment, a change in the planned therapy can be promptly instituted, or, in the reverse, the 35 resistance can result in a rescheduling of a planned therapy to a more favorable time, thereby minimizing exposing the subject to unnecessary therapy sessions at unfavorable times. In addition, the therapeutic evaluation can be based on either or both of relative or absolute parameter values (or indeed a clustering of irregular,

positive, or negative parameter values) to determine if the treatment is progressing according to a predictive model. The predictive model can be based on the deviation of the tumor's response to the delivered therapy at a particular point in time as measured against a population norm or even against a historical perspective of the patient's own responses to previously delivered therapies. This can allow a physician to choose (or modify) the therapy for a subject based on the responsiveness of the tumor itself. Thus, the information can result in modification of the planned treatment regime (**Block 136**). For example, for discussion purposes, assume that at Day 3 from a chemotherapy type and dose, the tumor oxygenation is low, and the normal cell's susceptibility to toxic agents is high. In contrast, assume that at Day 3, the tumor oxygenation is high, and the normal cell's susceptibility to toxic agents is low. In the latter, this behavior may be according to a predicted outcome or an unpredicted outcome; if unpredicted, one might proceed to schedule take advantage of the favorable conditions for treatment and schedule an additional therapy session promptly (*i.e.*, a favorable active treatment time). If predicted, then the planned therapy can proceed as scheduled.

Determining Tumor Physiological Parameters

It is generally well accepted that tumor oxygenation and blood flow are important to the efficacy of most types of cancer therapy. Hypoxia (low oxygen) and thus radiation resistance occurs in poorly perfused regions of tumors (Gray et al., 1953). In addition, anticancer drugs of all kinds gain access to tumor cells through blood vessels, and poorly perfused regions also hinder drug delivery (Jain et al., 1988). For these reasons, there has been great interest in developing methods for modifying and monitoring tumor blood flow and oxygenation, primarily to find ways to increase radiation sensitivity. However, a knowledge of tumor oxygen levels can lead to alternative approaches, *e.g.*, hyperthermia effects which are enhanced in hypoxia (Stratford et al., 1994). More recent information on the influence of hypoxia in the regulation of genes and cytokines has continued to stimulate interest in this area (Sutherland et al. 1994)). Further, it is likely that these effects are involved in influencing patterns of metastases (Young et al., 1997), angiogenesis (Schweiki et al., 1992) and drug resistance (Sakata, 1991).

Currently there is no commercially feasible clinically applicable noninvasive method of assessing tumor hypoxia (McCoy, 1996). Magnetic resonance imaging and positron emission (Robinson, 1998) have been discussed as possible means to monitor changes in tumor perfusion and blood oxygenation. However, these methods are cumbersome to monitor the daily and dynamic changes, which occur during the perturbation of a tumor. The ability to monitor tumor oxygenation and changes

within the tumor during various challenges is important to improve cancer therapy. The information obtained can direct the type of and timing of appropriate therapy, in order to increase the cytotoxic effect.

A substantial body of evidence has accumulated over the past 50 years indicating that electrode-evaluated human tumor pH is, on average, lower than the pH of normal tissue. However, strategies to explore this difference have been hampered for two reasons; first, overlap of electrode-measured tumor and normal tissue pH, especially when data is pooled. Second, more recent demonstration using ^{31}P magnetic resonance spectroscopy (MRS) indicates that tissue pH can be divided into two compartments: intracellular and extracellular--(a) pH determined by electrodes primarily measure interstitial or extracellular pH and (b) pH determined by MRS primarily reflect intracellular pH ("pH_i"). Moreover, the pH_i of normal and tumor tissue is similar whereas the extracellular pH may vary significantly between normal tissue and tumor and tumor of the same origin but in different patients. For example, the range of pH in breast tumors has been demonstrated to be from 6.85-7.5 and in the subcutaneous tissue of normal volunteers it was from about 7.3-7.9.

The electrode-measured pH of tumors is on average 0.4 units lower than normal subcutaneous or muscle tissue. Although overlap between normal and tumor tissue may exist, they may be explained by technical and patient-related factors. However, the present invention recognizes that measuring pH in both normal and tumor tissue at the same time and on a continuous basis can eliminate this variation. The ability to accomplish this can enable the physician to exploit the differences. Since the acidity increases with increasing distance from the supplying vessel and pH_i is similar in each tissue, the intra to extra cellular pH gradient may be expected to increase in those cells most distal from blood vessels. The overall effect would be to enhance drug uptake and killing of cells that are normally exposed to the lowest drug concentration and especially relevant to radiation therapy in which low oxygen concentration -- and therefore radiation resistance -- increases with increased distance.

Accordingly, in one embodiment of the present invention, the sensor unit 50 (whether self-powered and implantable or injectable with an inductive powered version as will be discussed further below) can be inserted into the tumor(s) and secured therein or thereto in order to gather information, preferably for a number of weeks as discussed above. As shown in **Figure 19B**, the sensor elements 51 are configured such that they are placed at different levels and in different locations in the tumor. It is also preferred, as is also shown in **Figure 19B**, that at least one sensor element be configured to monitor the treatment toxic affect or normal cells and/or the pH level of the normal cell tissue proximate the tumor.

It has been shown that a difference in oxygen levels exist between tumor feeding arterioles (about 32mm Hg) as opposed to the about a 50mm Hg level in healing or normal tissues. And as noted above, low oxygen levels leads to treatment resistance in a tumor cell. If it is determined, with the aid of the device, that the
5 majority of the tumor is hypoxic (*i.e.*, less than 50mm Hg, and preferably less than about 40mm Hg, and more preferably about 32mm Hg or less), then it should not be treated until the oxygenation of the tumor is improved. This can occur in several ways. The tumor can be heated (hyperthermia) which works best in hypoxic conditions and which may eliminate enough cells to make the remaining population
10 less hypoxic, or the tumor can be exposed to specific drugs to improve the oxygen concentration. The important point is that the tumor is not treated until more cells are oxygenated and, therefore, more sensitive or vulnerable to the conventional active treatments of radiation or chemotherapy. Similarly, the sensitivity and, therefore, cell kill of malignant cells can be affected by pH and cell proliferation. pH measurements
15 of the tumor tissue would be important as the pH can influence not only the delivery and uptake of drugs, but also affect the oxygenation of the tumor. Therefore, if it is determined that the pH of particular tumor is 7.2 and the uptake of the drug of choice is undesirably affected by a pH greater than 6.9, then the drug should be withheld and the pH changed. Cell proliferation can be measured with the aid of a beta radiation
20 sensor able to monitor uptake of any radioactive tagged substance or ligands and provide information on cell kinetics and proliferation. If the uptake of a particular ligand which measures for cell proliferation is high (indicating active cell proliferation and therefore increased sensitivity), then the drug or radiation should be delivered.

It will be appreciated by those of skill in the art that at this time, specific
25 dynamic changes and/or values of those changes occurring in pH or oxygenation of cell proliferation during and after treatment have not been definitively quantified (but which can now be established based on the dynamic monitoring provided by the present invention). Further, the pH, cell proliferation rate and schedule, and oxygenation can vary significantly from patient to patient, even within patient groups
30 having the same type of tumor. Indeed, it is believed that this variability can account for the difference in response from patient to patient when treated with the same drug. Why should only 10, 20, or even 30% of patients respond to a drug that, according to *in vitro* data, should produce a tumor response of greater than 50%? Advantageously, the present invention will now allow data to be collected on specific values of for each
35 monitored parameter or variable (preferably including pH, oxygen tension, and cell proliferation) during and following cytotoxic treatment. The collected data can be studied and a specific set of variables identified to affect a particular response. Armed with this information, a patient can be more effectively treated. Thus, the present

invention will now allow not only the establishment of specific variable information for evaluation, but, can also be used to direct and monitor the effects of treatment.

Thus, in a preferred embodiment, the present invention configures a tumor monitoring system with sensor elements designed to monitor one or more of tumor pH, oxygenation level, temperature, and cell proliferation. The cell proliferation can be measured presently by the use of a radiation sensor (which can also be used to verify the dose of radiation received at the tumor during radiation therapy). It is anticipated that other biochemical or biomolecules will be determined to be sensitive indicators of the vulnerability of the tumor for treatment and, thus, useful according to the present invention. The present invention can provide all these sensors in each tumor, gathering and transmitting the information in real time, to a computer containing an algorithm to process the information to determine if and how the patient is to be treated.

Turning now to **Figure 4**, an exemplary data analysis method is illustrated which evaluates and analyzes the data associated with the monitored parameters. As shown, the desirable values of selected physiological parameters (shown as at least three parameters A, B, and C) are identified or defined as they relate to the desired condition proximate to an active therapy (**Block 200**). The desirable values for each of the parameters may be input as a minima or maxima and may be cross-related to a particular treatment type. That is, one parameter, for discussion identified as parameter "C" (such as pH), may require or desire a certain minimum or maximum value to achieve maximum effectiveness particular to a certain treatment type (such as a particular chemotherapy or drug treatment). In contrast, another parameter, for discussion, identified as parameter "A" (such as oxygenation level) may have the same preferred value across all treatment regimes (typically a minimum value as a normal or an elevated oxygenation level is desirable). As such, if there is a minimum or maximum value at which therapy should not proceed, it is identified as a test criteria for data analysis just prior to the delivery into the subject of the treatment.

Similarly, a range of physiological parameter values particular to the parameter can be used as a basis for test criteria; for example, defining the levels associated with "elevated," "decreased" and "normal" can be input (**Block 210**). This criteria (as well as relative levels, population norms, or other indices of tumor behavior and treatment efficacy) can then be used to define test conditions corresponding to evaluation of tumor treatments (**Block 220**). That is, the test conditions can be any number of tests representing evaluation of the tumor and the treatment. As shown, the test conditions also test for abnormal values of the monitored parameters (**Block 231**). This can identify the malfunction of a sensor, sensor element, or other component of the monitoring system as well as identify a

potentially immediate need for medical evaluation. Other test conditions can include testing for elevated or decreased parameter values (**Blocks 232, 233**) respectively. Similarly, the presence of a clustering of "favorable conditions" represented by two of the parameters having increased or elevated parameter values and another having a 5 decreased parameter value (**Block 235**) may represent a more favorable treatment period. For example, the presence of an elevated oxygenation level together with a period of increased cell proliferation and a decreased pH level may trigger a favorable treatment window. Of course, the clustering of just the two increased parameters can also be a test condition. In addition, one test condition can review the parameter 10 values to determine variation from an expected value based on a predictive model (statistically relevant variation from a relative reaction or from a population norm) based on a point in time during or after active treatment (**Block 234**). A test condition which identifies whether the parameters meet the defined desirable values may also be helpful (**Block 236**). It may also be beneficial to have a test to determine 15 if an expected data monitoring (local and/or remote) has been received or is missing (**Block 237**). This could indicate data corruption, file corruption, or even be used to automatically call the subject (such as with a programmed or recorded telephonic message) to notify them that a data transmission is needed.

In any event, the physiological data is periodically monitored (**Block 240**) and 20 the data is compared to the test conditions/defined values (**Block 250**). An unfavorable active treatment time and a favorable active treatment time can then be identified (**Blocks 260, 261**), respectively. Of course, other evaluations and therapy decisions can also be made. The favorable test time can be identified by the test 25 conditions/parameter values indicating a positive indicator (favorable condition or good progression). Of course, the data may also reflect a norm indicator (neutral condition), and a negative indicator (unfavorable condition or resistance to therapy). It is envisioned that a global network database or a regional database associated with each hospital or clinical site identifying the appropriate values can be pre-established to minimize the data input needed for a particular subject.

It will be understood that each block of the block diagrams (or block in the flowchart illustrations), and combinations of blocks in the flowchart illustrations or 30 blocks in block diagram figures), can be implemented by computer program instructions. These computer program instructions may be loaded onto a computer or other programmable data processing apparatus to produce a machine, such that the instructions which execute on the computer or other programmable data processing 35 apparatus create means for implementing the functions specified in the flowchart block or blocks. These computer program instructions may also be stored in a computer-readable memory that can direct a computer or other programmable data

processing apparatus to function in a particular manner, such that the instructions stored in the computer-readable memory produce an article of manufacture including instruction means which implement the function specified in the flowchart block or blocks. The computer program instructions may also be loaded onto a computer or other programmable data processing apparatus to cause a series of operational steps to be performed on the computer or other programmable apparatus to produce computer implemented process such that the instructions which execute on the computer or other programmable apparatus provide steps for implementing the functions specified in the flowchart block or blocks and/or block diagrams.

Accordingly, blocks of the block diagrams or in a flowchart illustration support combinations of means for performing the specified functions and program instruction means for performing the specified functions. It will also be understood that each block of the block diagram or flowchart illustrations, and combinations of blocks in the block diagrams or flowchart illustrations, can be implemented by special purpose hardware-based computer systems which perform the specified functions or steps, or combinations of special purpose hardware and computer instructions.

Although the present invention will likely provide additional basis for establishing more definitive numbers or values for monitored tumor physiological parameters, the following parameters and levels and indicators are provided as suitable for establishing test criteria associated with treatment or tumor condition. Conventional treatments use combination therapies such as temperature and radiation (tumor heated twice a week while irradiating every day).

Temperature

One approach to the treatment of large unresectable tumors is the use of radiation and thermal treatment. Typically, in such instances, the tumor is irradiated daily and heated twice per week following the daily radiation treatment. The temperature range preferred to achieve an increased, and hopefully maximum, cell kill is between about 42-43.5 °C. This temperature is then preferably maintained for about 20 minutes. The temperature is monitored closely to minimize the effects on the surrounding normal tissues and to assure that the same temperature is substantially homogeneously obtained throughout the tumor. This treatment technique is utilized and found to be effective for primary tumors from a number of tumor sites, including, but not limited to, the lungs, the prostate, the breasts, melanoma, the pancreas, and the pelvis. Thus, the present invention can provide an easy and effective thermal monitoring means by which temperature can be monitored, the thermal monitoring can prove especially suitable for externally inaccessible

tumors or for tumors located deep within the body, which are not easily monitored by conventional means.

Level of Oxygenation

The oxygenation level need to overcome radiation and or chemotherapy resistance has not been definitively established on dynamic systems as noted above. That is because, the precise changes which occur during treatment have not been quantified and therefore it is difficult to predict what definitive value may ultimately be established as necessary to overcome radioresistance now that dynamic monitoring protocols are available. This information will be obtained upon clinical applications of the proposed invention along with specific correlation with treatments and responses. Ultimately, lower oxygen tension may be found to be effective for treatments and that a normal or elevated oxygenation is not required for successful treatment. Nonetheless, the current preferred treatment approach is to achieve at least as normal a level as possible (and not to deliver during decreased oxygenation periods). Accordingly, for reference, the term "elevated" can be described as levels above 52 mm Hg. The term "normal" can be described as levels from about 50-52mm Hg. While the term "decreased" can be described as levels at or below 49 mm Hg, and more preferably, below about 40 mm Hg. It should be noted that oxygen is important for most, if not all tumor types, and is not specific to one type of tumor (although a particular level may be more suitable for treatment of one type). Further, *in situ* sensors according to the present invention can be positioned at different positions within the tumor to monitor the distribution of oxygen. If a significant difference (or delta) is detected, an attempt can be made to increase the oxygen levels to a sufficient level across the tumor.

Accordingly, the radiation or chemotherapy treatment can be withheld and given only when the oxygenation level approaches a minimum of about 50mmHg or is within a range determined to be appropriate for that patient (based on a relative response and/ or absolute response data).

Cell Proliferation

As noted above, cell proliferation is an important property of malignant tumors which can effect outcome. A knowledge of the time during which the tumor cells are proliferating is important in order to achieve a greater cell kill, and in turn, a greater response to therapy and an improved outcome. The degree of cell proliferation is related to the number of cells, which are cycling. Thus, if a ligand associated with cell proliferation is tagged, it will be incorporated into cycling cells and reveal itself as increased radioactivity within the tumor. Under normal or

quiescent conditions, only about 2-5% of cells are typically cycling. This quantity will increase generally by an order of magnitude to 20-25% in a moderate or highly proliferative state. The difference in uptake of the radioactive material will be noticeable and can be correlated to periods of increased cell proliferation. The time
5 during which this increased proliferation is not readily known and has not been readily identifiable. The time during which cell proliferation occurs may vary with the specific tumor type, as well as the rate of proliferation itself (the time it takes to double the population).

Tumor pH

10 The pH of tumors has been found to be lower (more acidic) than the pH associated with normal tissue. The precise pH or range of pH needed for maximum effect is not known, nor have the fluctuations encountered during treatment been quantified as noted above. The impact of information regarding pH can be more complicated than that oxygen since pH may effect oxygen level, drug uptake, and cell
15 proliferation. In addition, surrounding normal tissue can also effect the tumor pH. At present, it appears that a more acidic environment (pH of between about 6.8-7.0) may be preferably for treating malignancies. This is based on *in vitro* data which indicates that at least one drug, adriamycin, is more effective at low pH. As also noted above, the difference in pH between normal and malignant cells can be narrow (about 0.4
20 units) and therefore may indicate that there is a narrow treatment range at which drugs and radiation are more effective. As noted above, the present invention can now determine, in real time, the changes that occur during and after cytotoxic therapy.

Radiation

25 Radiation monitoring can be used to identify cell proliferation above (typically beta radiation). Radiation sensors can also be used to verify irradiation doses delivered during photon irradiation treatment (typically in the range of between about 3000-6000 cG). Thus, use of a radiation monitor during real time delivery can help control a more precise delivery dose of gamma radiation to the tumor site (distribution of dose within the tumor following photon irradiation or verification of calculated
30 dose, especially with high dose conformal therapy). β radiation monitors can also monitor radioactively labeled compounds to monitor drug uptake and utilization, blood flow the tumor, sensitivity to specific drugs, drug distribution in various organs (as well as cell proliferation discussed above).

In summary, a number of tumor (and proximate normal cell) parameters can be
35 monitored, each of which can provide information material to the treatment and condition of a tumor in a subject. Individual parameter combinations thereof, and

biomolecular tumor parameters yet to be identified may also be monitored according to the present invention.

Biotelemetry and Implantable Sensors

It will be appreciated by one of skill in the art that when a foreign object is implanted into the body, a series of host responses occur: 1) deposition of blood plasma proteins, 2) fibrin formation, 3) assault by immune cells and proteins, 4) attack by inflammatory cells, and 5) formation of a cellular capsule around the object (Reichert et al., 1992). Therefore, it is important that the materials used in an implanted device address this host response. Much is known about the implantation of sensor systems. Kapton® polymers have been shown to be relatively benign when used as a sensor substrate (Lindner et al., 1993). Pacemaker companies frequently use titanium cases with medical grade epoxies and silicone rubber to encapsulate the external lead connections (Webster, 1995). Implantable glucose sensors have been constructed using polyethylene cases covered in Dacron velour, with the sensor surfaces being coated with a variety of bioprotective membranes (Gilligan et al., 1994). (These units were wet sterilized in 0.05% thimerosal for 24 hours before being implanted and tested *in vivo* for up to three months.) A more common method used for sterilizing implant devices is gas sterilization at temperatures of 115° C to 120° C for 20 minutes.

Early researchers used discrete components to implement simple oscillator circuits for implantable sensors (Mackay, 1995). In recent years, the focus has been on miniaturization, using hybrid and integrated circuits for the electronic portions of the systems. Because the demand for "high-tech" biotelemetry systems in the past has been small, few suppliers have invested resources into developing state-of-the-art systems and devices. Most of this development has been performed at academic institutions around the world, with an emphasis on creating smaller, more-efficient telemetry and telemetry-like devices with increased functionality.

Integrated circuit (IC) technology has been used significantly for biotelemetry device electronics throughout the past two decades. In the mid 1970s, IC usage was made feasible through the use of hybrid technology. This technology enabled engineers to construct telemetry devices by interconnecting commercially available ICs, simple custom ICs, and other discrete components, on ceramic substrates through the use of thick- or thin-film technologies (Fryer et al., 1973; Deutsch, 1979; Gschwend et al., 1979; Donald et al., 1981). Perhaps the best example of this technology is a unit perfected at NASA Ames (Hines et al., 1995). NASA uses a carrier of 455 kHz and digital PCM. The implanted unit is fabricated using hybrid technology and monitors pH, heart rate, temperature, and battery voltage. Its current

consumption is less than 120 microamps drawn from a 0.75 A-hr lithium battery. The battery lifetime is 6 - 9 months. The unit is packaged in a custom-manufactured, disk-shaped ceramic package, approximately 3.0 cm in diameter occupying a volume of 20 cc. The telemetry link has an acquisition range 12 to 24 inches.

As the microfabrication processes improved, telemetry units could be fabricated on individual silicon substrates only millimeters in length and width. Recently, biotelemetry systems have been appearing with custom integrated circuits as a major component (Oshima et al., 1987; Williams et al., 1994; Wouters et al., 1994; Akin et al., 1995). In a typical example (Puers et al., 1993), an intelligent 4-channel unit was designed and fabricated for animal husbandry studies. The electronics used for this device were created on a 4.7 x 7.1 mm² silicon substrate and included both analog and digital signal conditioning electronics to process the incoming signals, transmit them accordingly, and direct power to the appropriate subcircuits when needed. As with most IC based transmitters, a few external devices were required for operation, including capacitors and crystals for driving the IC oscillators, accelerometer and temperature sensors, and resistors and switches to set gains and identification codes. It is important to note that such additional components can be undesirable, since they can add to the physical size of the electronics and increase the overhead involved in fabrication. They do, however, give the user/designer more flexibility in modifying circuit operation.

A novel implantable telemetry system was recently under development at North Carolina State University (Fernald et al., 1991 and 1992). The system was intended for rapid-prototyping applications, and was designed such that a non-engineering researcher could construct a customized implant device with minimal effort. The system consisted of two core intelligent integrated circuits, a microprocessor/telemetry chip and a data acquisition chip that could be stacked upon one another and fully interconnected with less than ten bus wires. Although the data acquisition chip provided eight input channels, additional channels could be attained by stacking additional such chips and attaching them to the bus lines in a daisy-chain manner. The microprocessor was fully programmable (both before and after implantation) and possessed an instruction set suitable for processing biological signals. The system was intended for a variety of transducers with varying bandwidths. As a consequence of the serial bus architecture, the system throughput was limited to an aggregate bandwidth of 20 kHz, suitable for most applications.

Researchers have long sought methods to eliminate the batteries in implanted devices (Hansen et al., 1982). Inductive power coupling has received attention in recent years. One research group (Benedetti, 1995) developed an inductively powered implant with four channels for measuring pressure and EMG. The sampling rate was

200 Hz/channel; its size, 15 x 19 x 86 mm³; and its weight, 55 g (40 g is the housing). The implant was mounted in a gold-plated brass housing. Surface mounted components were attached to stackable printed circuit boards. The internal power sources were + 3 V and - 3 V, derived from a power carrier frequency of 27.1 MHz.

5 Current consumption was 6 mA. The transmission/coupling range was 30 - 70 mm. The telemetry links were sampled FM with a frequency range of 36 kHz - 120 kHz.

A second example system incorporating inductive powering was designed for orthopedic measurements (Graichen et al., 1991 and 1995). This unit implemented eight telemetry channels (6 for strain sensing, one for temperature, and one for power supply voltage). The electronics module was a thick-film hybrid substrate with custom IC and discrete components. The substrate was encapsulated in a titanium cylinder measuring 8 mm in diameter and 27 mm high. The telemetry links operates using pulse-interval modulation with a carrier frequency of 150 MHz. The operating range is 20 cm. The implant is inductively powered through a 4 kHz coupling channel.

15 Inductive powering is also finding applications in cardiovascular and neural studies. A novel 3D power steering scheme has been proposed for high-data rate cardiac mapping studies (Mueller et al., 1995). Researchers have also implemented inductive powering in some telemetry-controlled neural stimulators. Their size has been greatly reduced, allowing them to be injected into tissue through a hypodermic needle. Two such devices have been reported by researchers at the University of Michigan (Akin et al., 1990) and the Illinois Institute of Technology (Loeb et al., 1991). Both systems rely on micro coils and magnetic induction to power the devices, thus eliminating the size and weight associated with batteries. The inductive links were also modulated to convey command information to the implants. Further 20 reduction in size was achieved through CMOS integrated circuit technology. Both research groups proposed incorporating reverse communication capabilities, so that the implanted devices can also perform telemetry monitoring functions (Nardin et al., 1995).

Commercial manufacturers have been successful in building and marketing a 30 variety of models. These systems only have a few channels and are tailored for animal research. For example, Data Sciences International (St. Paul, Minnesota) offers a number of models. Their systems use pulse-interval modulation, a low power consuming technique. However, their systems typically use a single carrier frequency per channel, limiting the number of channels that might be implemented. The low 35 input impedance of their electronics also limits the possibility of including pH and other ion-selective sensors. Another limiting factor in the Data Sciences system is its unique, proprietary signal encoding, transmission, and receiver units. Therefore, the possibility of expanding beyond four channels (their upper limit) is quite unlikely.

Coupled with the fact that these units are larger than needed and that the upper limit is 35 °C for their temperature sensors, Data Sciences units are not appropriate for this application.

Telemetry units from Mini Mitter (Sun River, Oregon) are very small in size
5 (XM-FH series - 9.0 mm (dia.) x 15 mm; VM-FH series - 12 mm (dia.) x 19 mm). They use the pulse interval modulation transmission mode to achieve very low power operation. However, they monitor only a single channel. Therefore, stacking several single channel transmitters to build a multi-channel device could make the combined size unacceptable. Small button-type batteries are used and are easy to replace. These
10 units are attractive for single channel applications.

Biotelemetrics (Boca Raton, Florida) builds transmitters whose carrier frequency is adjustable, which makes it possible to stack a series of single channel transmitters to make a multi-channel unit. The size of a typical unit is approximately 2.5 mm x 7.5 mm x 10 mm. The transmitters can be turned on and off periodically to
15 reduce the power consumption. The electronics exhibits a high input impedance which enables the unit to be connected to any kind of sensor (e.g., thermistors, pH sensors, and other ion-selective sensors).

Konigsberg Instruments (Pasadena, California) offers four- and eight-channel implants for measuring temperature and biopotential signals (such as EEG, ECG, and
20 EMG) with a bandwidth up to 1 kHz. The units range in size from the smallest 1.0 cm x 1.5 cm x 3.3 cm to the largest 5.1 cm x 2.3 cm x 1.5 cm. The units are battery powered and the battery life ranges from five to 20 months. An RF switch is included to turn the battery on and off. The transmit range is typically 3 - 5 m. Multichannel amplifier units are also available to receive the transmissions from the implants and
25 relay them to a remote base station. Several other small companies make biotelemetry devices (Bio-Sentry, CME Telemetrix, Coulbourn, MIE Medical, Micro Probe, Telefactor, and Transkinetics), but they are not implantable or are single-channel units (Biotelemetry Page, 1997).

Button battery cells have been available for nearly three decades, and were
30 extensively used in hearing-aid devices. The most commonly used cells of this type are available in two chemistries - zinc-mercury oxide and zinc-silver oxide. The primary functional differences between the two are as follows: (1) zinc-mercury oxide exhibits a flatter discharge voltage characteristic over time, (2) zinc-mercury oxide responds better to momentary high-power demands (low internal resistance), (3) zinc-
35 silver oxide has a higher output voltage, specifically 1.5 to 1.6 V, versus 1.35 V from zinc-mercury oxide, and (4) the volumetric energy density of zinc-silver (monovalent) is greater ranging 400-550 Wh/cm³. The service capacity of these cells is typically near 100 mA-hours.

Another alternative to these cell types are the recent lithium-anode based cells. These cells are desirable because their output voltages (near the 3 volts needed for ICs) are typically twice that of zinc-anode cells. Another notable difference is that lithium cells are typically available in flat packages and are appropriately termed "coin-cells." From a volumetric standpoint, the energy densities of most lithium-based cells compare favorably to zinc-based cells. For example, lithium-iodine cells exhibit a 2.8 V output with a high energy density of approximately 1,000 Wh/cm³. Pacemakers have used lithium cells since the 1970s.

Preferred Tumor Monitoring Devices

Some preferred sensor embodiments of the present invention are illustrated at **Figures 5, 6A, 8, 9, and 22**. Generally described, the *in situ* sensor units **50** of the present invention are configured to be one of implantable or injectable into the subject. **Figures 5, 6, 21, and 22** illustrate preferred implantable embodiments, while **Figure 8** illustrates an injectable embodiment. **Figure 9** illustrates a hybrid sensor unit **50"** having both an implantable satellite sensor body **50S** and associated injectable dependent sensor bodies **50D**. Each of the sensor units of the present invention are powered either by a battery (**Figure 5**), or, and more preferably, is inductively powered (**Figures 6A, 8, and 9**). Each of the (implantable or injectable) sensor unit bodies is hermetically sealed with biocompatible materials and sterilized by methods well known to those of skill in the art.

As shown in **Figure 5**, the sensor unit **50'** is configured with at least one sensor element **51**. The sensor element **51** shown in **Figure 5** is a thermistor. More preferably, as shown in **Figure 6a**, the sensor unit **50** comprises a plurality of sensor elements **51a-51e**, which are preferably configured to monitor one or more of temperature, radiation, oxygen, and pH. Suitable discrete pH, radiation, and temperature elements **51a-51e** are known to those of skill in the art. The preferred temperature sensor type is a thermistor. The preferred radiation sensors are well known such as MOSFET (metal oxide semiconductor field effect transistor) based designs. Preferred self-calibrating oxygen and combination oxygen/pH sensor embodiments will be discussed further below.

The temperature sensor element for the present invention is configured to operate in the temperature range of about 35° C to 45° C with an accuracy of about 0.1° C. Size is of major importance since the entire implantable device should be minimally invasive. Preferably, the entire implantable sensor unit is sized to be less than about 1.0 cm³. Further, the sensor units **50, 50', 50"** of the tumor monitoring system **10** are configured to operate even when exposed to a radiation field. That is, the sensor unit **50, 50', 50"** do not necessarily have to function while the radiation is being administered to the tumor, but they preferably function immediately afterward.

The sensor unit 50, 50', 50'' is thus configured to respond quickly (within a few seconds) after radiation administration. In a preferred embodiment, as shown in Figure 8, the sensor unit 50'' is sized and configured such that it can be placed on the tip of an insertion probe and injected via a large bore canula such as via image guide placement into position.

Referring now to Figures 6A and 6B, a preferred embodiment of a sensor unit 50 is shown. The sensor unit 50 is configured with a primary body portion 50B and a plurality of arm portions 50A extending outwardly therefrom. As shown in Figure 6B, the arms 50A have a thin planar profile. Preferably, the arms 50A are formed of a flexible biocompatible substrate material such as a polyimide (like Kapton®, a polyimide material). At least one sensor element 51 is positioned on each arm 50A, preferably at a distal portion (away from the primary body 50B). A separate channel 151 electrically connects the sensor element 51 to the electronic operating circuitry 125 positioned on the primary body 50B. Of course, a plurality of sensor elements 51 can be positioned on each arm, each with a separate electrical communication channel 151. Preferably, each channel is defined by a pair of leads (the sensor O₂ may have greater than two (2) leads) formed by metal vapor deposition onto the top surface of the flexible substrate.

As is also illustrated by Figures 6A and 6B, the transmitter coil 58 is substantially circumferentially layered to surround the electronics 125. The electronic circuitry 125 includes at least one, and preferably a plurality, of fixed resistors 125R for signal data reference as will be discussed further below.

As shown in Figure 6B, a biocompatible coating 160 is applied (to preferably encasulate, and more preferably, hermetically seal) to the exterior of the sensor unit 50. Surface mounted electrical components can also be located on the bottom surface of primary body 50B, with interconnection being made by plated through vias (a common method used in flexible printed circuit board technology). Advantageously, this multi-arm configuration can provide increased regional data to allow for more informal analysis of the tumor. As discussed above, the multiple sensor elements 51 can contact different locations within (penetrate at different depths) and/or wrap to contact different exterior perimeter locations along the tumor. Alternatively, one or more arms can be attached to normal tissue to provide information regarding the status of same. In any event, the sensor arms 50A are preferably configured with attachment means 150 to secure their position in the subject. For example, sensor element 51A illustrates an aperture 150 formed in a distal position of the substrate to allow a suture to attach it in position. Alternatively, sensor element 51b illustrates a barbed outer surface 150'.

Figures 7, 8A, and 8B illustrate a sensor unit 50" which is cylindrically shaped and sized for injection, e.g., an injectable sensor unit 50I. In this embodiment, a PCB or IC chip 125p is oriented to extend a small distance along a length of the sensor body. The coil 58 also cylindrically extends to surround a portion of the PCB or IC 125. In the embodiment shown, the PCB is a substrate (preferably a flexible substrate) which extends a distance outside the coil 58 (for an overall length which is less than about 0.5 inches). Of course, with the use of an IC configuration, this size can be further reduced. In addition, the IC or PCB can be configured and sized to extend substantially the same distance as the coil 58. The sensor body can be configured to hold a single channel (i.e., one sensor element for a PCB version having a width of about 3mm) or multi-channel (multiple elements, with each channel layed side by side, and typically wider than the single channel version). The tip 125T of the sensor unit 50I can be configured with a rounded or pointed edge to help facilitate entry into the tumor tissue. Again, the entire sensor body is encapsulated with a biocompatible material and sterilized for medical applications.

Preferably, both the injectable and implantable versions 50I, 50, respectively, of the sensor units of the present invention, such as those shown in Figures 6 and 7, are inductively powered. That is, the monitoring system is configured to act as a transformer (with one coil on the surface of the patient's body and the second within the monitor) to couple and power the internally disposed sensors, as is well known to those of skill in the art and discussed briefly above. As such, the *in situ* sensor units 50, 50', 50", 50"" are self-contained, and have a sufficiently long service life in the body to provide clinically useful chronic information for episodic or chronic treatment decisions, and can be miniaturized without requiring catheters or externally connected wire leads into the sensors and out of the body.

Alternatively to the separate copper wire wrapped coil conventionally used to form the coil 58, the coil 58 can be integrated into the circuit board itself via a ferrite substrate (a flux concentrator). Further, the circuit board 125p and its associated electrical components can be configured as a miniaturized chip which allows the coil 58 to be similarly miniaturized. Note, however, that the signal is typically proportional to the area of the coil and, as the area of the device decreases, the signal strength associated with the coil 58 on or around the device can decrease.

It will be appreciated that to further miniaturize the device, the temperature sensor resonant element can be configured as a positive temperature coefficient (PTC) (typically ceramic). Although most conventional devices employ NTC (negative temperature coefficient) versions, for the instant application, the PTC may be advantageous.

Figure 9 illustrates a hybrid sensor unit 50''' version of the inductively powered implantable and injectable sensor units 50, 50I described above which allows for miniaturized sensor element bodies and useful signal strength at transmission. As shown this sensor unit 50''' embodiment includes a satellite sensor unit 50S with the 5 IC or externally communicating electronics 125 thereon and a plurality of dependent sensor units 50D. The dependent sensor units 50D are inductively coupled to the satellite sensor unit 50S which is, in turn, inductively powered and coupled to the external system. Further, the dependent sensor units 50D are telemetrically connected 10 60I to the satellite sensor units 50I, which is telemetrically connected 60 to the external receiver 75. Because the dependent sensor units 50D are locally positioned relative to the satellite sensor unit 50S, the signal strength demands are reduced, thereby allowing the injectable sized dependent sensor units 50D to be further reduced in size. Preferably, each dependent sensor units 50D can be electronically encoded or identified or positionally related to a particular channel or port within the satellite 15 sensor unit 50S to maintain relative (if not absolute) positional information for consistent data analysis of the transmitted sensor data for the monitoring system 10.

Figure 19A illustrates another embodiment of the present invention, at least one wherein the tumor monitoring system 10''' employs a plurality of sensor units 50. That is, at least one sensor unit 50 is positioned at a different (separate) tumor site as 20 shown. This multi-sensor unit tumor system 10''' can result in more regional specific information to adjust treatment as necessary to effective in each tumor site of concern. Preferably, the multi-sensor monitoring system 10''' will configure each separate sensor unit 50, 50'', 50''' to be electronically identifiable to maintain data integrity and correlation to the tumor site/particular location. This can be provided by 25 configuring the receiver 75 and the separate sensor units 50 (50I and 50S/50D) with port communication protocols to identify and/or maintain the relative order of transmittal to the location or position of the particular sensor unit 50 within the body (*i.e.*, channel one for "sensor 1," channel 2 for "sensor 2," each alphanumeric identifier being manually or programmably set at insertion or position onto the tumor 30 in relation to its left to right or up to down position to a relational axis). As the receiver 75 should be positioned proximate to the sensor unit coil 58 (typically about 30 cm) for proper data transmission, it is preferred that the receiver 75 be configured to move to overlay the appropriate sensor unit during transmission (indicated by the arrow and dotted line movement of the receiver in Figure 19A) and it is also preferred 35 that the receiver 75 be programmed to recognize the order of sensor unit transmission to assure data integrity. Of course, two receivers can be used, one for each sensor unit location. This may be especially appropriate for non-clinical use, such as at a patient's home wherein a patient interactive system may be needed. Thus, a dual

receiver configuration, whereby a user can keep in position a portable receiver over each monitored tumor site, can be advantageous.

Of course, an external mark or indices of alignment to allow proper alignment may also be helpful (both in a single tumor/region sensor unit embodiment and a multi-sensor unit/spaced position embodiment). This can be a semi-permanent physical mark 175 made on the skin and/or other infrared or photogrammetric position readable or indication means which can cooperate with the receiver 75 (receiver loop) such that the receiver 75 can send out a position verification beam to facilitate proper alignment before/during transmission at the selected location.

For remote transmissions, the tumor monitoring systems of the instant invention are preferably configured to transmit information at a low or very low bandwidth. That is, the carrier bandwidth is preferably in the MHz range while the modulation frequency is more preferably at or below about 1kHz. This low bandwidth operation allows transmission of signal data received from the sensors across slow communication links such as modem and voice telephone connections. Preferably, the measured signal information is encoded into one of several time-based modulation schemes. The time-based encoding permits accurate data transmission across communication links that may convey amplitude information inaccurately and frequency information accurately, such as the voice telephone network. In addition, for home site non-clinical use tumor monitoring systems 10', the monitoring equipment is preferably small and relatively inexpensive or cost-effective to be set-up and operated at remote locations.

Of course, the low bandwidth operation is not required as the data from the sensor units 50, 50I, 50S can be converted into essentially any number of suitable encoding or transmission schemes that are suitable for remote operations, as discussed above, such as substantially continuous or semi-continuous monitoring with a PC at the remote location and storing the data associated with same with time/date stamps so that a complete data set or data segment/record covering a period of hours, days, weeks, or longer can be gathered and transmitted to the central processing site over one or more discrete relatively short data transmitting sessions.

Of all of the major types of temperature sensors, typically the thermistor is by far the most sensitive. It has a fast response time and a high output for interfacing, and small devices are commercially available. The non-linear response is not critical over the small temperature range in which the sensor will function (typically less than about 10°). Although the interfacing circuits require a current source, the silicon overhead is only a few additional transistors. The device is considered fragile for industrial purposes, but should be amply rugged for this application. Sensor self-heating is reduced since the device operates in a limited temperature range and the

current can be small and need not be applied continuously. If a battery source is used, the sensor element is preferably insulated or positioned spatially away to reduce its exposure to heat attributed to the battery.

To validate a tumor sensor design, a single-channel, discrete-component, 5 commercial telemetry unit was purchased (Mini Mitter, Inc., Model VM-FH) with externally mounted thermistor. An experiment was conducted at Triangle Radiation Oncology Services (TROS) by placing the thermistor and transmitter into an agar-gel phantom target, and heating the target in a hyperthermia treatment device (Thermotron RF-8) over the therapeutic range of 37°C to 45°C. **Figure 2A** illustrates 10 the principle of operation of a hyperthermia treatment with a Thermotron® device. An eight MHz RF signal is applied between the plates of the machine which causes ions between them to oscillate. These oscillations generate heat by friction, producing uniform self-heating of body tissue. The agar-gel phantom is approximately the size 15 of a human torso and mimics the heating characteristics of body tissue. During treatment sessions with a patient, the skin surface temperature is always monitored. In addition, catheters are normally inserted through the skin surface into the tumor undergoing treatment and its vicinity. During treatment, thermocouple probes are inserted through these catheters to record tumor temperatures as the RF energy is applied. These catheters are left in place in the patient between treatment sessions and 20 are frequently a source of discomfort and infection.

This experiment was designed for two purposes. First, the performance of the insulated thermistor was compared to that of a Thermotron® thermocouple, and secondly, to observe the heating effects of the Thermotron® device's RF energy on a bare, button-sized battery placed in the agar-gel. The experimental setup is illustrated 25 in **Figure 11**. Two catheters 99 were inserted into the agar-gel phantom 101: one positioned near the thermistor 99R and the second near the battery 99B. Thermotron® device thermocouple probes were inserted into the catheters and RF energy was applied to the agar-phantom to gradually sweep its temperature over the therapeutic range. The experiment was designed to be conducted over a 75-minute time period to 30 ensure that the agar gel was heated uniformly.

The results of the experiment are presented in Table 1. The first two columns of Table 1 show the time progression of the experiment and the temperature reading from the Thermotron® device's instrument panel taken from thermocouple-1 (see **Figure 11**). This measurement was assumed to be correct and was used as the 35 reference or "gold" standard. The third column shows the relative change in the temperature of thermocouple-1 from its initial value in the first row. The fourth row shows the relative change in the thermistor's readings at the same measurement times. Note the close correlation with the Thermotron® device's thermocouple readings.

The results of the button battery heating experiment are reported in the fifth column of Table 1. These data were recorded from a thermocouple-2 located near a button-sized battery placed in the agar-gel phantom. Note that the temperature near the battery increased to a larger extent as the RF energy of the Thermotron® device heated the agar-gel over the therapeutic range. While the temperature of thermocouple-1 near the thermistor increased by 8.8°C, the temperature of thermocouple-2 near the battery increased by 11.1°C. This indicates that any implant that is powered by a battery should be properly thermally insulated to minimize its impact on temperature sensors that are monitoring the environment of tumor cell populations.

TABLE 1. Agar-Gel Phantom Experimental Results.

Time (minutes)	Thermocouple-1 Temperature (°C)	Thermocouple-1 (T)	Thermistor (T)	Thermocouple-2 (T)
0	35.7	0	0	0
7	36.9	1.2	1.2	1.6
24	38.5	2.8	2.8	3.7
37	41.0	5.3	5.3	6.6
57	43.5	7.8	7.9	9.7
72	44.5	8.8	8.7	11.1

The next task was devoted to designing and building a 4-channel, discrete-component prototype circuit using breadboarding techniques. This circuit utilized four thermistors for temperature monitoring. A block diagram of the circuit is illustrated in **Figure 12**. Temperature increases were sensed by the four thermistors **51a-51d** in response to a corresponding reduction in resistance. A constant current source driving the thermistors **51a-51d** was used to measure the resistance. The amplifier **53** voltage output was proportional to the resistance change. A voltage to current converter **54** attached to the amplifier **53** was used to charge a timing capacitor **56**. The time period for the voltage on the timing capacitor to reach a threshold was proportional to the change in resistance in the thermistor **51e**, and hence proportional to the temperature change at the thermistor's surface. **Figures 13 and 14A-14C** show suitable operational design for sensor circuits. When the capacitor voltage reaches a preset threshold, the transmitter **157** sends a signal burst at 1.0 MHz to the coil **58**. At the same time, the threshold detection circuit **158** discharges the capacitor **56**. At the end of the signal burst, the capacitor **56** is allowed to again begin charging toward the threshold value. If the amplifier **53** voltage is high, a large current is dumped into the capacitor **56** leading to a short charging time interval. If the voltage on the amplifier output is zero, then no current is dumped into the timing capacitor **56**. In this case, a

small current source was included to ensure that the device is operating properly. This small current source forced the transmitter 157 to send out signal bursts at a large time interval for testing purposes. Longer time intervals indicate lower temperature measurements, while shorter ones indicate higher temperatures.

5 The clock, counter, and control logic 155, 156 serve to multiplex the four thermistors 51a-d over the biotelemetry channel in a round-robin fashion. A modified AM radio receiver attached to a laptop PC running LabVIEW® software (National Instruments, Inc., Austin, TX) was used to detect the transmitter bursts. Water bath experiments were used to validate the operation of the implant design. The range of
10 the telemetry link was about 30 cm.

Following the design and construction of the discrete-component breadboard, a surface-mount (SMT) unit was designed and constructed to reduce the size. The circuit of **Figure 12** was refined and a double-sided, 2.5 x 3.5 inch, printed-circuit (PCB) was fabricated. Low profile SMT components were used. The power
15 consumed was 4.5 W from a 3.0 V battery. The transmitting coil 58 (13.5 mm in diameter) was formed with 25 turns of #38 AWG copper wire, producing a range of 30 cm. Four thermistors 51a-d were attached to the device and the water bath experiments were repeated. Results were similar to the earlier experiments verifying the functionality of the system.

20 Following the successful SMT experiments, a first-generation integrated circuit (IC) test chip was designed. Its purpose was to demonstrate that the operating concepts adopted for the SMT unit can be adapted for integrated -circuit technology. **Figures 15 and 16** depict the functional blocks of the IC design and its chip layout. The circuit design was first refined and simulated using SPICE. Then an IC layout
25 was performed using standard cell technology. The circuit was specifically designed to minimize its susceptibility to latch-up. The test chip was implemented using the MOSIS fabrication service (2.0 micron, CMOS, n-well, low-noise, Orbit analog process, tiny-chip frame). Several internal test points were inserted to allow complete testing of the IC subcircuits. Four ICs delivered in dual-in-line (DIP) packages were
30 mounted in an IC prototype unit constructed using a small PCB (1.5 x 4.0 cm). All four ICs were subjected to benchtop functional testing and performed as expected.

35 After passing the functional tests, the test chips were exposed to a series of radiation and thermal tests. First the units were thermally tested using a temperature-controlled water bath as shown in **Figures 17A and 17B**. The IC prototype unit used seven channels for sensor data. Four of the channels were connected to thermistors and the remaining three were connected to fixed resistors. **Figure 17A** illustrates that the thermistors caused the channel pulse width to vary by approximately 0.03 ms per 0.1° C while, as shown in **Figure 17B**, the fixed resistor channels varied by about

0.003 ms per 0.1° C. These results are well within the accuracy specifications for tumor sensors according to the present invention.

Next the units were exposed to radiation using the cancer treatment facilities of Triangle Radiation Oncology Services (TROS) located at Rex Hospital in Raleigh, NC. A series of 400 cGy radiation doses were delivered with a Varian Clinac 4/80 at a source to surface distance of 80 cm and a dose rate of 1.2 Gy/min. The IC prototypes were not powered during exposure, simulating one clinical environment in which the implants can be employed. The results of the radiation exposure tests are displayed in **Figures 18A and 18B**. Note that the thermistor and fixed resistor channel pulse widths change by approximately 0.0015 ms per Gy, which translates to approximately 0.005°C per Gy. Given that a patient is not typically exposed to more than 8000 cGy, the impact of radiation is less than 0.4°C , which can be corrected by signal processing as described below.

The thermistor and fixed resistor data in **Figures 18A and 18B** suggest that the increase in pulse width during exposure to radiation is due to changes in the active transistor parameters of the IC. These parameter changes are expected based on the experience of many researchers in the effects of radiation upon microelectronic circuits (NPS, 1997). Therefore, the IC device can be considered as a sensor for the radiation exposure.

Accordingly, a fixed resistor channel can be used to measure total exposure. From calibration data for each implant during manufacture, the initial pulse width for the fixed resistor channel will be known. From statistical data obtained about the behavior of the ICs under radiation exposure (data similar to **Figures 17A and 17B**), the slope of the curve will be known. Therefore, real-time measurements from the fixed resistor channel can be compensated to account for the variation based on the reference fixed resistor and known calibration data to give an accurate indication of the radiation exposure history for the implant. Using this total exposure computation, the temperature reading from the thermistor channels can be corrected mathematically to give accurate temperature reading at any radiation exposure level. That is, radiation damage or exposure can cause IC drift, and temperature drift. This is compared to three parameters: a known fixed resistor value which is constant, a temperature sensor value which varies only in response to temperature, and the IC which is affected by both (thermal and radiation). Use of the calibration data established at set-up (or in the factory) can calibrate the signal data based on the number of known parameters to determine the radiation based drift and adjust for same. This drift is correctable as the dose of radiation is well within the drift adjustment as indicated by the **Figures 17 and 18**. In operation, a computer means can computationally perform the correction based on the data it receives from one or more fixed resistors.

Accordingly, it is preferred that at least one fixed resistor 125R be used in the operating circuitry of the sensor, and preferably a plurality of fixed resistors. **Figure 14B** illustrates one fixed resistor channel (one reference) and four active monitoring channels. In one embodiment, the sensor unit 50 includes three resistors, one is substantially invariant with temperature or radiation (the fixed resistor 125R), one changes with temperature (a thermistor), and one changes with both temperature and radiation (typically the MOSFET's in the chip have a resistance that changes with both). The thermistor has an associated measured temperature dependent curve. The fixed resistor can be used to correct the bias on the MOSFET'S (adjust or compensate for their drift due to radiation exposure/damage). The computer can give a corrected reading such as a temperature profile.

During normal operating conditions, the implant device may be powered down when radiation (high dose-rate gamma, thermal RF and microwave, or ultrasound) is applied to the patient. A series of tests were conducted to determine the effects of exposure/energy challenge events from exemplary treatment sources at Triangle Radiation Oncology Services (TROS). First, 8 MHz energy (Thermotron RF-8) at levels well above those used in treating patients was applied to the device in both its powered-down and powered-up states. Next, the tests were repeated for gamma radiation using a Varian Clinac 4/80. Finally, the tests were again repeated using microwave (915 MHz) energy from a Clini Therm surface tumor heating instrument. In all cases, the device was not damaged by the energy challenge tests, and continued to make accurate temperature measurements after the conclusion of the tests. All test were conducted on the same implant device so that the cumulative effect of the challenge tests were negative.

In order to assess biosurvivability and biocompatibility, several mock implant devices were fabricated using materials that are similar to the preferred embodiments of the sensor units described above. The overall scheme for fabricating a mock implant is highlighted in **Figure 5**. The substrate 120 can be fabricated using five-mil flexible Kapton® polyimide material covered by a 25 micron copper layer. The metal layer 122 is patterned using photolithography into the wiring harness for a simple oscillator circuit. Next, an insulating layer of polyimide can be deposited and patterned to open conducting vias to the metal traces. Then surface mount electrical components 125 are placed and soldered to the substrate. Next, a thermistor 51 is connected to the end branch of the implant substrate as shown in **Figure 5**. Then a coil of antenna wire 58 is mounted with the IC and/or SMT components 125 as illustrated in the figure. Finally, a lithium coin-shaped battery 52 is attached to the substrate 120. The battery 52 is first affixed to the substrate in the position shown in **Figure 5**. The end flap 129 (the circle that contains the second battery connection) is

then folded over the battery and attached using conducting silver epoxy. The entire device is then encapsulated in a biocompatible material such as a thin layer of silastic and/or medical-grade silicone to protect it from the biological environment during implant.

5 Additional features can also be included in sensor units 50, 50', 50'', 50''' based upon the specification of the user interface. For example, the ability to turn the battery on and off with an externally applied RF signal can be included in an IC (chip) design. Another feature can be the inclusion of pH sensor interface electronics. The pH sensors will preferably be implemented on a biocompatible, flexible substrate such
10 as the Kapton® substrate shown in **Figure 10A** (Cosofret, 1995). This design is compatible with the Kapton® substrate shown in **Figure 5**.

In one preferred embodiment, the present invention employs self-calibrating oxygen, pH, or combination oxygen/pH sensors. The operating principle of the *in situ, in vivo* self-calibrating chronically implanted sensor systems 200, 201, 300 is based on water electrolysis at noble metal electrodes as shown in **Figures 20 and 22**. Oxygen or hydrogen can be evolved by the electrolysis of water by applying a current through a generating electrode ("GE") 227 and counter-generating electrode ("GE'') 227' for a certain period. Accumulation of these dissolved gas molecules at the GE 227, in turn, rapidly establishes a microenvironment of oxygen saturation or hydrogen 20 saturation in close proximity to the microsensor. A two-point calibration procedure for the oxygen sensor unit 200 can then be performed, with the high point calibration being established in an oxygen-saturated phase, and the low point calibration in an oxygen-depleted phase that is produced by saturating the microenvironment with hydrogen. These transient perturbations of the microenvironment are expected to 25 equilibrate rapidly with the surrounding medium (tissue). With this *in situ, in vivo* self-calibration sensor units 200, 201, 300 periodic sensor calibration can be performed to check the operability and biosurvivability of a chronically implanted device.

It is preferred that the self-calibrating sensor units 200, 201, 300 be configured
30 with the following operational and physical specifications:

- (1) Dynamic range:
 - (a) 0-760 mm Hg with at least 10 mm Hg resolution
(for oxygen tension)
and/or
 - (b) pH 5.0 - 8.0 with pH resolution of about 0.1;
- (2) Concurrent operation during hyperthermia treatment sessions; and
- (3) Minimum 4-6 week (preferably 6 week or 1.5 month) period of operation and more preferably at least a 3 month period of operation.

The water electrolysis method can be extended to perform a one point, *in situ*, *in vivo* calibration of an implanted pH sensor unit 201 (**Figure 10B**) as well. A micro pH sensor unit 201 that is surrounded by a generating electrode will experience a titrating pH microenvironment during water electrolysis. If one repeatedly drives the electrolysis current forward and backward through the generating electrode, the highest slope in the time response of the pH sensor will occur at the moment of neutral pH (pH 7.0). Thus, a one-point calibration at neutral pH can be performed during water electrolysis by checking the first derivative of sensor response during titration. The functionality of similar pH titrating microdevices has been demonstrated for a pH-static enzyme sensor or buffer capacity sensor (Olthuis, 1992). This prior work strongly supports the feasibility of one point pH calibration as an option in tumor monitoring applications.

Previously, polarographic micro-oxygen sensors were fabricated on flexible 15 Kapton® material. The basic electrochemical three-electrode cell configuration shown in **Figure 21** was adopted to avoid current flow and minimize surface material consumption in a micro-reference electrode. All electrodes were designed to be geometrically symmetric to assure diffusional mass transport of electrochemical species in all radial directions.

Two different designs were considered -- one with rectangular bands and another with concentric circles. The design with concentric circles gave better performance, which can be explained theoretically. The noise at an electrode-electrolyte interface is generated by two sources (Lambrechts, 1992) - white noise and 1/f noise. A lower form factor for the electrode (the circumference to surface area ratio) results in a lower white noise level, which implies that the noise generated by circular electrode is lower than that by a band electrode with the same geometric area. The 1/f noise is inversely proportional to the electrode area. Also, magnitude of current output is proportional to the electrode area. This means that current output level and 1/f noise limits the scaling of amperometric sensors to extreme small size for 30 tissue oxygen measurements.

The layout for both configurations were performed using 20, 10, and 5 micron line widths. **Figure 21** is a photograph of the fabricated prototype oxygen sensor 200 (concentric configuration). All noble metal electrodes were made of gold, the material that has been shown to possess the best stability when used as an oxygen 35 catalyzer (Hoare, 1984).

Turning now to the function of each concentric circle shown in **Figure 21**, the middle electrode serves as a working electrode ("WE") 225 at which dissolved oxygen molecules are electrochemically reduced. The GE 227 is wrapped around the

working electrode; this configuration will establish oxygen-saturated or hydrogen-saturated microenvironments during self-calibration cycles. Proceeding from inside to outside, the next concentric circle is used as the reference electrode ("RE") 229. The outermost electrode in **Figure 21** is the counter electrode ("CE") 231 of this three-electrode cell. It is placed as far as possible from the WE 225 to eliminate electrochemical interference at the WE of byproducts generated at the CE 231. The GE' 227' (not shown) is also located remotely from the WE 225 for this same reason.

In the past, pH sensors have also been fabricated on flexible substrates (Cosfret, 1995). **Figure 10A** illustrates a pH sensor structure containing a p-HEMA central dome over a Ag/AgCl electrode. The final fabrication step is the deposition of the outer polymeric membrane containing the pH ionophore. These sensors have performed accurately in preliminary tests *in vivo* in blood for up to two months. The size of these potentiometric sensors are preferably minimized to improve their capability for resolving spatial gradients. Further size reduction of the pH sensors shown in **Figure 10A** may be limited by the manual deposition of the polymeric membrane solution, weaker adhesion to the substrate and high impedance, as the membrane contact area is diminished. Another drawback imposed by the use of polymeric membranes is the potential for leakage and degradation of membrane's plasticizer and ionophore for long-term operation. More recently, work has been done to miniaturize pH sensors by replacing the polymeric membrane by a solid state analogue. The best alternative identified to date is iridium oxide which has been shown to possess excellent pH-sensing capability and can be deposited on the sensor surface using a simple electroplating method (Marzouk, 1998). This new structure is shown in **Figure 10B**.

Self-calibrating O₂ sensors, such as shown in **Figure 21**, have been fabricated by facilities in BMMSL (Biomedical Microsensors laboratory) at North Carolina State University. Tables 2 and 3 summarize a preferred fabrication process of oxygen sensors 200 and pH sensors 201, respectively.

30

TABLE 2. Oxygen Sensor Process

Process Steps	Process Details
Substrate selection	3-mil Kapton® VN
Cleaning	Organic solvent cleaning and dehydration
Metal Deposition	DC Magnetron sputtering 200 Å Cr followed by 2000 Å Au
Photolithography	Spin coated 1.3 µm Shipley 1813 photoresist,. Contact exposure with Tamarack Alignment and Exposure System. (Exposure energy optimized for 5-µm linewidth.)
Metal Etching	Wet chemical etching
Cleaning	Organic solvent cleaning and dehydration

Polyimide process	Spin coated 2-μm Pyralin PI-2721 photosensitive polyimide. Contact exposure with Tamarack system. Spin development and thermal curing in atmosphere
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TABLE 3. pH Sensor Process

Process Steps	Process Details
Substrate selection	5-mil Kapton® VN
Cleaning	Organic solvent cleaning and dehydration
Metal Deposition	DC Magnetron sputtering 200 Å Ti followed by 2000 Å Pt with shadowmask
Cleaning	Organic solvent clean and dehydration
Polyimide process	Spin coated 5-μm Pyralin PI-2721 photosensitive polyimide. Contact exposure with Tamarack system. Spin development and thermal curing in atmosphere
Electrodeposition	Electroplate IrO_x according to the established method (Marzouk, 1998)

Another preferred embodiment of an *in situ* sensor unit is shown in Figure 22 as a combination pH/O₂ sensor unit 300. As the combination sensor unit 300 assumes smaller feature sizes, the area of the generating electrode and, thus, its current carrying capacity, is reduced. A smaller structure will also enable the new sensors to be employed in linear arrays for gradient measurements. The microenvironment of the smaller sensor may require less oxygen to become saturated. Once the GE 327 has established a saturated microenvironment, these conditions will be dissipated rapidly unless structural measures are taken to delay oxygen and pH equilibration. Hence, the self-calibrating design can employ a recessed structure (a micropool) to sustain the saturated microenvironment for a limited sensor calibration period. Thus, a 3-dimensional micropool can be configured by using layers of photosensitive polymers to build walls to confine the working and generating electrodes 325, 327. The volume of the micropool can also determine the overall sensor unit 300 performance and the time period needed for calibration. A near optimum design can be determined by iterating several of the design parameters in various fabrication runs. It is noted that some surface degradation and adhesion problems at the polyimide/metal interface at the electrode edges were observed during prototype experiments (at current densities exceeding 10mA/cm²).

The conventional Clark oxygen sensor contains a reference electrode (anode) and a working electrode (cathode) located in the same compartment encapsulated by hydrophobic, electrically non-conducting membrane. In contrast, the instant design separates the RE 329 and WE 325 to allow a space for the GE 227 (positioned therebetween and placed to control the micro environment of the WE 225) as

illustrated in Figures 21 and 22. This new arrangement is in contrast to the conventional Clark sensor, which may not be suitable for long-term implantation due to the risk of membrane rupture and the subsequent degradation of the sensor's internal filling solution. In this design, the separated RE and WE are electrically coupled via a hydrophilic permeable membrane and tissue fluids. This separated configuration for the RE and WE can cause difficulties due to increased solution resistance when the anode is very far from the cathode. However, the 3-electrode system reduces this effect. Another difficulty can be introduced by WE surface contamination due to direct contact with components of tissue fluid that penetrate the permeable membrane. As such, it is preferred that the electrode material used be selected to reduce this behavior. For example, it has demonstrated (Holmstrom, 1998) that a bare gold electrode, implanted up to 4 years for oxygen monitoring, absorbed less blood proteins than a glassy carbon electrode, and no adverse tissue reactions were observed.

To minimize any electrostatic coupling between the 3-electrode cell and generating current source, the operation of the sensor 300 is preferably divided into separate calibration and measurement modes. To simplify the device structure, the counter electrode (CE) will preferably serve a dual as the counter-generating electrode (GE') of generating source. Thus, a single electrode that can be switched between the two operational modes and can serve both functions.

Preferably, to reduce the feature size and reliably form same during fabrication, a silicon wafer-supported flexible substrate process is used to reduce thermal expansions and surface roughness distortions. In this fabrication process, polyimide (DuPont P12723) is spin-cast to a thickness of about 25 μm onto a thermal oxide coated silicon wafer. After all sensor processing steps have been completed, the wafer is soaked in a dilute H.F. solution. The thermal oxide is etched away and thereby releasing the flexible polyimide substrate and its sensor structures.

A recessed sensor structure can also be implemented using photosensitive polymer materials. Thicknesses of up to 30 μm can be obtained with a 2-step spin-coating procedure. Other materials and configurations are also available for this purpose. For example, a dry film (DuPont Pyralux or Vacrel which have thicknesses of 25 to 100 μm) can be laminated over the device using a thermal vacuum process. The highest aspect ratio (depth:width) for the micropool that can be fabricated using these laminated films is typically about 1:1. This ratio can be maintained for depths from 10 to 100 μm .

Platinum is known as the best noble metal electrode for water electrolysis and is easily deposited and patterned using microfabrication technology. In previous experiments with physiological solutions containing rich chloride ions, surface

chloridation of gold generating electrodes was observed during the positive potential region of water electrolysis. This problem should be alleviated by replacing the gold generating and counter electrodes with platinum. For simplicity, in photo-processing steps, a titanium platinum layer will serve as both electrodes and wiring leads. To 5 generate the other electrode surfaces, gold can be electroplated (for the working electrode) and silver (for the reference electrode) onto the platinum layer. For the pH sensor, iridium oxide will also be plated. The devices are designed so that the electroplating steps are self-aligning, and no additional photopatterning will be required. These procedures have already been established (Marzouk, 1998).
10 Currently, the preferred permeable membrane material is p-HEMA covered with polystyrene or collodion (Kreuzer, 1980).

The overall process sequence is shown in **Figures 23A-23C**. Platinum is deposited by sputtering and then patterned by photolithography. Next, a thin layer of polyimide is spin-coated and patterned to define the various electrode areas and to 15 insulate the wiring conductors. Then a thick polymer micropool is defined around working electrode and reference electrode area by a lamination process. Next, gold (as the oxygen catalyzer) or iridium oxide (as the pH-sensitive layer) will be electroplated, followed the plating and chloridation of silver (as the RE). Finally, a permeable membrane is cast by micromanipulation and cured. In operation, it should 20 be noted that with continuous polarizing voltage during oxygen sensor operation, one disadvantage can be a relatively large oxygen consumption and power consumption as well as aging effect. This power consumption is preferably reduced to provide electrode stability. Thus, intermittent or periodic measurement are preferably instituted with a potential step. Necessary calibration parameters such as current 25 density and duration can be determined for proper calibration of periodic measurements.

The present invention is explained further in the following examples. These examples are for illustrative purposes only, and are not to be construed as limiting of the invention.

30

EXAMPLE

A patient presents with an unresectable lung cancer (adenocarcinoma or squamous cell). The conventional accepted treatment is a combination of radiation and chemotherapy. The radiation is given everyday, Monday through Friday, and the chemotherapy (taxol and cisplatin) are administered either once a week in low doses 35 or every three weeks in higher doses. All patients are treated in substantially the same manner and the expected response rate is between 50-75%. Therapy is not individualized despite the fact that it is known that oxygen levels, pH, and particularly, cell doubling times, may vary widely between patients.

The availability of the methods, systems, and implantable sensors of the present invention which are configured to monitor pH, oxygen, and radiation, now offer a more customized approach to therapy. The sensors can be positioned in situ in the tumor at different penetration depths or across different regions of the tumor to provide regional specific information. Specific values of oxygen, pH, and cell proliferation can be established either prior to initiation of treatment by a predictive statistical norm in an established data base, or during initial treatment to define relative values, the specific values are identified as either a "go" for treatment or a "no go" for treatment to determine when and if a treatment should be commenced. A monitoring algorithm can be used to quantify important values of variables and an affirmative attempt can be made to correct each variable to reach or approximate the desired specific levels at treatment. For example, to manipulate the tumor to achieve oxygenation of about 50-52 mm Hg over a substantial volume of the tumor, as well as to exhibit a lower tumor pH of about 6.8, and to stimulate or identify and deliver during periods of increased cell proliferation.

Following the initial dose of radiation or chemotherapy, each variable will be monitored to determine an appropriate time (associated with a favorable treatment period) to deliver the next dose of radiation and/or chemotherapy. Preferably, each patient is monitored at least four times each day following treatment to establish a specific response pattern for an individual patient. Utilizing this ongoing, periodic monitoring approach can allow delivery of any cytotoxic agent in a more precise and favorable manner and/or to withhold treatment during tumor treatment resistant periods. It is preferably to treat when all variables indicate that the tumor is vulnerable such as when there is an indication of high oxygenation level, low pH, and increased cell proliferation. If the variables do not synchronize to indicate a favorable index at the same time, then a statistical regression analysis can be identified to define an appropriate treatment time. It will be appreciated that in addition to radiation and chemotherapy, hyperthermia and/or other treatments can be incorporated into the treatment protocol, especially in tumors exhibiting a high hypoxic fraction. This can allow for increased cell kill, after which the kinetics of the tumor will change and allow for more precise delivery of the radiation and/or chemotherapy. Thus, the individualized treatment will allow the delivery of cytotoxic agents at a favorable treatment time to achieve increased tumor cell kill, and thereby increase the response of the tumor to the treatment. In this example, when a satisfactory response has been obtained, the tumor can be removed.

In summary, the individualization of therapy can now be instituted based on obtaining information on the dynamic changes within each individual patient's tumor. This information should lead to increased tumor cell kill, increased survival and

decreased morbidity. This should translate into a decrease in the cost of treating patients by a decrease in morbidity and therefore less hospitalization; increase the effectiveness of cytotoxic agents by allowing for delivery of increased dose or even a decrease in the dose through more efficient timing of delivery of the cytotoxic. The 5 present invention can monitor and provide information on dynamic changes occurring within a tumor.

Calibration of in vivo oxygen and pH sensor systems according to the present invention will now be discussed in greater detail. It will be understood that the term "tissue," as used herein, can include organs and other biological matter in a biological 10 body. For example, the term tissue be used to describe organs or other biological matter in a human body. The term "environment" can describe mediums such as water, blood, tissue or other matter that is found in a biological medium, such as a body.

As will be appreciated by one of skill in the art, the present invention may be 15 embodied as methods or devices. Accordingly, the present invention may take the form of a hardware embodiment, a software embodiment or an embodiment combining software and hardware aspects.

The present invention is also described using flowchart illustrations and block diagrams. It will be understood that each block (of the flowchart illustrations and 20 block diagrams), and combinations of blocks, can be implemented by computer program instructions. These program instructions may be provided to a processor(s) within the sensor assemblies such that the instructions which execute on the processor(s) create means for implementing the functions specified in the block or blocks. The computer program instructions may be executed by the processor(s) to 25 cause a series of operational steps to be performed by the processor(s) to produce a computer implemented process such that the instructions which execute on the processor(s) provide steps for implementing the functions specified in the block or blocks.

Accordingly, the blocks support combinations of means for performing the 30 specified functions, combinations of steps for performing the specified functions and program instruction means for performing the specified functions. It will also be understood that each block, and combinations of blocks, can be implemented by special purpose hardware-based systems which perform the specified functions or steps, or combinations of special purpose hardware and computer instructions.

35 **Figure 24** is a block diagram of an embodiment of an in vivo oxygen sensor system 2400 according to the present invention. The in vivo oxygen sensor system 2400 can include an in vivo counter electrode 2445 that has a circular shape with an opening therein and is electrically coupled to an in vivo oxygen sensor circuit 2425.

The circular shape defines an interior region that may be accessed via the opening. An in vivo reference electrode 2450 is in the interior region and is electrically coupled to the in vivo oxygen sensor circuit 2425. Other shapes and configurations can be used.

5 First and second in vivo generating electrodes 2405, 2420 are spaced-apart by about 100 micrometers and are electrically coupled to a current source 2415. In this embodiment, the first in vivo generating electrode 2405 has a open circular shape and is located in the interior region. Other shapes and configurations can be used.

10 An in vivo working electrode 2410 is located in the interior region and is electrically coupled to the oxygen sensor circuit 2425. The oxygen sensor circuit 2425 can be used to determine the level of oxygen that is present a region 2401 proximate to the in vivo working electrode 2410.

15 During oxygen measurement operations, the in vivo oxygen sensor circuit 2425 can generate an output that indicates the level of a constituent element of an environment proximate to the in vivo working electrode 2410. In particular, the level of the constituent element can cause a current to flow in the environment that is proportional to the level. For example, more current may flow when the region 2401 is saturated with oxygen than when the region 2401 is depleted of oxygen. The in vivo oxygen sensor 2425 can, therefore, be used to monitor the level of oxygen in a 20 tissue, such as a tumor, over a long period of time as described above. As used herein, the term "constituent element" includes components of an environment in which a process, such as electrolysis is carried out. For example, constituent elements of a water environment can include oxygen molecules, hydrogen molecules, hydrogen ions, and hydroxyl ions. Electrolysis is well understood by those having skill in the 25 art and will not be discussed further herein.

30 The first and second in vivo generating electrodes 2405, 2420 can be used to control the level of oxygen proximate to the in vivo sensor electrode 2410 so that the in vivo oxygen sensor circuit 2425 can be periodically calibrated. For example, the in vivo oxygen sensor circuit 2425 can be calibrated by first saturating the region 2401 with oxygen and determining a first level of oxygen at the in vivo working electrode 2410. Next, the region 2401 can be depleted of oxygen and a second level of oxygen can be determined at the in vivo working electrode 2410.

35 The first and second levels of oxygen can be used to more accurately determine a third level of the constituent element measured during operation. For example, the in vivo oxygen sensor circuit 2425 can be assumed to have linear operation at levels between the saturated and depleted levels. A more accurate oxygen level can be provided by determining an oxygen level that is in the same

proportion to the sensor output as the sensor outputs associated with the saturated and depleted levels.

In particular, the current source 2415 can generate a current, i , that flows in the environment in either direction depending on the direction of the current generated by the current source 2415. For example, the current source 2415 can generate a first current 2430 that flows from the first in vivo generating electrode 2405 to the second in vivo generating electrode 2420. The current source 2415 can generate a second current 2435 that flows from the second in vivo generating electrode 2420 to the first in vivo generating electrode 2405.

The current flowing to/from the first in vivo generating electrode 2405 can generate a constituent element of the environment in the region 2401 proximate to the in vivo working electrode 2410. For example, when the first current 2430 flows, oxygen can be generated at the first in vivo generating electrode 2405 to saturate the region 2401 with oxygen. Alternatively, when the second current 2435 flows, hydrogen can be generated at the first in vivo generating electrode 2405, thereby depleting the region 2401 of oxygen. Therefore, the region 2401 can have first or second levels of the constituent element depending on the direction of the current flow.

An in vivo oxygen sensor circuit 2425 according to the present invention can be a Clark type sensor such as those shown in Figures 25A and 25B. As shown in Figure 25A, a two electrode type Clark sensor can include first and second amplifiers 2501, 2502 electrically coupled through an environment 2505. In operation, the level of oxygen in environment 2505 can cause a proportional current, i , to flow from a reference electrode 2510 coupled to the first amplifier 2501 through the environment 2505 to a working electrode 2525 coupled to the second amplifier 2502.

According to Figure 25B, a three electrode type Clark sensor can provide improved operation over the two electrode type Clark sensor shown in Figure 25A. In particular, the 3 electrode type Clark sensor can include a counter electrode 2515 coupled to the output of the first amplifier 2501 and the reference electrode 2510 coupled to an input of the first amplifier 2501. A current, i , flows from the counter electrode 2515 to the working electrode 2525. The current can be proportional to the level of oxygen that is present in the environment 2505.

It will be understood that the present invention may also be utilized to calibrate other types of sensor circuits. For example, the present invention may also be utilized to calibrate in vivo glucose sensor circuits. In particular, the working electrode 2525 can include a glucose selective enzyme, such as a Glucose OxiDase (GOD), layer thereon. During operation, as one glucose molecule is detected (consumed) by the GOD, one oxygen molecule can also be consumed to complete

GOD reaction (*i.e.*, oxygen is a cofactor of the reaction). The presence of glucose can be detected by a decrease in oxygen sensor circuit output as compared to a normal oxygen output. A high glucose level can cause a low oxygen level, and a low glucose level can cause a high oxygen level. Accordingly, a high glucose level may result in a 5 low output current in a glucose sensor circuit and a low glucose level may result in high current in the glucose sensor circuit. Glucose sensor circuits are described further, for example, in US Patent 5,791,344 entitled "Patient Monitoring System" to Schulman, et al.

It will also be understood that methods and electrode assemblies according to 10 the present invention can be embodied in probes that are inserted *in vivo* near a tissue to be monitored. For example, probes according to the present invention can be inserted into a body proximate to a tissue to be monitored and calibrated therein. The probe can thereby provide more accurate oxygen, pH or other data. After the data is provided, the probe may be withdrawn. Probes are further discussed, for example, in 15 "In Vivo Evaluation of Monopolar Intravascular pO₂ Electrodes," Pediatric Research, Vol. 13, 1979, pp. 821-826, by Beran et al.

Figure 26 is an enlarged illustration of an embodiment of an oxygen electrode assembly 2600 according to the present invention. In particular, the oxygen electrode assembly 2600 can be used to calibrate an *in vivo* oxygen sensor circuit. The oxygen electrode assembly 2600 can be on a flexible substrate 2601 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed to allow the electrode assembly 2600 to conform to a surface of biological matter. A counter electrode 2640 on the substrate 2601 can have a circular shape with a first opening therein. The circular shape defines an interior region with access thereto via the first opening. The counter electrode 2640 is electrically coupled to the sensor circuit by a conductor 2655 which can be a metal such as gold or platinum. Other materials and configurations and configurations may be used.

A reference electrode 2630 is located in the interior region adjacent to the counter electrode 2640. The reference electrode 2630 can have a circular shape with a 30 second opening therein. The reference electrode 2630 is electrically coupled to the sensor by a conductor 2650 that passes through the first opening. The spacing between the reference electrode 2630 and the counter electrode 2640 is preferably about 1 micrometer. The reference electrode 2630 can be a silver/silver chloride composition. Other materials and configurations may be used.

35 A generating electrode 2620 is located in the interior region adjacent to the reference electrode 2630. The generating electrode 2620 can have a circular shape with a third opening therein. The generating electrode 2620 is electrically coupled to a current source (not shown) by a conductor 2665 that passes through the first and

second openings. The spacing between the generating electrode 2620 and the reference electrode 2630 is preferably about 1 micrometer. The generating electrode 2620 can be a metal such as gold or platinum. Other materials and configurations may be used.

5 A working electrode 2605 is located in the interior region adjacent to the generating electrode 2620. The working electrode 2605 can have a circular shape as shown or other shapes may be used. The working electrode 2605 is electrically coupled to the sensor (not shown) by a conductor 2660 that passes through the first, second, and third openings. The spacing between the working electrode 2605 and the 10 generating electrode 2620 is preferably about 1 micrometer. The working electrode 2605 can be a metal such as gold or platinum. Other materials and configurations may be used.

Locating the generating electrode 2620 close to the working electrode 2605 may increase the likelihood that level of oxygen generated will be delivered proximate 15 to working electrode 2605. Moreover, the circular shape of the generating electrode 2620 may provide a more uniform distribution of oxygen in the saturated and depleted modes proximate to the working electrode 2605. Although Figure 26 shows the electrodes as electrically coupled to the sensor or current source via conductors on the substrate 2601, it will be understood that other routing paths may be used. For 20 example, the substrate can be a multi-layered substrate on which the conductors can be formed, thereby reducing conductor routing problems.

Figure 27 is an enlarged illustration of an embodiment of an oxygen electrode assembly 2700 according to the present invention. In particular, the oxygen electrode assembly 2700 can be used to calibrate an in vivo oxygen sensor circuit. The oxygen 25 electrode assembly 2700 can be on a flexible substrate 2701 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed to allow the oxygen electrode assembly 2700 to conform to a surface of biological matter. Counter electrodes 2740a, 2740b on the substrate 2701 can be strip shaped conductors that are electrically coupled together and define an interior region therebetween. The 30 counter electrodes 2740a, 2740b are electrically coupled to the in vivo sensor by a conductor 2720 which can be a metal such as gold or platinum. Other materials and configurations may be used.

Reference electrodes 2735a, 2735b are located in the interior region adjacent to the counter electrodes 2740a, 2740b. The reference electrodes 2735a, 2735b can be strip shaped conductors that are electrically coupled together. The reference 35 electrodes 2735a, 2735b are electrically coupled to the in vivo sensor by a conductor 2715. The spacing between the reference electrode 2735a, 2735b and the counter electrodes 2740a, 2740b is preferably about 1 micrometer respectively. The reference

electrodes 2735a, 2735b can be a silver/silver chloride composition. Other materials and configurations may be used.

Generating electrodes 2730a, 2730b are located in the interior region adjacent to the reference electrodes 2735a, 2735b respectively. The generating electrodes 5 2730a, 2730b can be strip shaped conductors that are electrically coupled together. The generating electrodes 2730a, 2730b are electrically coupled to a current source (not shown) by a conductor 2710. The spacing between the generating electrodes 2730a, 2730b and the reference electrodes 2735a, 2735b is preferably about 1 micrometer respectively. The generating electrodes 2730a, 2730b can be a metal such 10 10 as gold or platinum. Other materials and configurations may be used.

A working electrode 2725 is located in the interior region adjacent to the generating electrodes 2730a, 2730b. In one embodiment, the working electrode 2725 is located at a center of the interior region. The working electrode 2725 can be a strip shaped conductor that is electrically coupled to the in vivo sensor (not shown) by a 15 conductor 2705. The spacing between the working electrode 2725 and the generating electrodes 2730a, 2730b is preferably about 1 micrometer. The working electrode 2725 can be a metal such as gold or platinum. Other materials and configurations may be used.

Although **Figure 27** shows the electrodes as electrically coupled to the sensor 20 or current source via conductors on the substrate 2701, it will be understood that other routing paths may be used. For example, the substrate can be a multi-layered substrate on which the conductors can be formed, thereby reducing conductor routing problems.

Figure 29 is an enlarged cross-sectional view of an embodiment of a portion 25 of an electrode assembly 2900 according to the present invention. In particular, the electrode assembly 2900 can represent any of the electrodes described here. The electrode assembly 2900 can be on a flexible substrate 2901 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed to allow the electrode assembly 2900 to conform to a surface of biological matter.

An electrode 2910 is on the substrate 2901 and can be any portion of any of 30 the electrodes described herein. An insulating layer 2905 on the electrode 2910 includes a hole 2915 which exposes a portion of the electrode 2910. The insulating layer 2905 can overlap the electrode 2910 on each side thereof. In particular, a dimension, A, of the electrode 2910 can be covered by the insulating layer 2905 on either 35 side of the hole 2915. The exposed portion of the electrode 2910 has a width, B, which is about equal to the widths A covered by the insulating layer 2905 on either side of the hole 2915. In a preferred embodiment, the dimensions A and B are about 1 micrometer.

The length, L , of the electrode described above can be about 50 times the width of the exposed portion of the electrode. For example, in the one embodiment of the present invention, the exposed width of the electrode is about 1 micrometer and the length is about 50 micrometers.

5 Figure 28 is an enlarged illustration of another embodiment of an oxygen electrode assembly 2800 according to the present invention. In particular, the oxygen electrode assembly 2800 can be used to calibrate an in vivo oxygen sensor circuit. The oxygen electrode assembly 2800 can be on a flexible substrate 2801 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed 10 to allow the oxygen electrode assembly 2800 to conform to a surface of biological matter.

As shown in Figure 28, a counter electrode 2810 on the substrate 2801 can have a circular shape with a first opening therein. The circular shape defines an interior region with access thereto via the first opening. The counter electrode 2810 is 15 electrically coupled to the in vivo sensor by a conductor 2830 which can be a metal such as gold or platinum. Other materials and configurations may be used.

A generating electrode 2815 is located in the interior region adjacent to the counter electrode 2810. The generating electrode 2815 can have a circular shape with a second opening therein. The generating electrode 2815 is electrically coupled to a current source (not shown) by a conductor 2845 that passes through the first opening. The spacing between the generating electrode 2815 and the counter electrode 2810 is preferably about 1 micrometer. The generating electrode 2815 can be a metal such as gold or platinum. Other materials and configurations may be used.

25 A reference electrode 2825 is located in the second opening in the interior region adjacent to the generating electrode 2815. The reference electrode 2825 can be a tab shape conductor. In particular, the second opening can be larger than the third in Figure 26, thereby allowing the reference electrode 2825 to be located closer to a working electrode 2820. The reference electrode 2825 is electrically coupled to the in vivo sensor by a conductor 2835 that passes through the first opening. The spacing 30 between the reference electrode 2825 and the counter electrode 2810 is preferably about 1 micrometer. The spacing between the reference electrode 2825 and the generating electrode 2815 can be about 1 micrometer. The reference electrode 2825 can be a silver/silver chloride composition. Other materials and configurations may be used.

35 The working electrode 2820 is located in the interior region adjacent to the generating electrode 2815 and the reference electrode 2825. The working electrode 2820 can have a circular shape as shown or other shapes may be used. In one embodiment, the working electrode 2820 is located in the center of the interior region.

The working electrode 2820 is electrically coupled to the in vivo sensor (not shown) by a conductor 2840 that passes through the first and second openings. The spacing between the working electrode 2820 and the generating and reference electrodes 2815, 2825 is preferably about 1 micrometer. The working electrode 2820 can be a metal such as gold or platinum. Other materials and configurations may be used.

In comparison, for example, to the embodiment of **Figure 27**, locating the reference electrode 2825 closer to the working electrode 2820 may provide improved sensor performance. Moreover, maintaining the circular shape of the generating electrode 2815 and locating the reference electrode 2825 close to the working electrode 2820, may provide a more uniform distribution of oxygen and increase the likelihood that level of oxygen generated will be delivered proximate to working electrode 2820.

Although **Figure 28** shows the electrodes as electrically coupled to the sensor or current source via conductors on the substrate 2801, it will be understood that other routing paths may be used. For example, the substrate can be a multi-layered substrate on which the conductors can be formed, thereby reducing conductor routing problems.

Figure 30 is a flowchart that illustrates operations of embodiments of oxygen electrode assemblies according to the present invention. In particular, an oxygen sensor circuit can be calibrated by saturating the environment proximate to the in vivo working electrode with oxygen (block 3001). In one embodiment, current is provided to the in vivo generating electrode for approximately 1 minute to produce an oxygen saturated environment proximate to the in vivo working electrode. After the environment is saturated, the generation of oxygen may be discontinued.

A first level of oxygen is then determined using the in vivo sensor circuit (block 3002). In particular, the amount of current flowing in the in vivo sensor circuit may be used to determine the first level of oxygen proximate to the in vivo working electrode. In one embodiment, the first level of oxygen is determined immediately after the generation of oxygen ceases. The oxygen generated (block 3001) is then allowed to dissipate. In one embodiment, the oxygen is allowed to dissipate for approximately 1 minute.

After the saturated oxygen environment has dissipated, an oxygen depleted environment is generated at the in vivo generating electrode by generating hydrogen at the in vivo generating electrode (block 3003). In particular, the direction of the current can be reversed to generate hydrogen at the in vivo generating electrode. In one embodiment, current is provided to the in vivo generating electrode for approximately 1 minute to produce the oxygen depleted environment proximate to the

in vivo working electrode. After the environment is depleted of oxygen, the generation of hydrogen may be discontinued.

A second level of oxygen is then determined using the in vivo sensor circuit (block 3004). In particular, the amount of current flowing in the in vivo sensor circuit 5 may be used to determine the second level of oxygen proximate to the in vivo working electrode. In one embodiment, the second level of oxygen is determined immediately after the generation of hydrogen ceases.

Figure 31 is a block diagram of an embodiment of an in vivo pH sensor system 3100 according to the present invention. In particular, the in vivo pH sensor system 3100 can be used to calibrate an in vivo pH sensor circuit. The in vivo pH sensor system 3100 can be on a flexible substrate 3150 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed to allow the in vivo pH sensor system 3100 to conform to a surface of biological matter.

The in vivo pH sensor system 3100 can include an in vivo pH electrode 3110 15 and an in vivo reference electrode 3130 that are electrically coupled to a pH sensor circuit 3125, such as a voltmeter. The in vivo pH electrode 3110 can be made of iridium oxide or PolyVinylChloride (PVC) which can generate a voltage related to the pH level of the environment.

A first in vivo generating electrode 3105 and a second in vivo generating electrode 3120 are spaced-apart on the substrate 3150 by about 100 micrometers and 20 are electrically coupled to a current source 3115. The first in vivo generating electrode 3105 is located proximate to the in vivo pH electrode 3110.

The pH sensor circuit 3125 can be used to determine a pH level of the environment proximate to the in vivo pH electrode 3110. As shown in the pH 25 titration curve 3201 shown in Figure 32, a level of H⁺ and OH⁻ ions in the environment is related to the pH of the environment. In particular, if the environment includes more H⁺ ions than OH⁻ ions, the environment may be relatively acidic. If the environment includes more OH⁻ ions than H⁺ ions, the environment may be relatively basic. Moreover, the level of H⁺ and OH⁻ ions in the environment can 30 cause a voltage to develop at the in vivo pH electrode 3110 that is proportional to the pH level. For example, when the level of the OH⁻ ions in region 3101 increases, the pH can increase (to a pH level 10) which can produce an increased voltage at the in vivo pH electrode 3110. When level of H⁺ ions in the region 3101 increase, the pH 35 can decrease (to a pH level 4) which can produce a decreased voltage at the in vivo pH electrode 3110. The in vivo pH sensor circuit 3125 can, therefore, be used to monitor the pH level near a tissue, such as a tumor, over a long period of time as described above.

The first and second in vivo generating electrodes 3105, 3120 can be used to control the level of H⁺/OH⁻ ions proximate to the in vivo pH electrode 3110 so that the pH sensor circuit 3125 can be calibrated. For example, the pH sensor circuit 3125 can be calibrated by generating a changing level of H⁺/OH⁻ ions in the region 3101 which change the voltage at the in vivo pH electrode 3110. The voltage generated at the in vivo pH electrode 3110 can be monitored to determine a rate of change of the voltage 3210 as the level of H⁺/OH⁻ ions changes. In one embodiment, the maximum value of the rate of change of the voltage can indicate a neutral pH level 3205 (pH 7) in the environment.

10 The rate of change of the voltage 3205 can be used to more accurately determine a pH level of the environment during operation. For example, the in vivo pH electrode 3110 can be assumed to generate voltages in a linear range for pH levels between the high and low pH. A more accurate pH level can be provided by determining a pH level that is in the same proportion to an observed voltage as the 15 voltage associated with the rate of change of the voltage 3205.

In particular, the current source 3115 can generate a current, i, that flows in the environment in either direction depending on the direction of the current generated by the current source 3115. For example, the current source 3115 can generate a first current 3136 that flows from the first in vivo generating electrode 3105 to the second in vivo generating electrode 3120. The current source 3115 can generate a second current 3135 that flows from the second in vivo generating electrode 3120 to the first in vivo generating electrode 3105.

20 The current flowing to/from the in vivo generating electrode 3105 can generate a changing level of H⁺/OH⁻ ions in the region 3101. For example, when the first current 3136 flows, the level of the H⁺ ions in the region 3101 can be increased, thereby decreasing the pH of the region 3101. When the second current 3135 flows, the level of OH⁻ ions in the region 3101 can be increased, thereby increasing the pH level of the region 3101. Therefore, the region 3101 can have an increasing or decreasing pH level depending on the direction of the current flow.

25 Figure 33 is an enlarged illustration of an embodiment of an in vivo pH electrode assembly 3300 according to the present invention. In particular, the in vivo pH electrode assembly 3300 can be used to calibrate pH levels generated by an in vivo pH electrode 3320. The in vivo pH electrode assembly 3300 can be on a flexible substrate 3301 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed to allow the in vivo pH electrode assembly 3300 to 35 conform to a surface of biological matter.

As shown in Figure 33, an in vivo reference electrode 3310 on the substrate 3301 can have a circular shape with a first opening therein. The circular shape defines

an interior region with access thereto via the first opening. The in vivo reference electrode 3310 is electrically coupled to the in vivo measuring pH sensor circuit by a conductor 3330 which can be a metal such as gold or platinum. Other materials and configurations may be used.

5 A generating electrode 3315 is located in the interior region adjacent to the reference electrode 3310. The generating electrode 3315 can have a circular shape with a second opening therein. The generating electrode 3315 is electrically coupled to a current source (not shown) by a conductor 3345 that passes through the first opening. The spacing between the generating electrode 3315 and the reference
10 electrode 3310 is preferably about 1 micrometer. The reference electrode 3310 can be a silver/silver chloride composition. Other materials and configurations may be used.

15 A pH electrode 3320 is located in the interior region adjacent to the generating electrode 3315. In one embodiment, the pH electrode 3320 can be located at a center of the interior region. The pH electrode 3320 can have a circular shape as shown or other shapes may be used. The pH electrode 3320 is electrically coupled to the in vivo pH sensor circuit (not shown) by a conductor 3302 that passes through the first and second openings. The spacing between the pH electrode 3320 and the generating electrode 3315 is preferably about 1 micrometer. The pH electrode 3320 can be made of iridium oxide or PVC. Other materials and configurations may be used.

20 Although **Figure 33** shows the electrodes as electrically coupled to the pH sensor circuit or current source via conductors on the substrate 3301, it will be understood that other routing paths may be used. For example, the substrate 3301 can be a multi-layered substrate in which the conductors can be routed via multiple signal layers, thereby further reducing conductor routing problems.

25 **Figure 34** is an enlarged illustration of another embodiment of an in vivo pH electrode assembly 3400 according to the present invention. In particular, the pH electrode assembly 3400 can be used to calibrate an in vivo pH sensor circuit. The in vivo pH electrode assembly 3400 can be on a flexible substrate 3401 which can be Kapton® or another material that is suitable for tissue-implantation and can be flexed 30 to allow the in vivo pH electrode assembly 3400 to conform to a surface of biological matter.

35 As shown in **Figure 34**, an in vivo generating electrode 3405 on the substrate 3401 can have a circular shape with an opening therein. The circular shape defines an interior region with access thereto via the opening. The in vivo generating electrode 3405 is electrically coupled to the current source (not shown) by a conductor 3430 which can be a metal such as gold or platinum. Other materials and configurations may be used.

An in vivo reference electrode 3410 is located in the opening to the interior region adjacent to the generating electrode 3405. The in vivo reference electrode 3410 can be a tab shaped conductor as shown. The in vivo reference electrode 3410 is electrically coupled to the in vivo pH sensor circuit (not shown) by a conductor 3435 that passes through the opening. The spacing between the in vivo reference electrode 3410 and the in vivo generating electrode 3405 is preferably about 1 micrometer. The in vivo reference electrode 3410 can be a silver/silver chloride composition. Other materials and configurations may be used.

The in vivo pH electrode 3415 can be located in the interior region adjacent to the in vivo generating electrode 3405 and the in vivo reference electrode 3410. The in vivo pH electrode 3415 can have a circular shape as shown or other shapes may be used. The in vivo pH electrode 3415 is electrically coupled to the in vivo pH sensor circuit (not shown) by a conductor 3402 that passes through the opening. The spacing between the in vivo pH electrode 3415 and the in vivo generating and reference electrodes 3405, 3410 is preferably about 1 micrometer. The in vivo pH electrode 3415 can be made of iridium oxide or PVC. Other materials and configurations may be used.

Figure 35 is a flowchart that illustrates operations of embodiments of in vivo pH electrode assemblies according to the present invention. In particular, an in vivo pH sensor circuit can be calibrated by changing the level of H⁺/OH⁻ ions proximate to the in vivo pH electrode (block 3501). In one embodiment, a constant current is provided to the in vivo generating electrode to increase the level of OH⁻ proximate to the in vivo pH electrode. Consequently, the voltage level at the in vivo pH electrode may increase. The rate of increase in the voltage can depend on the magnitude of the current.

The rate of change of the voltage at the in vivo pH electrode is determined as the pH level changes (block 3502). In particular, as the pH level increases above 4, the rate of change may become approximately linear as shown in Figure 32. A rate of change of the voltage can be used to select a voltage level to be associated with a pH level. The selected voltage level can be used to more accurately determine the pH level proximate to the in vivo pH electrode (block 3503). In particular, the rate of change of the voltage can be used to relate voltages at the in vivo pH electrode to pH levels.

In one embodiment according to the present invention, a maximum rate of change of the voltage at the in vivo pH electrode is determined. The voltage level associated with the time when the maximum rate of change occurred is associated with a pH level 7. In operation, a voltage at the in vivo pH electrode can be associated with a pH level using the voltage level associated with the pH level 7.

The foregoing is illustrative of the present invention and is not to be construed as limiting thereof. Although a few exemplary embodiments of this invention have been described, those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiments without materially departing from the novel teachings and advantages of this invention. Accordingly, all such modifications are intended to be included within the scope of this invention as defined in the claims. In the claims, means-plus-function clauses are intended to cover the structures described herein as performing the recited function and not only structural equivalents but also equivalent structures. Therefore, it is to be understood that the foregoing is illustrative of the present invention and is not to be construed as limited to the specific embodiments disclosed, and that modifications to the disclosed embodiments, as well as other embodiments, are intended to be included within the scope of the appended claims. The invention is defined by the following claims, with equivalents of the claims to be included therein.

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What is Claimed:

1. A method for calibrating an in vivo sensor system, the method comprising the steps of:
 - generating a constituent element of an environment proximate to an in vivo sensor electrode via an in vivo generating electrode; and
 - determining a level of the constituent element in the tissue via the in vivo sensor electrode.
2. A method according to Claim 1 further comprising the step of:
 - calibrating the in vivo sensor circuit based on the level of the constituent element using a chronically tissue-implanted device.
3. A method according to Claim 1, wherein the step of generating comprises the steps of:
 - generating a first constituent element at a first time at the in vivo generating electrode; and
 - generating a second constituent element at a second time at the in vivo generating electrode.
4. A method according to Claim 3, wherein the step of determining comprises the steps of:
 - determining a first level of the constituent element between the first time and the second time; and
 - determining a second level of the constituent element after the second time.
5. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of generating oxygen, and wherein the step of generating the second constituent element comprises the step of generating hydrogen.
6. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of generating an oxygen saturated environment proximate to the in vivo sensor electrode, and wherein the step of generating the second constituent element comprises the step of generating a hydrogen saturated environment proximate to the in vivo sensor electrode.
7. A method according to Claim 1, wherein the step of generating comprises the step of generating the constituent element using electrolysis.

8. A method according to Claim 3, wherein the step of generating the second constituent element is preceded by the step of waiting a time interval to allow the generated first constituent element to dissipate.

9. A method according to Claim 8, wherein the time interval comprises about 1 minute.

10. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of conducting a current from a first in vivo generating electrode to a second in vivo generating electrode through the tissue.

11. A method according to Claim 10, wherein the step of generating the second constituent element comprises the step of conducting the current from the second in vivo generating electrode to the first in vivo generating electrode through the tissue.

12. A method according to Claim 3, wherein the step of calibrating comprises the step of determining a relationship between a current conducted by the in vivo sensor electrode and a third level of the constituent element using the first and second levels of the constituent element.

13. A method according to Claim 1, wherein the step of generating comprises the step of generating a changing H⁺/OH⁻ ion level proximate to the in vivo sensor electrode.

14. A method according to Claim 13, wherein the step of determining comprises the step of determining a rate of change of a voltage generated at the in vivo sensor electrode in response to the changing H⁺/OH⁻ ion level.

15. A method according to Claim 12, wherein the step of calibrating comprises the steps of:

determining a maximum rate of change of the voltage; and
associating the maximum rate of change of the voltage with a voltage level that is associated with a neutral pH level.

16. A method for calibrating an in vivo sensor system, the method comprising the steps of:

generating a first constituent element of an environment proximate to an in vivo sensor electrode at an in vivo generating electrode;

determining a first level of the first constituent element via the in vivo sensor electrode;

generating a second constituent element of the environment proximate to the in vivo sensor electrode at the in vivo generating electrode; and

determining a second level of the second constituent element using an in vivo sensor electrode.

17. A method according to Claim 16 further comprising the step of: calibrating the in vivo sensor using an in vivo device.

18. A method according to Claim 17, wherein the step of calibrating comprises the step of determining a relationship between a current in the environment and a third level of the first constituent element using the first and second levels of the constituent elements.

19. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating oxygen, and wherein the step of generating the second constituent element comprises the step of generating hydrogen.

20. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating an oxygen saturated environment, and wherein the step of generating the second constituent element comprises the step of generating an oxygen depleted environment.

21. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating the first constituent element using electrolysis.

22. A method according to Claim 16, wherein the step of generating the second constituent element is preceded by the step of waiting a time interval to allow the generated first level of the first constituent element to dissipate.

23. A method according to Claim 22, wherein the time interval comprises about 1 minute.

24. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of conducting a current from the first in vivo generating electrode to a second in vivo generating electrode.

25. A method according to Claim 24, wherein the step of generating the second constituent element comprises the step of conducting a current from the second in vivo generating electrode to the first in vivo generating electrode.

26. A method for calibrating an in vivo sensor system, the method comprising the steps of:

generating a changing H⁺/OH⁻ ion level proximate to an in vivo sensor electrode;

determining a rate of change of a voltage at the in vivo sensor electrode generated in response to the changing H⁺/OH⁻ ion level; and

determining a rate of change of the voltage associated with the changing H⁺/OH⁻ ion level.

27. A method according to Claim 26 further comprising the step of: calibrating the in vivo sensor based on the determined rate of change of the voltage using an in vivo device.

28. A method according to Claim 27, wherein the step of calibrating comprises the step of associating the rate of change of the voltage with a voltage level that is associated with a pH level.

29. A method according to Claim 26, wherein the step of generating comprises the steps of:

generating an increase in OH⁻ ions and a decrease in H⁺ ions proximate to the in vivo sensor electrode; and

generating a decrease in OH⁻ ions and an increase in H⁺ ions proximate to the in vivo sensor electrode.

30. A method according to Claim 29, wherein the generating steps are performed more than once.

31. A method according to Claim 27, wherein the step of calibrating comprises the step of associating a maximum rate of change of the voltage with a voltage level that is associated with a neutral pH level.

32. An electrode assembly comprising:
an in vivo substrate;
an in vivo generating electrode, on the substrate, having a first shape that defines an interior region; and
an in vivo sensor electrode, spaced-apart from the in vivo generating electrode on the substrate, having a second shape and positioned in the interior region in a non-contacting relationship with the in vivo generating electrode.

33. An electrode assembly according to Claim 32, wherein the first shape comprises a u shape and wherein the second shape comprises a strip shape.

34. An electrode assembly according to Claim 32, wherein the in vivo generating electrode and the in vivo sensor electrode are spaced-apart by about 1 micrometer.

35. An electrode assembly according to Claim 32, wherein the first shape comprises an open circular shape defining a first central axis therethrough and wherein the second shape comprises a circular shape defining a second central axis therethrough, wherein the first and second central axes are co-axial.

36. An electrode assembly according to Claim 32 further comprising:
a reference electrode, on the substrate, having the first shape and that defines a second interior region, wherein the in vivo generating electrode and the in vivo sensor electrode are located in the second interior region in a non-contacting relationship with the in vivo reference electrode.

37. An electrode assembly according to Claim 36 further comprising:
a counter electrode, on the substrate, having the first shape and that defines a third interior region, wherein the in vivo generating electrode, the in vivo sensor electrode, and the in vivo reference electrode are located in the third interior region in a non-contacting relationship with the in vivo counter electrode.

38. An electrode assembly according to Claim 32 further comprising:
an insulating layer on the in vivo generating electrode and the in vivo sensor electrode, wherein a first portion of the in vivo sensor electrode is exposed through the insulating layer and has the first shape, and wherein a second portion of the in

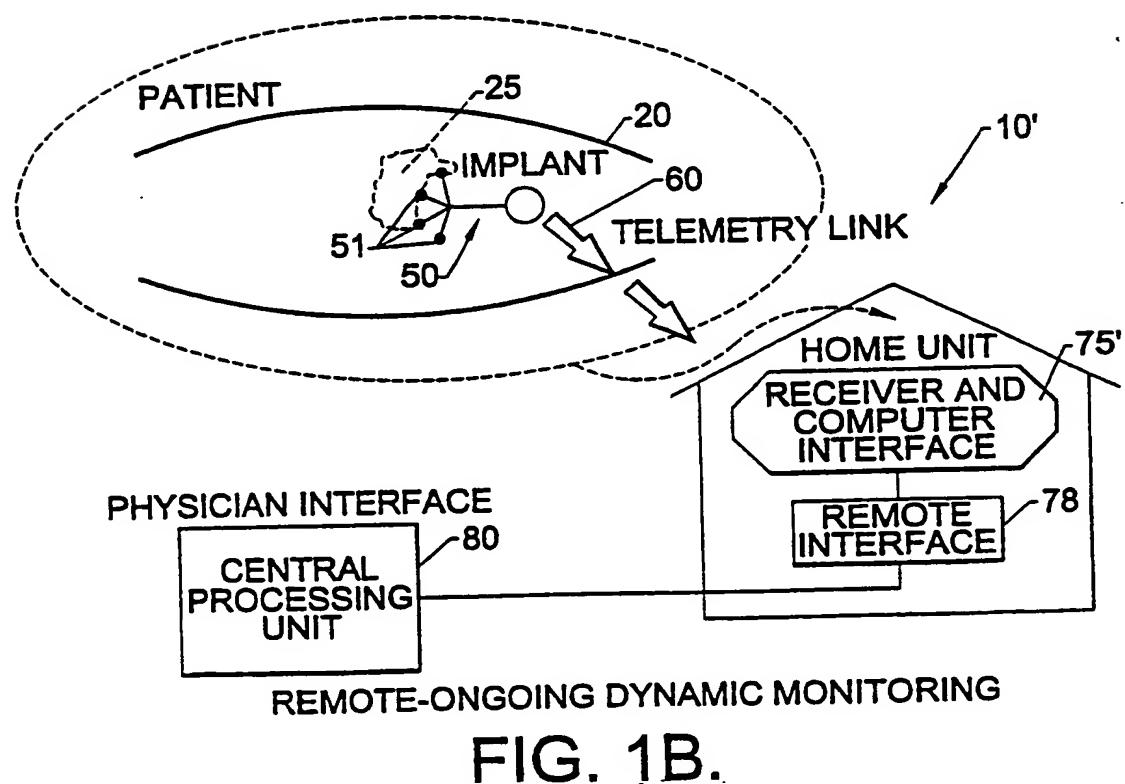
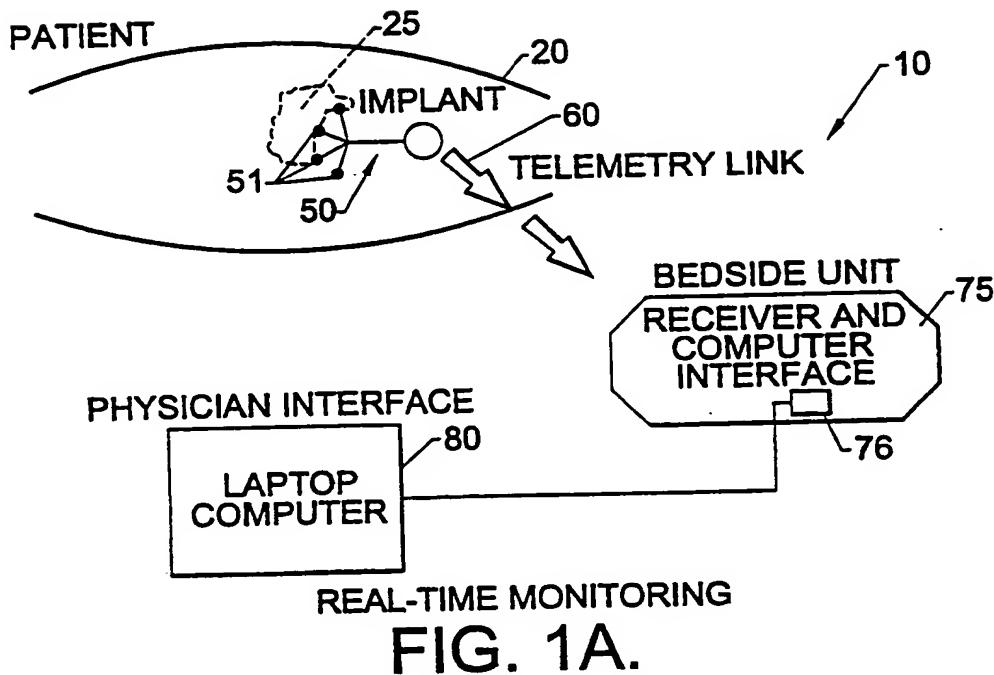
vivo generating electrode is exposed through the insulating layer and has the second shape.

39. An electrode assembly according to Claim 37, wherein a length of the first portion of the in vivo generating electrode is about 50 times greater than a width of the first portion.

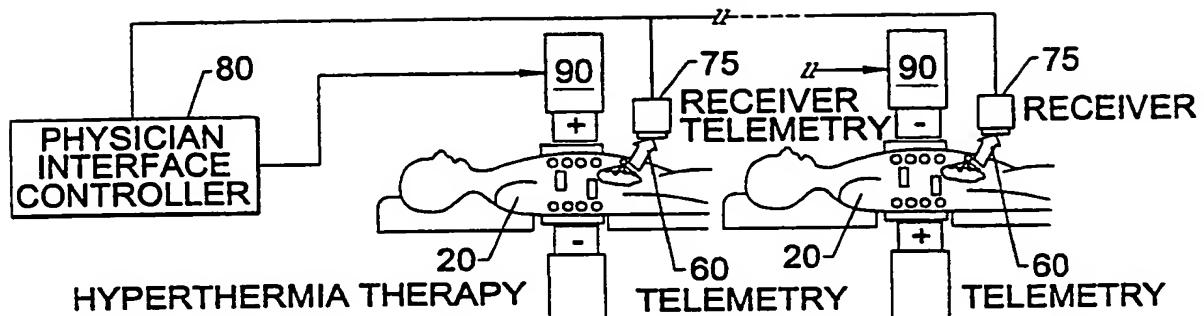
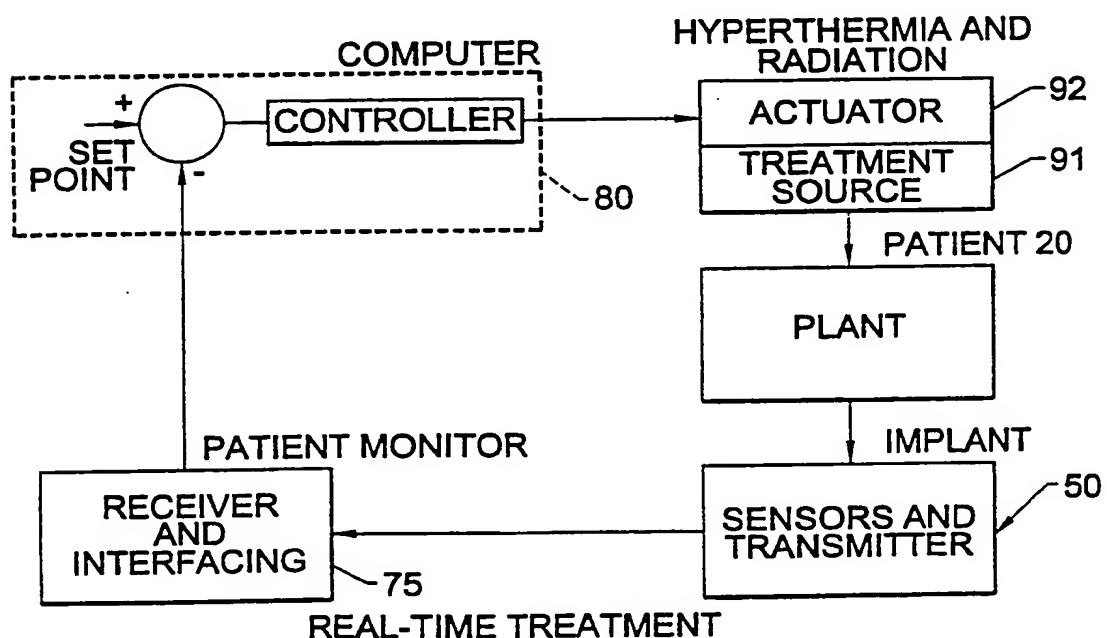
40. An electrode assembly according to Claim 32, wherein the in vivo generating electrode is configured to generate a first constituent element at a first time at the in vivo generating electrode and to generate a second constituent element at a second time at the in vivo generating electrode.

41. An electrode assembly according to Claim 32 further comprising a glucose selective enzyme on the in vivo sensor electrode.

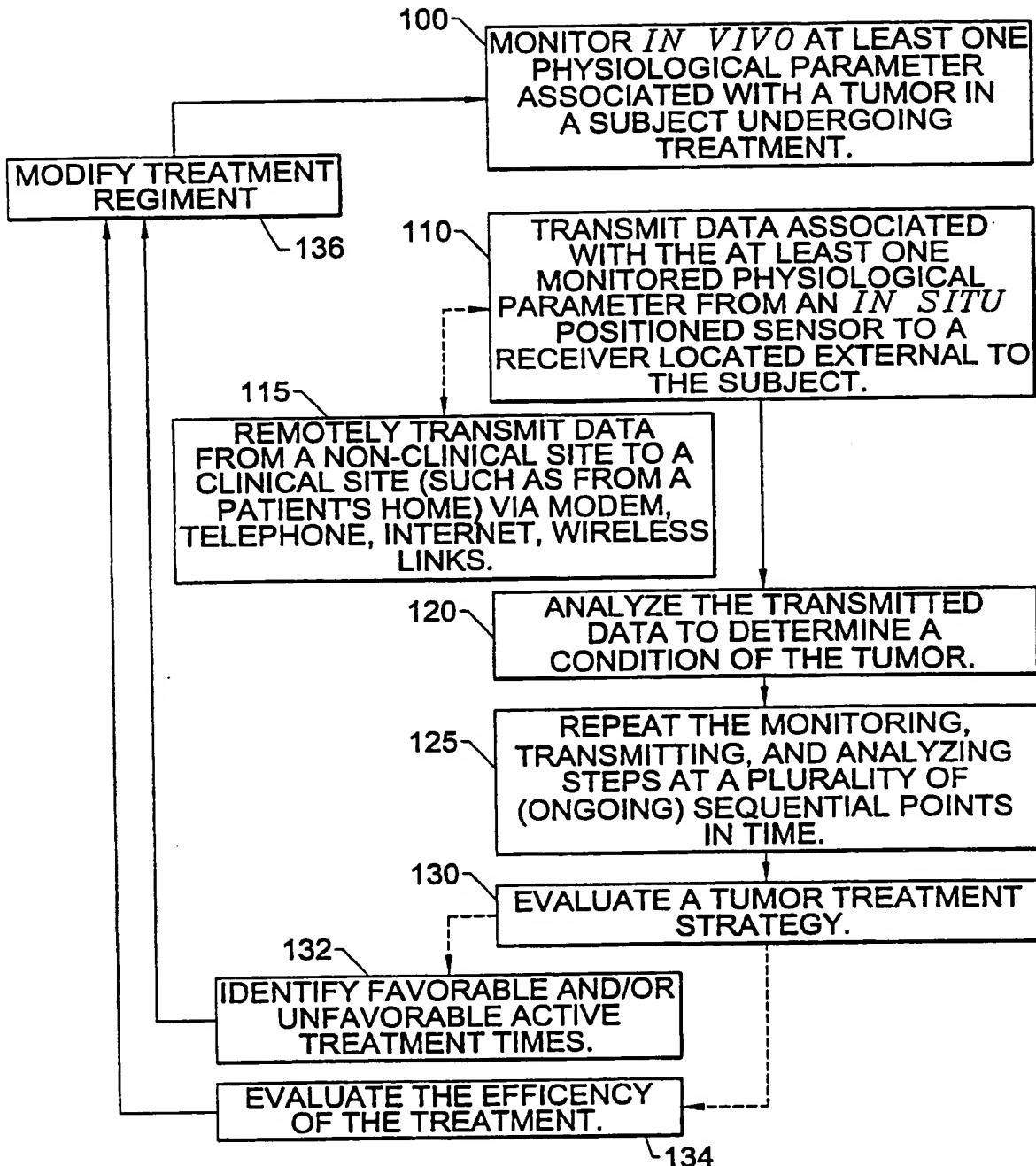
1/27



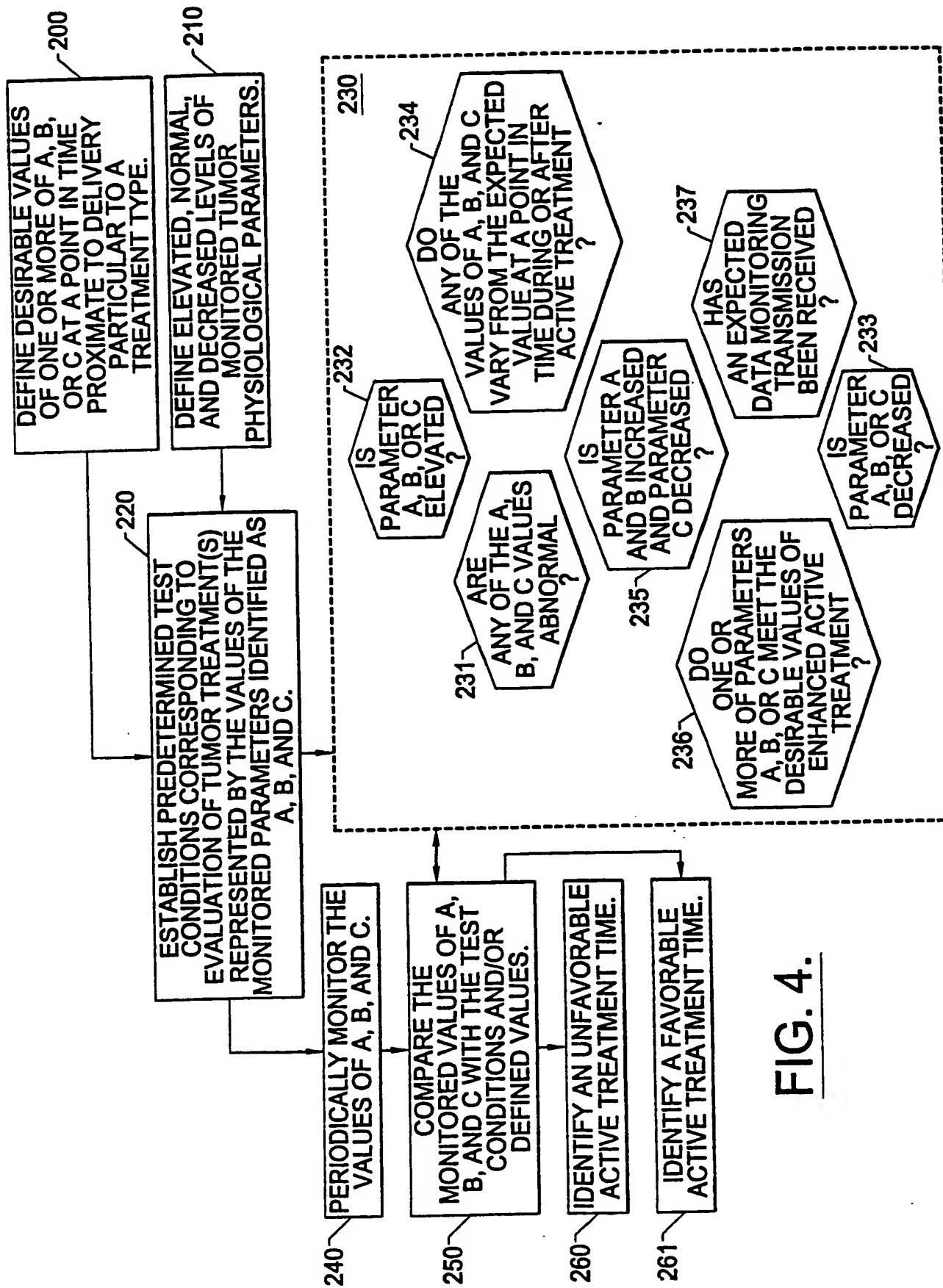
2/27

**FIG. 2A.****FIG. 2B.**

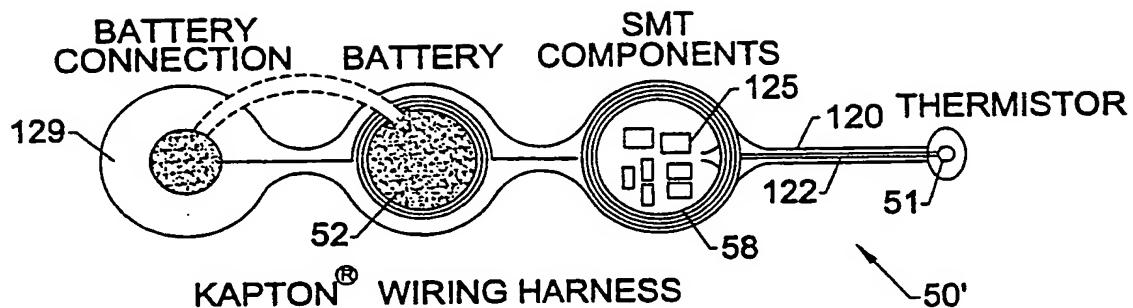
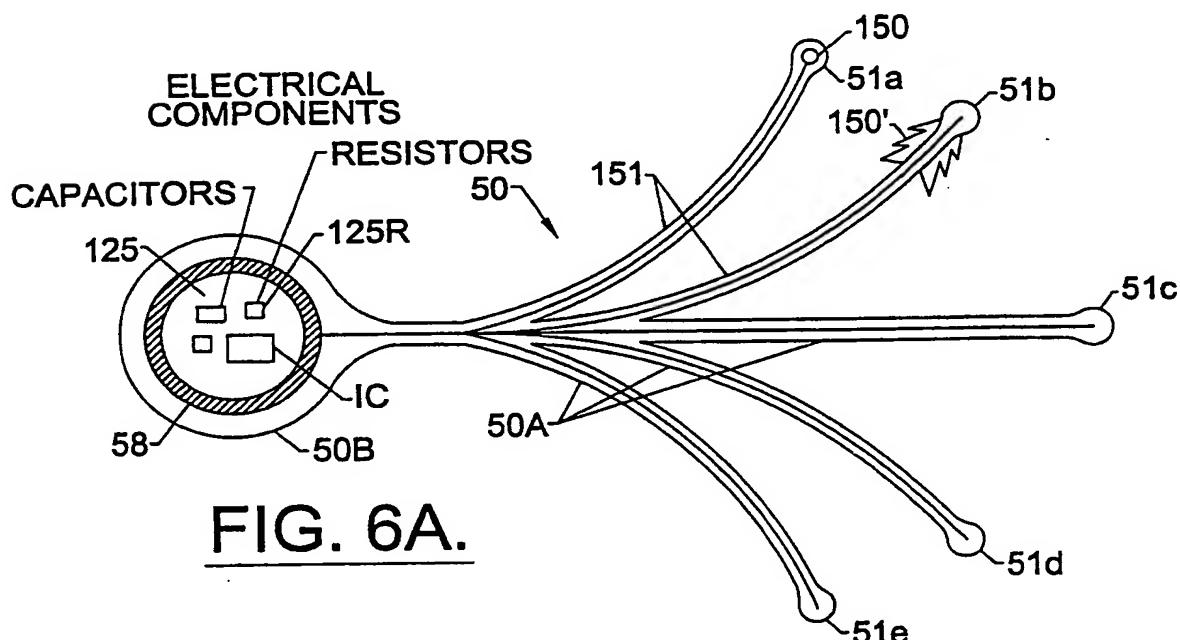
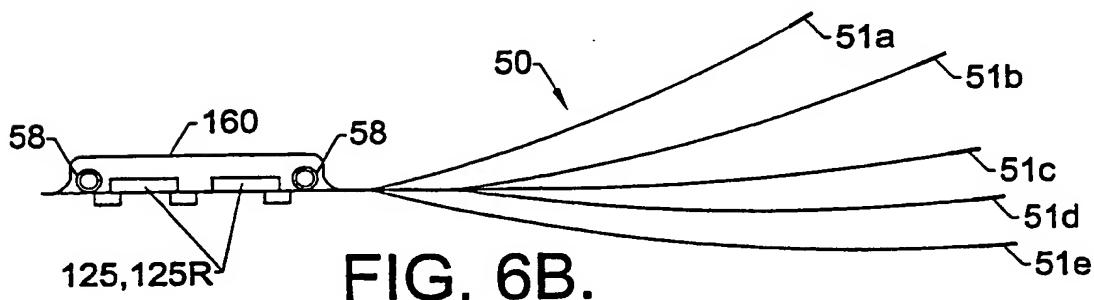
3/27

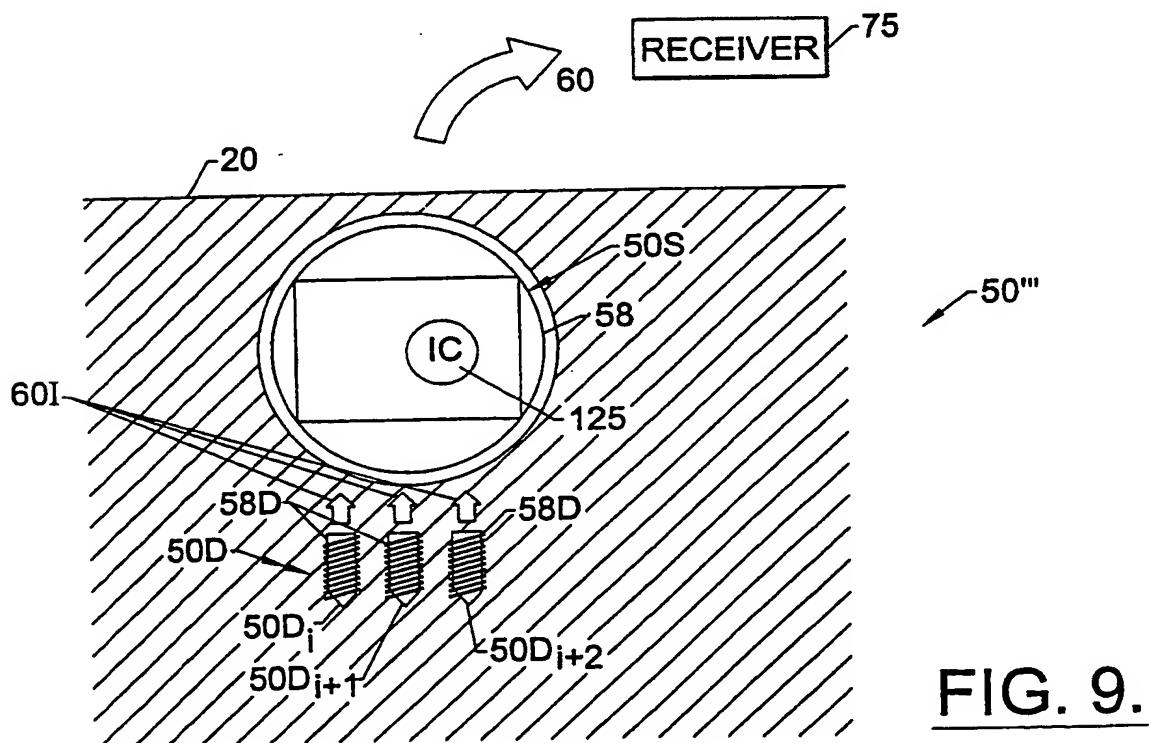
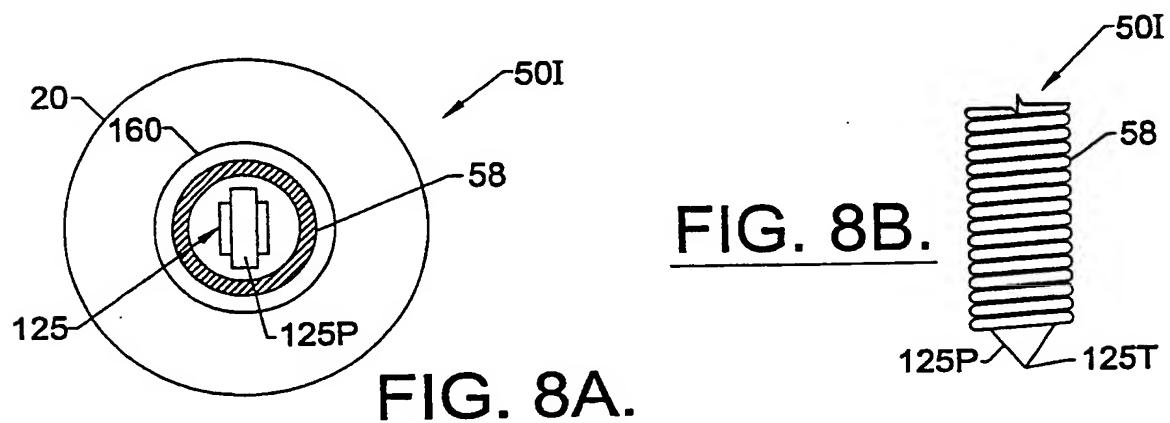
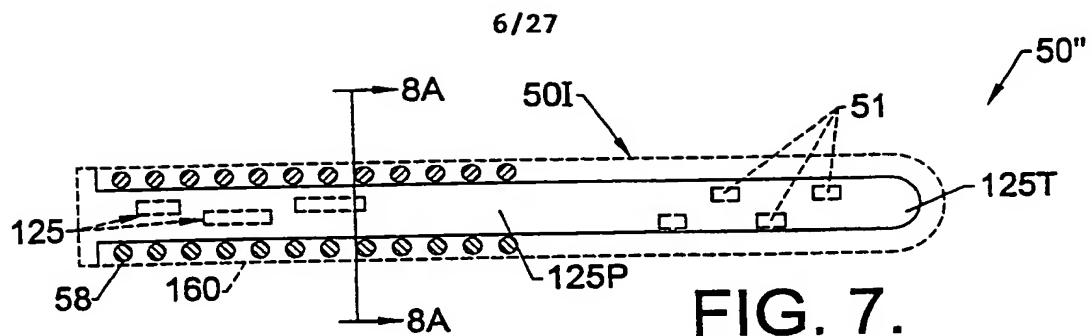
**FIG. 3.**

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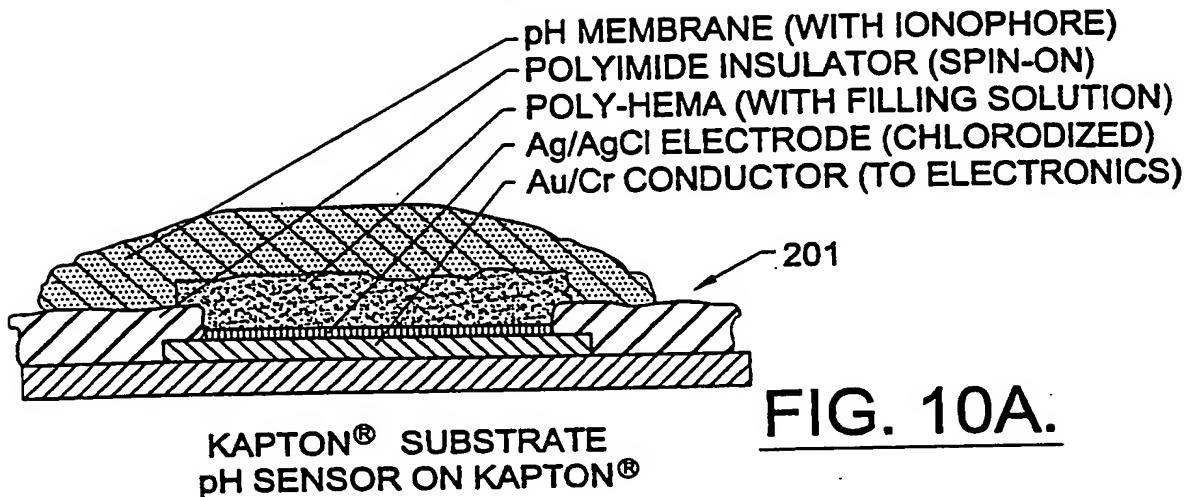
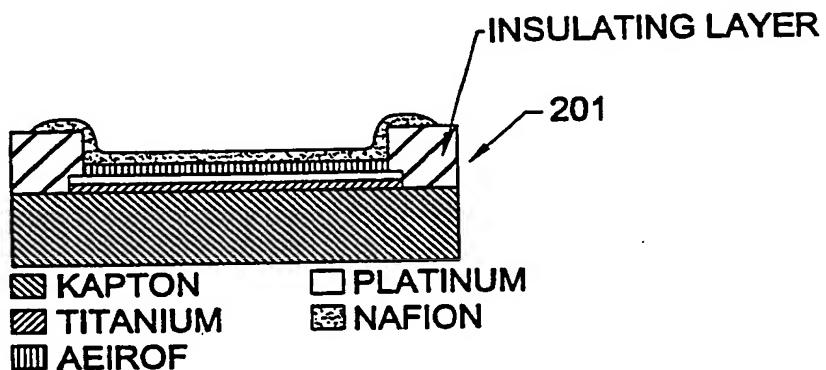
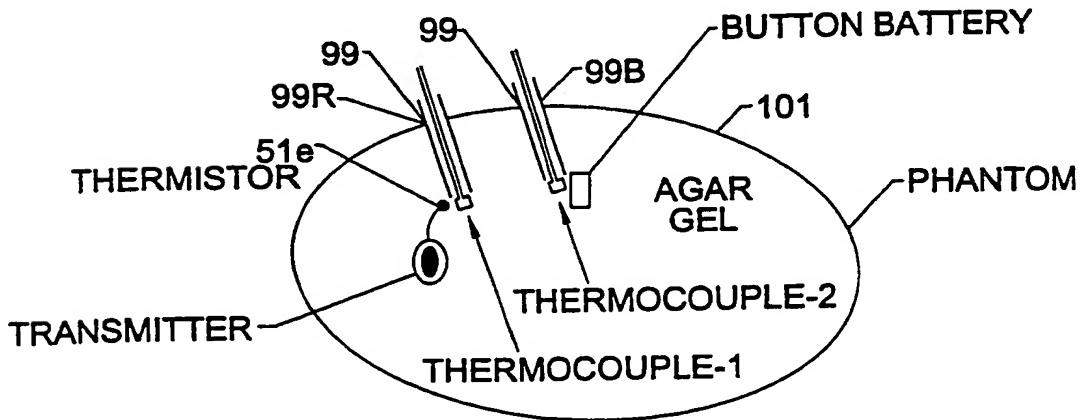
**FIG. 4.**

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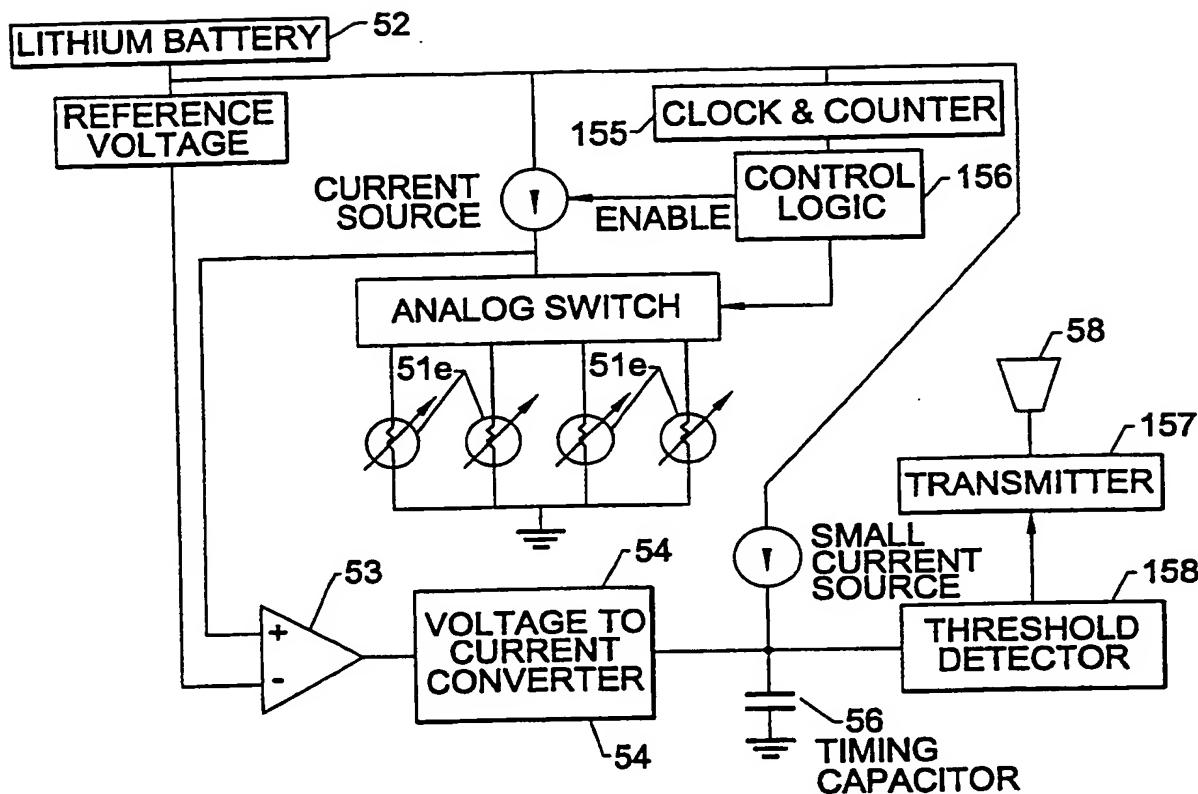
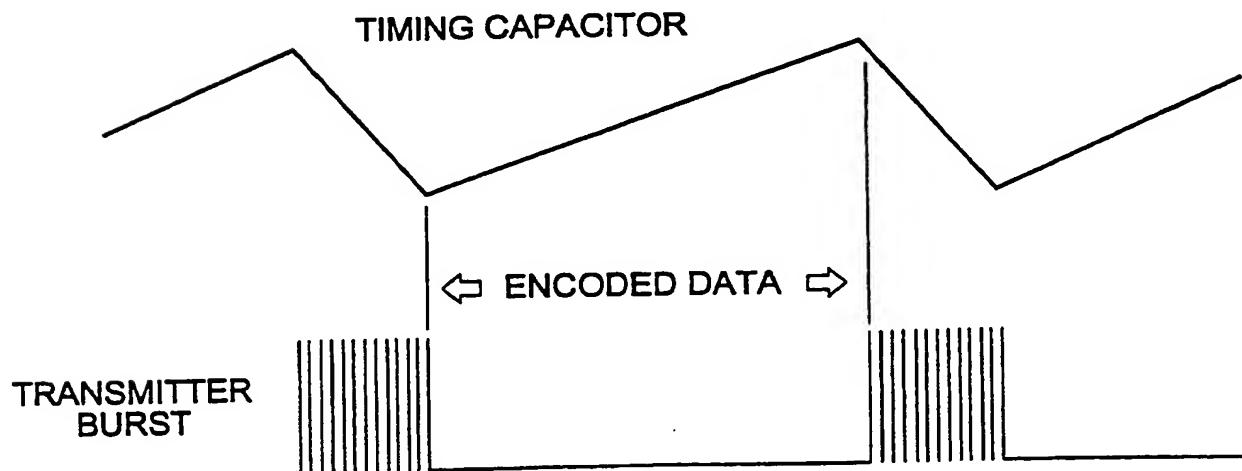
**FIG. 5.****FIG. 6A.****FIG. 6B.**



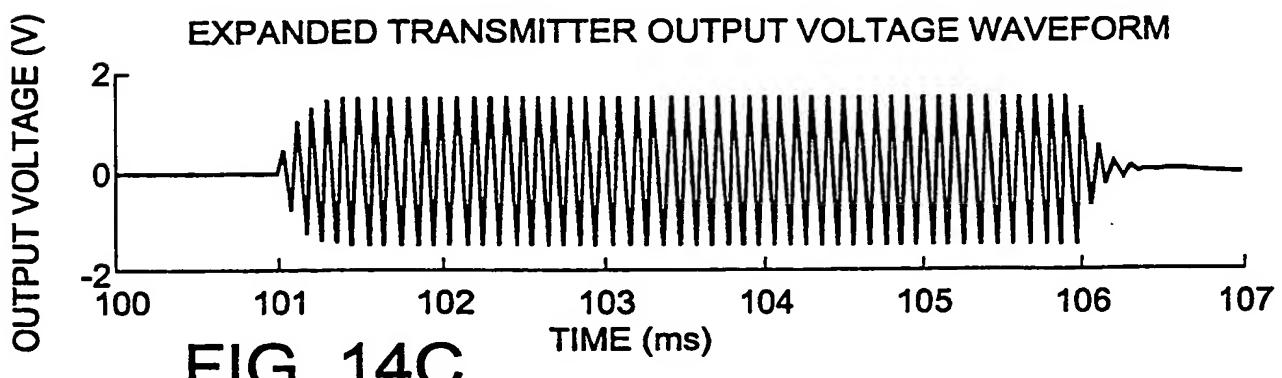
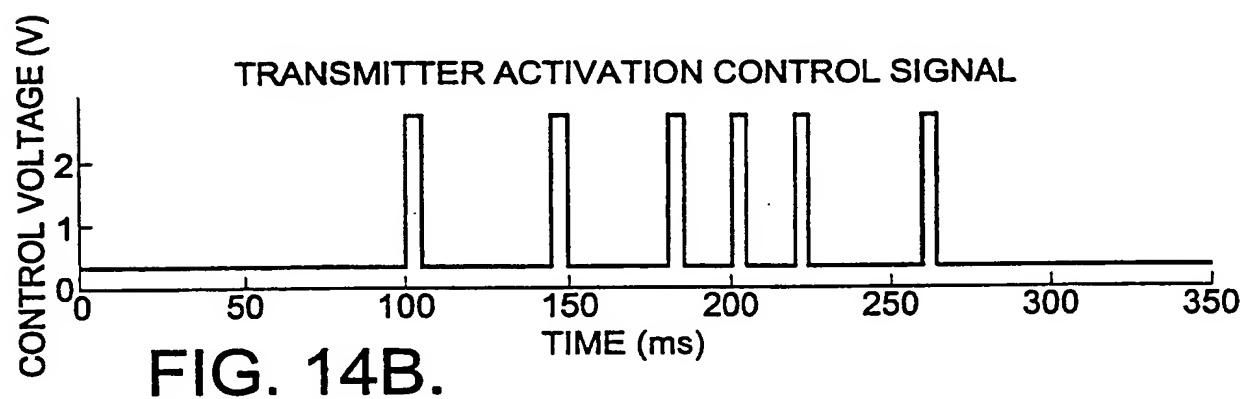
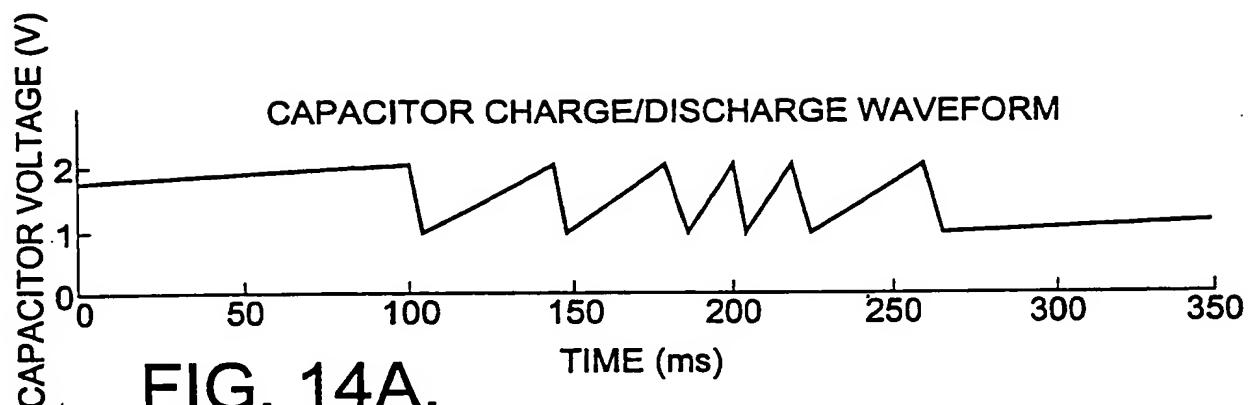
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FIG. 10A.FIG. 10B.FIG. 11.

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**FIG. 12.****FIG. 13.**

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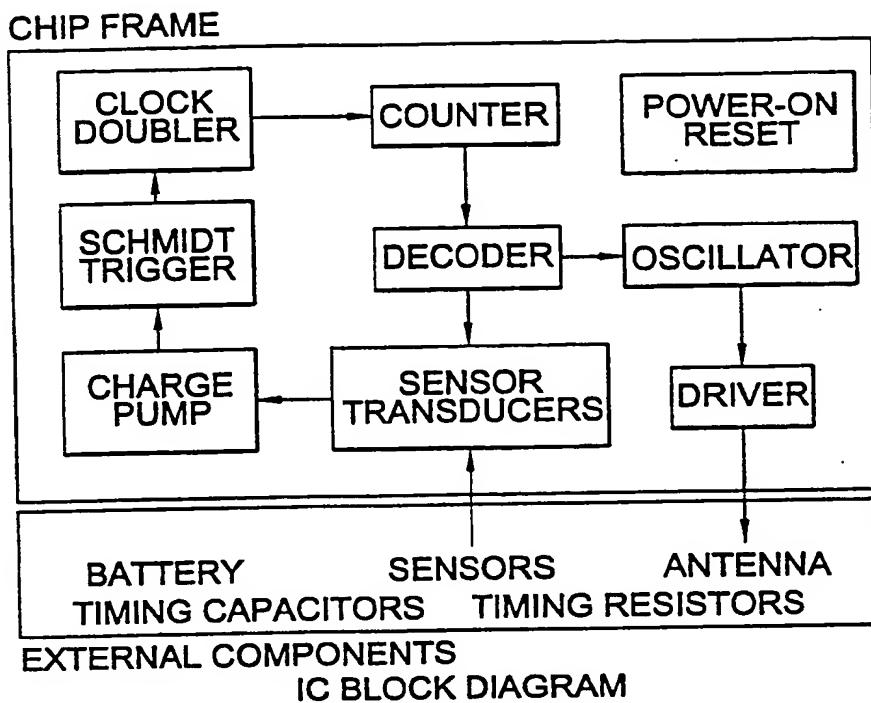
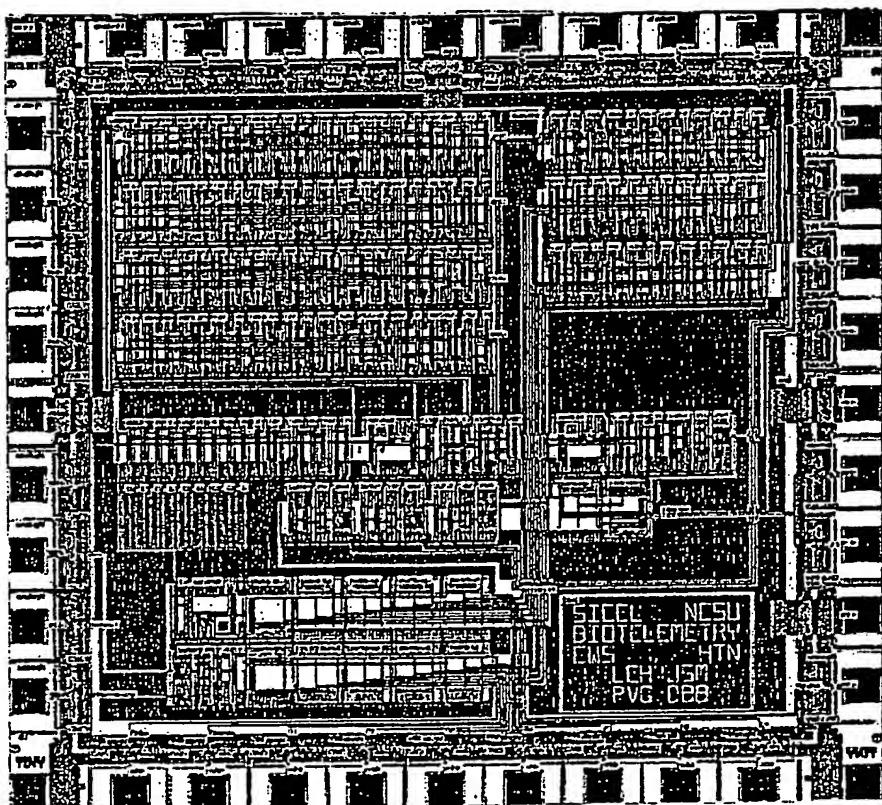
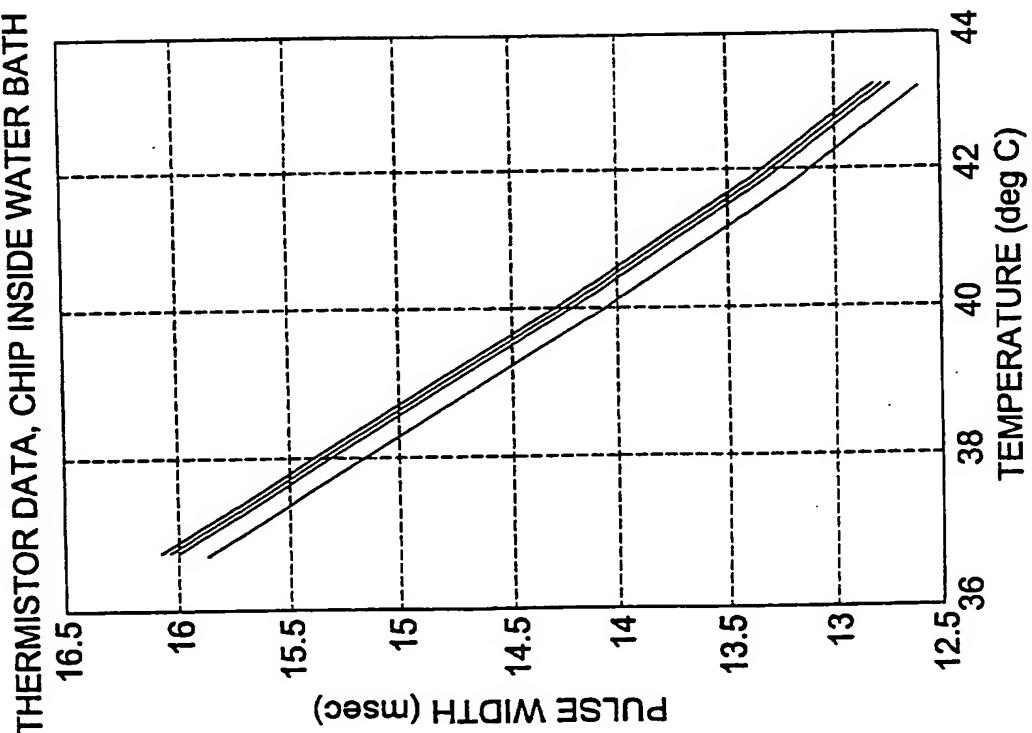
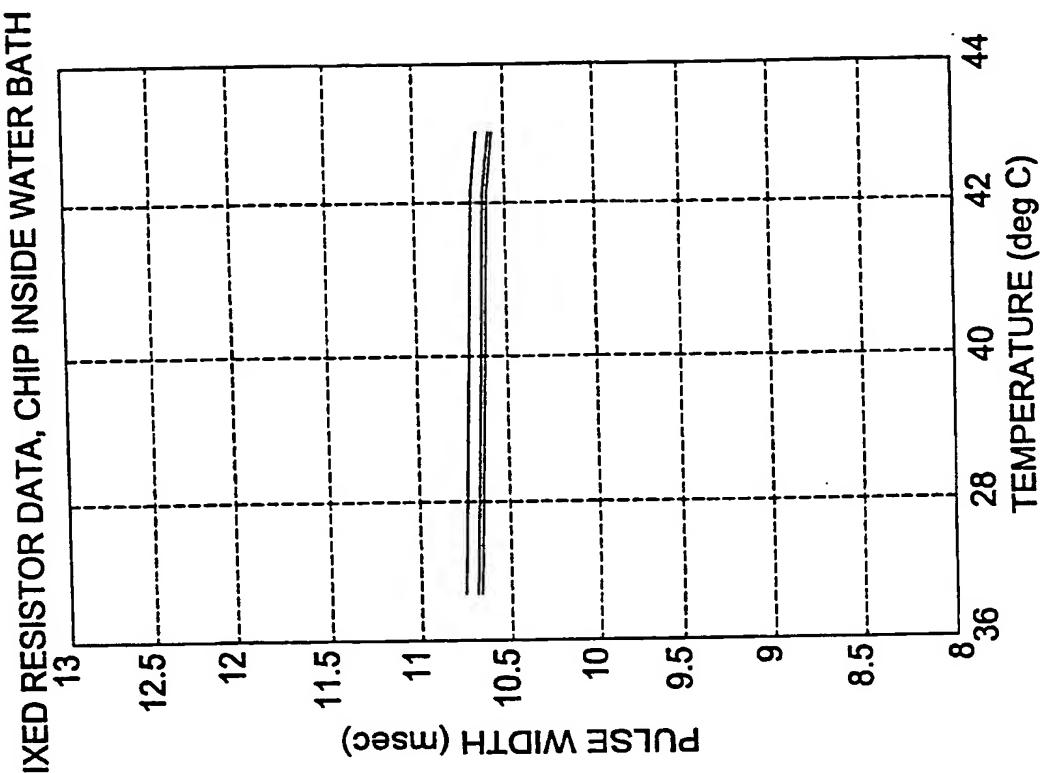


FIG. 15.

FIG. 16.
IC LAYOUT

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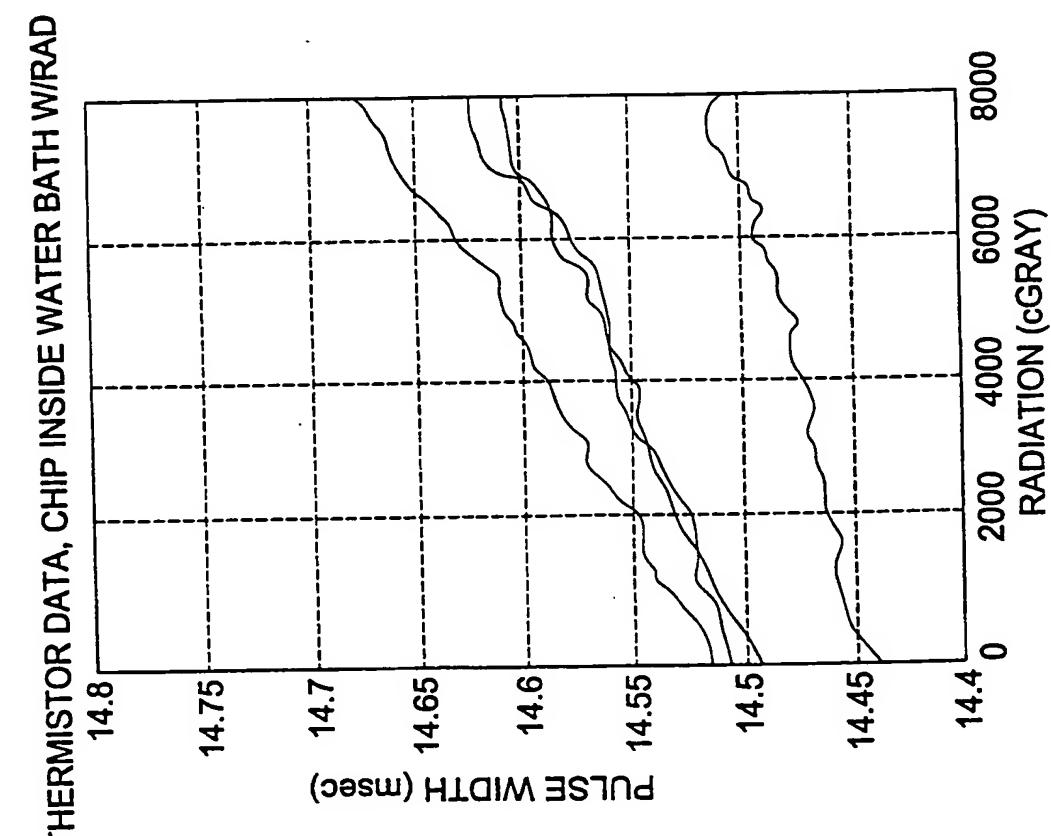


FIG. 18A.

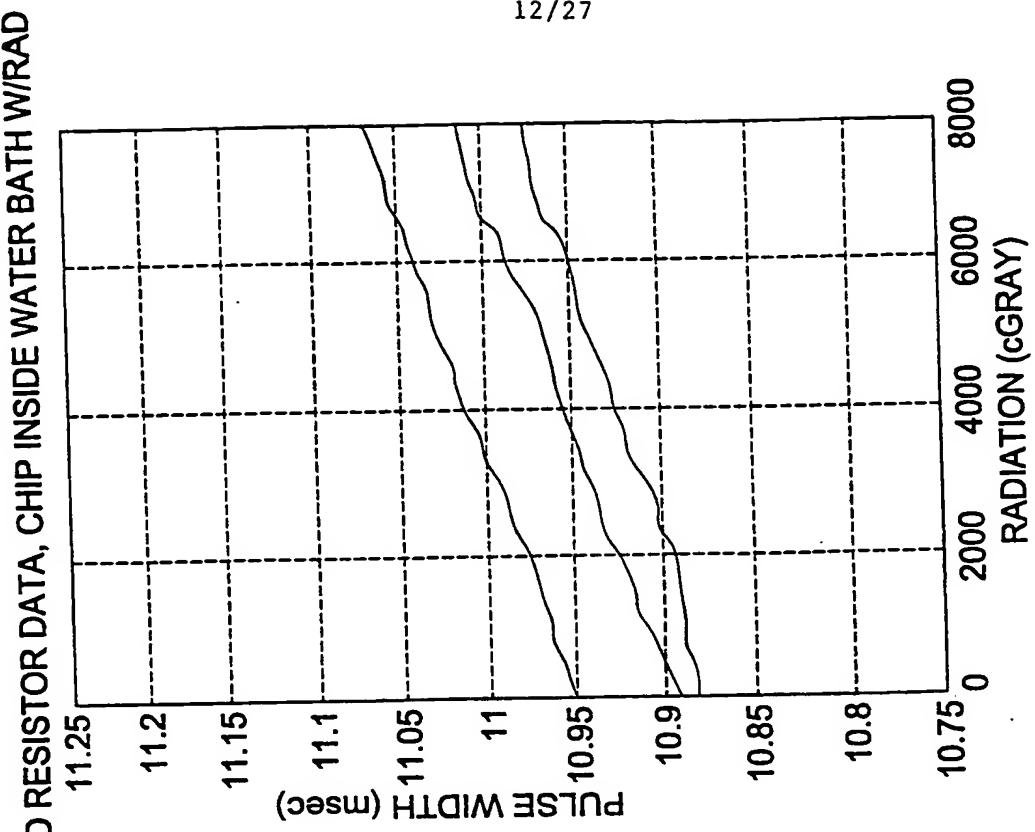


FIG. 18B.

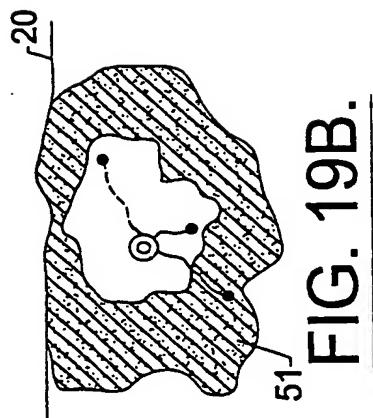
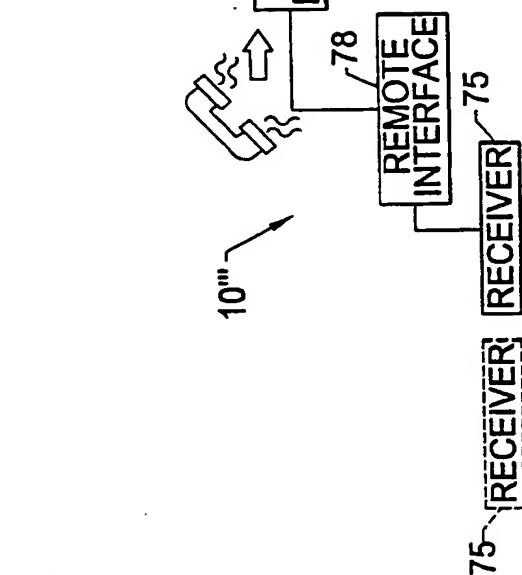
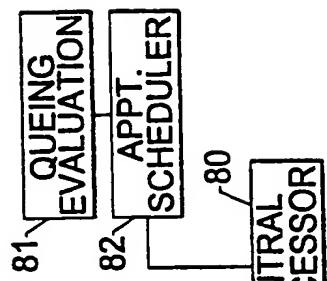
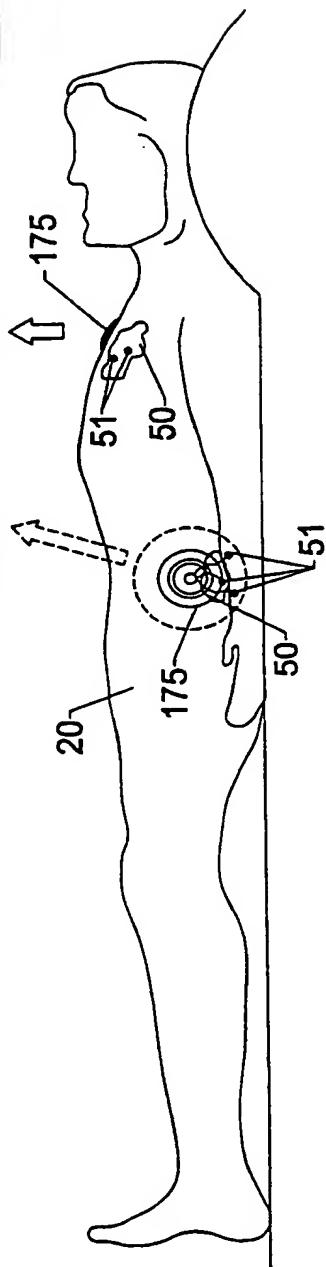
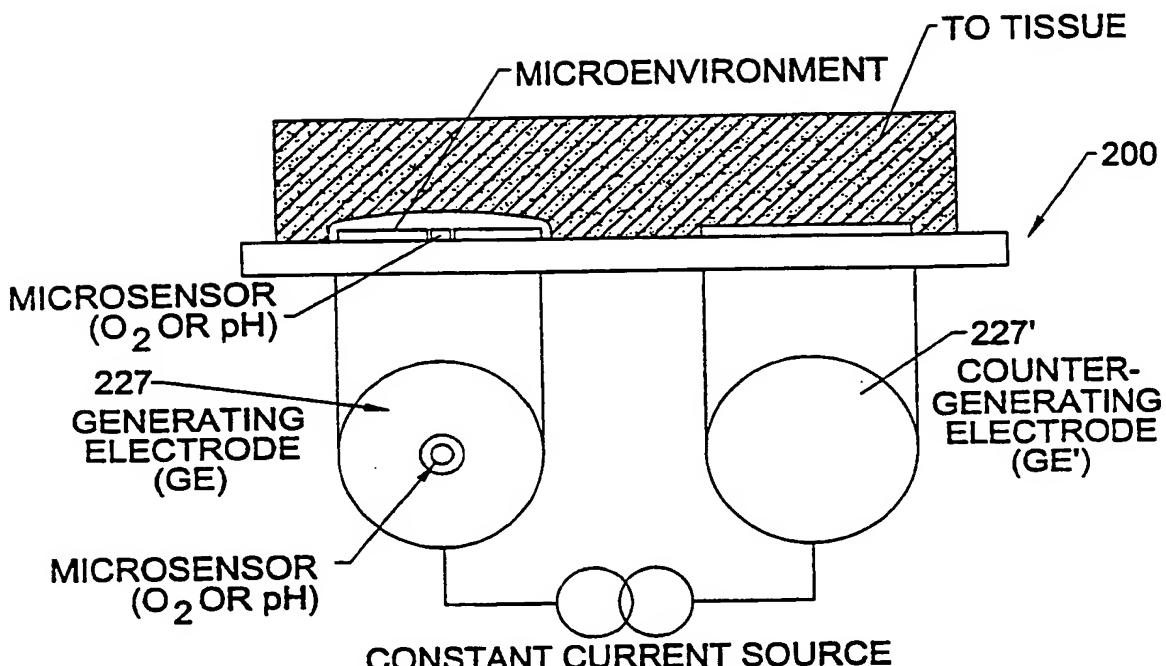


FIG. 19B.

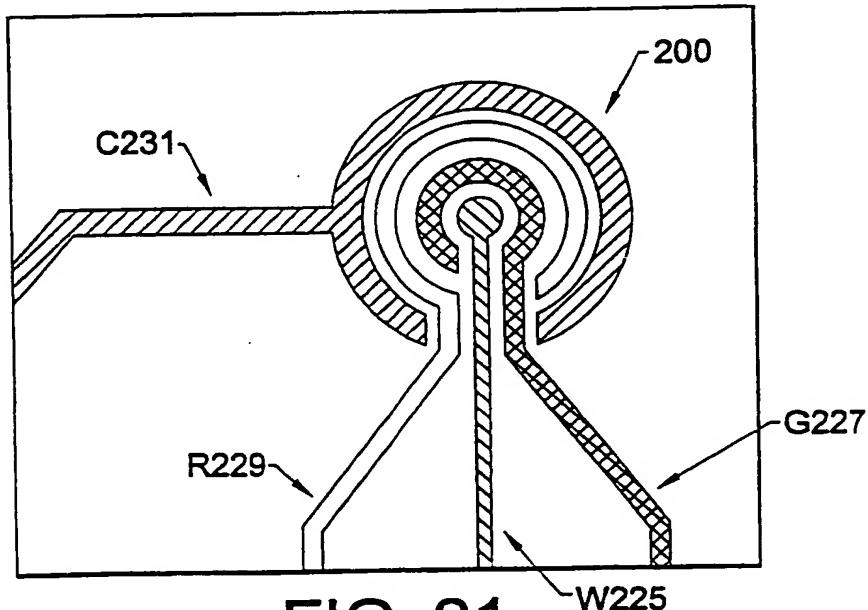
FIG. 19A.



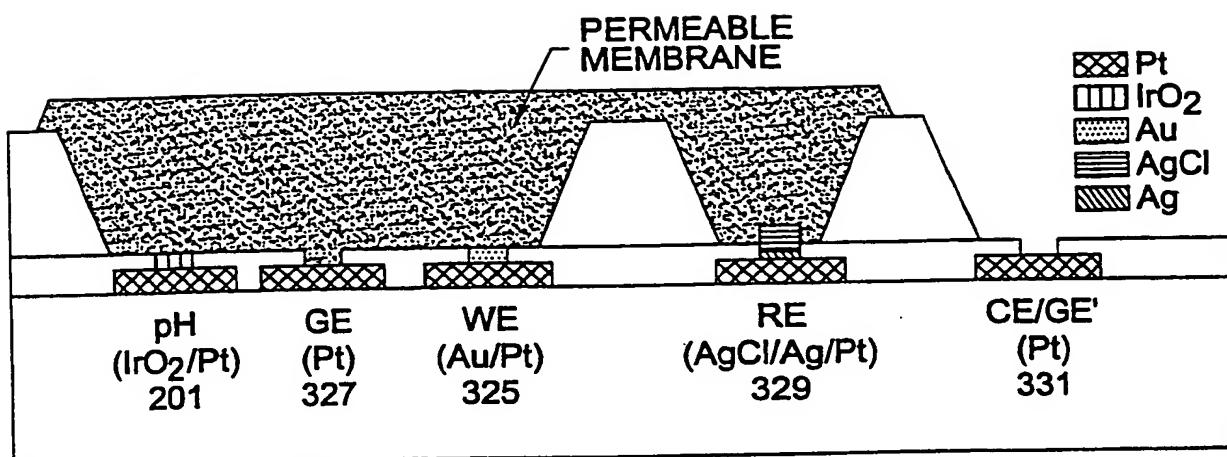
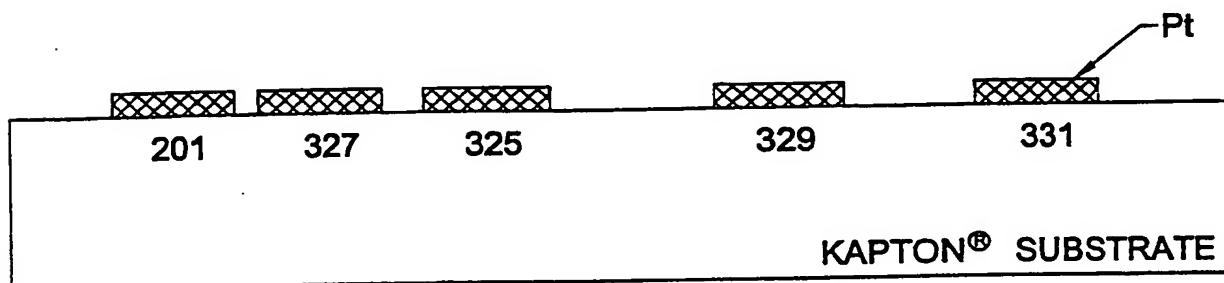
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OPERATING PRINCIPLE OF SELF-CALIBRATING, *IN SITU, IN VIVO* MICROSENSOR. THE MICROENVIRONMENT CONTROLLED BY THE MAGNITUDE AND DIRECTION OF THE GENERATING CURRENT; OXYGEN-SATURATION OR OXYGEN-DEPLETION DEPENDS ON ANODIC OR CATHODIC BEHAVIOR OF GE.

FIG. 20.**FIG. 21.**

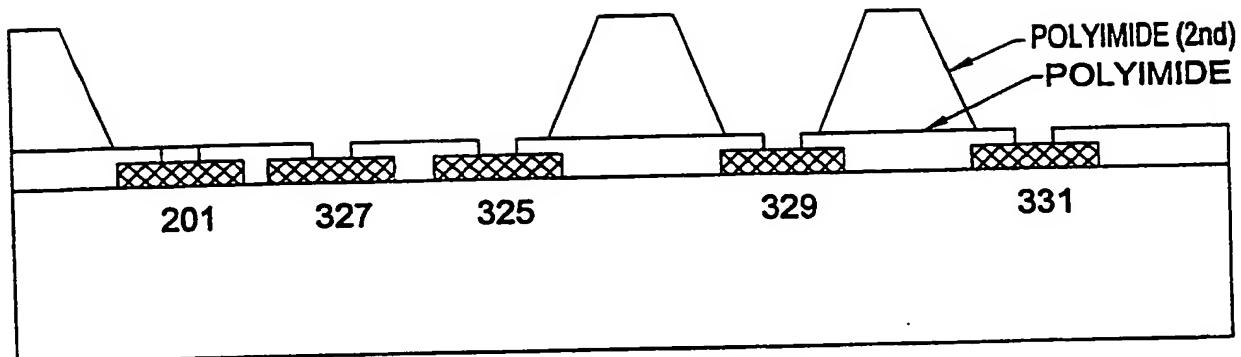
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**FIG. 22.**

SURFACE CLEANING
METAL (Pt) DEPOSITION
PHOTOLITHOGRAPHY
METAL ETCHING

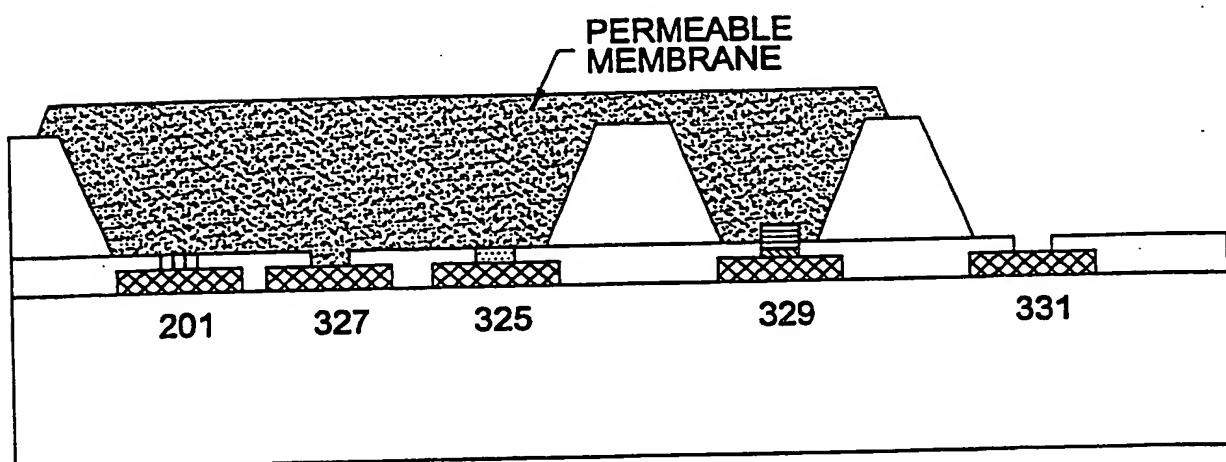
FIG. 23A.

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POLYIMIDE COATING
POLYIMIDE LITHOGRAPHY
POLYIMIDE COATING (2nd)
POLYIMIDE LITHOGRAPHY

FIG. 23B.



ELECTROPLATING (InO_2 , Au, AgCl/Ag)
PERMEABLE MEMBRANE CASTING

FIG. 23C.

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FIG. 24

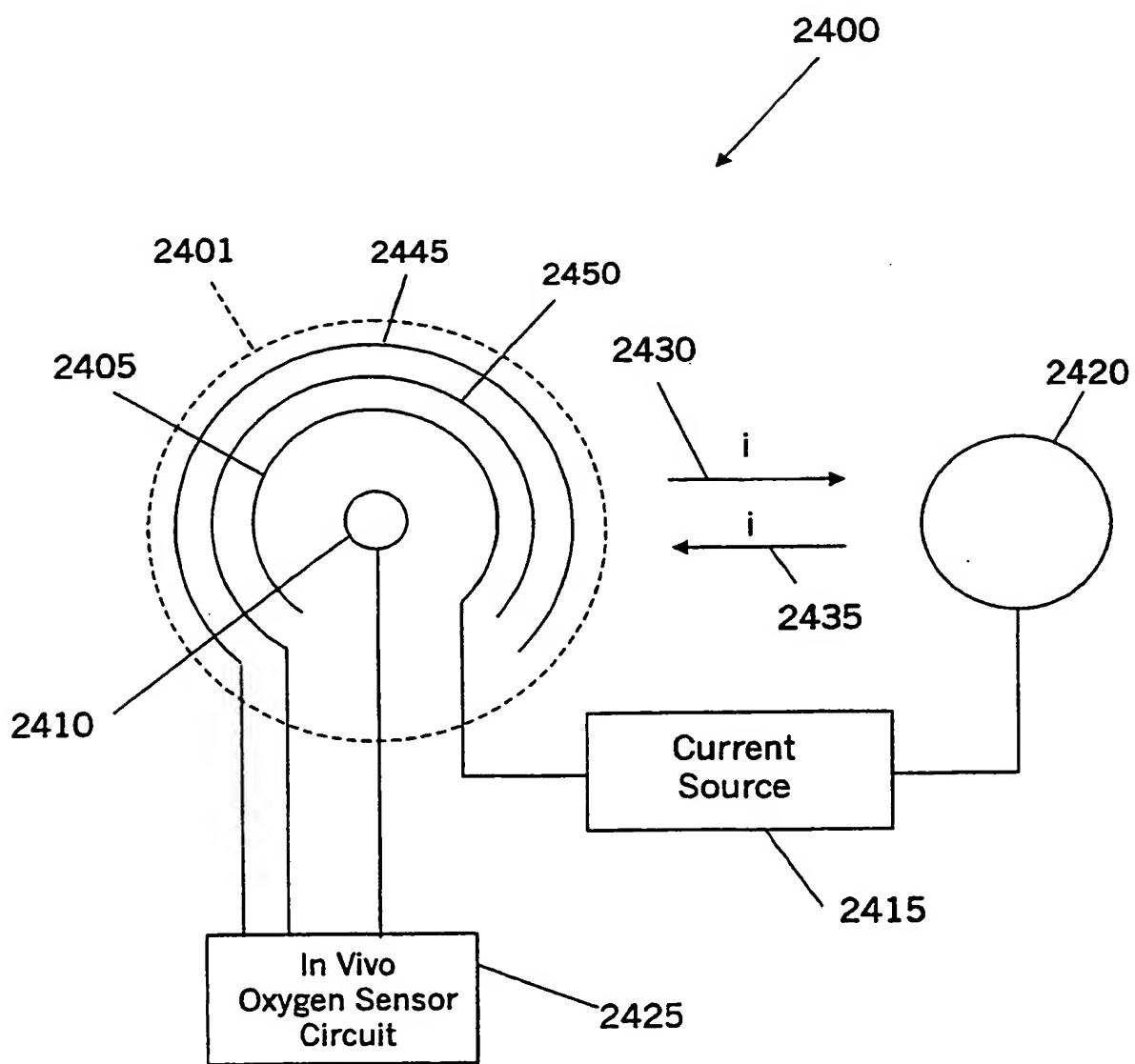


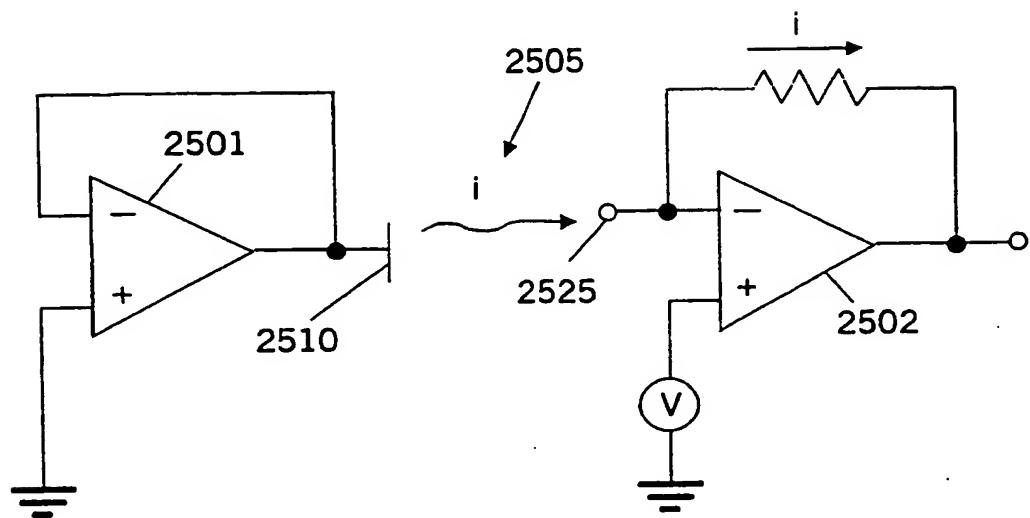
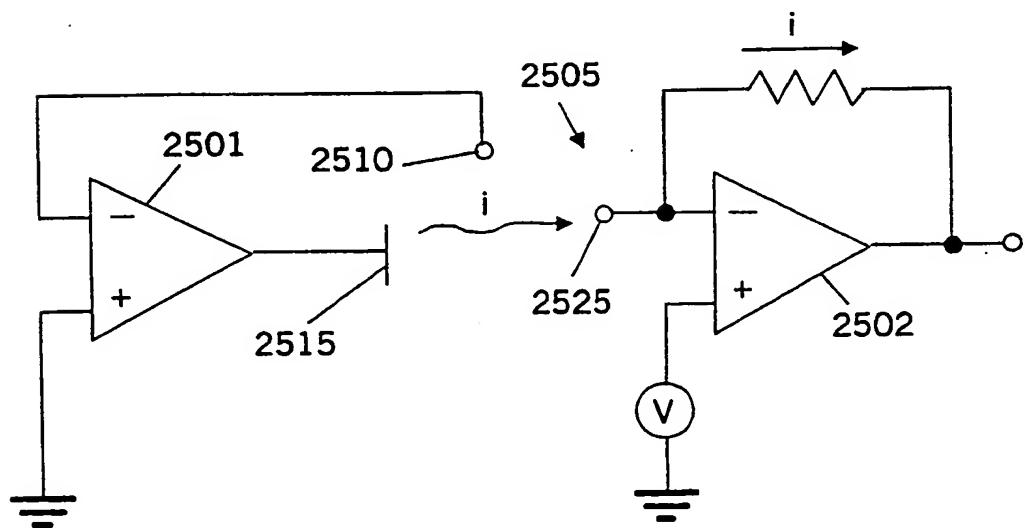
FIG. 25A**FIG. 25B**

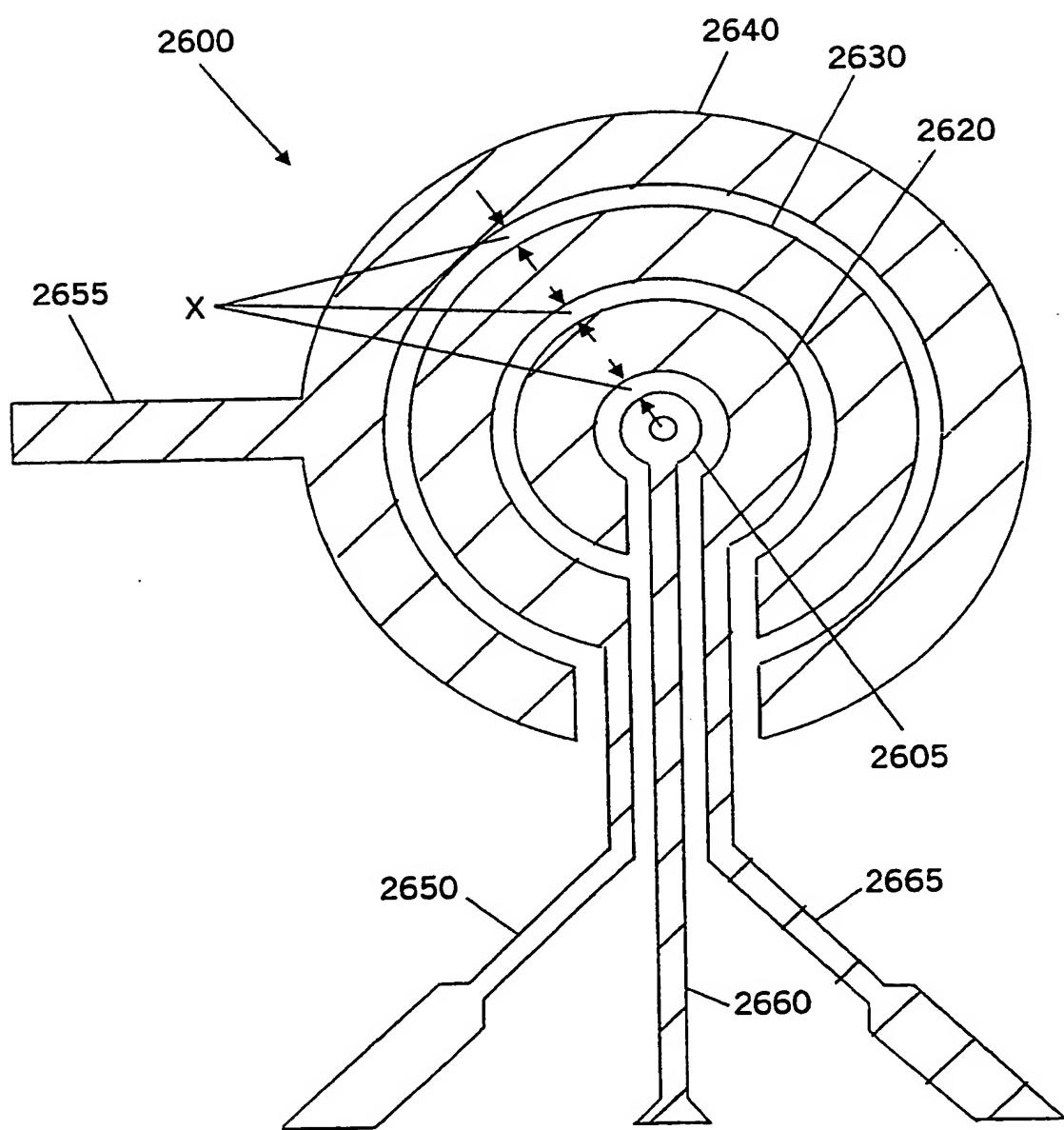
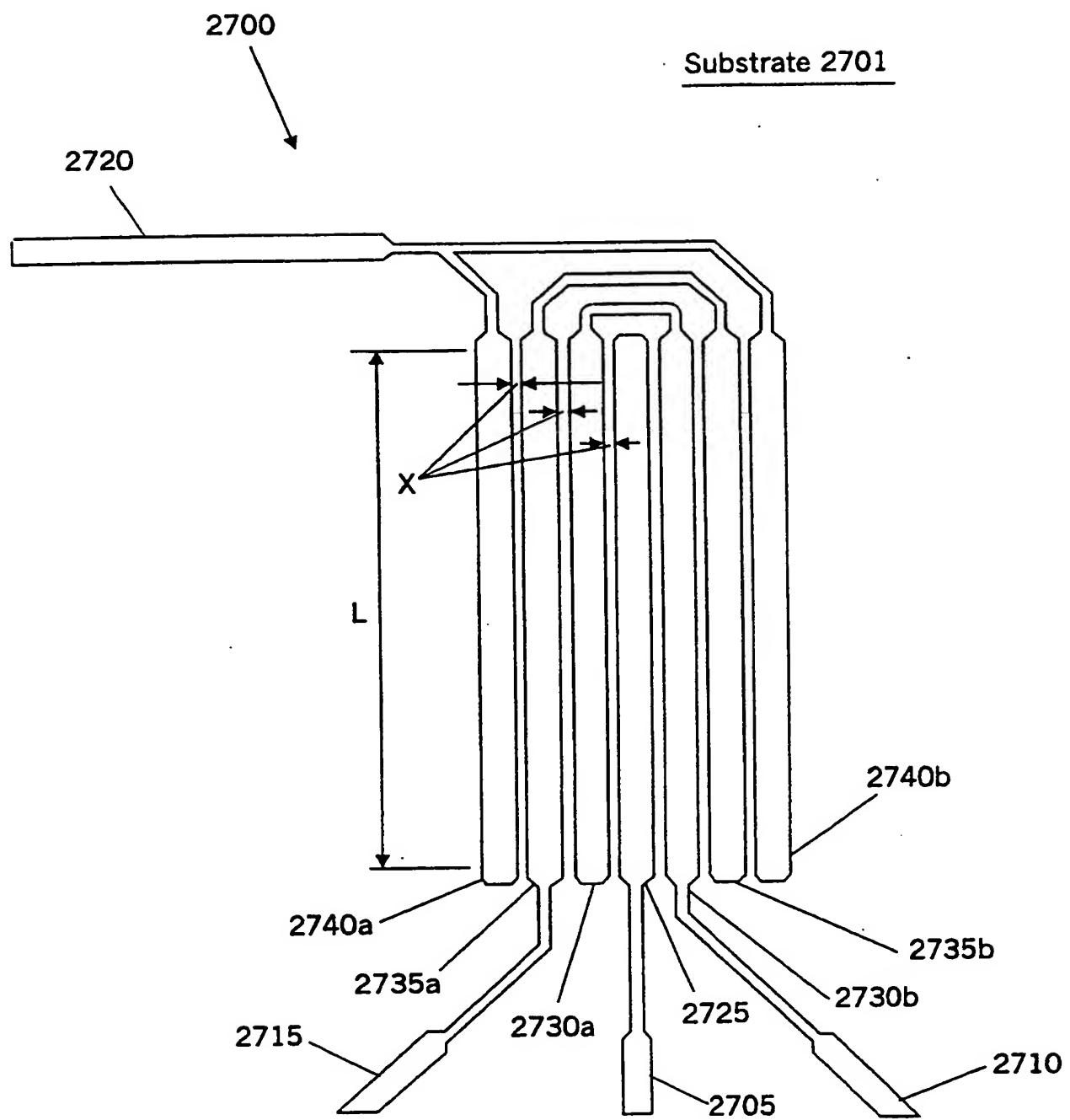
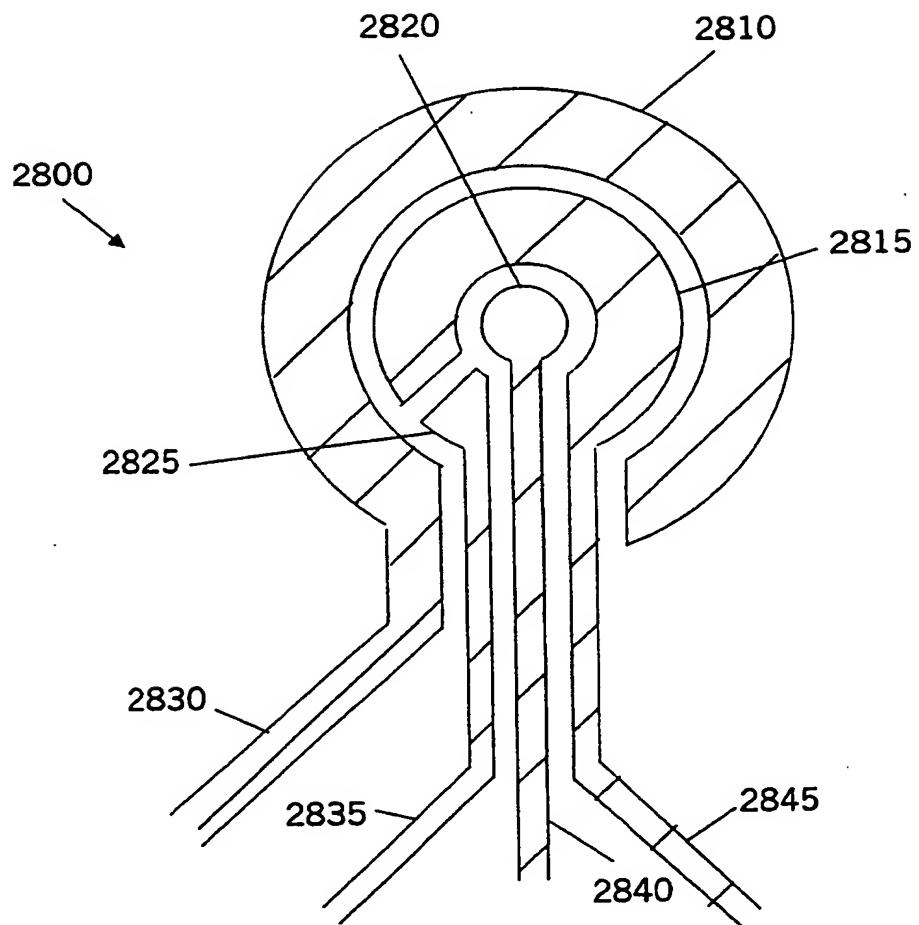
FIG. 26Substrate 2601

FIG. 27

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FIG. 28Substrate 2801

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FIG. 29

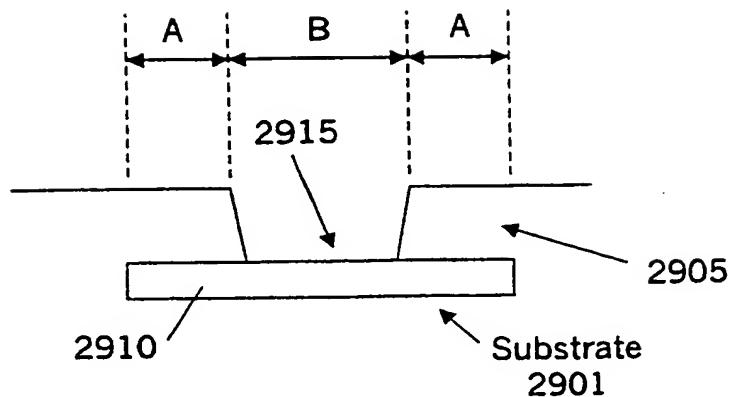


FIG. 31

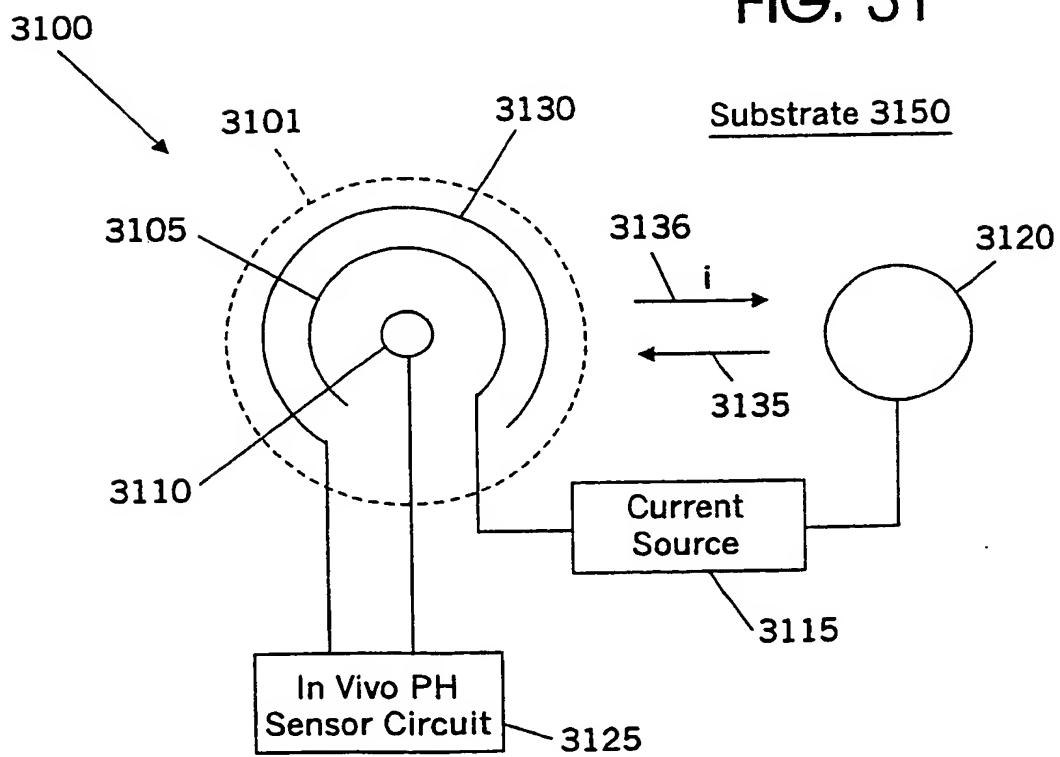


FIG. 30

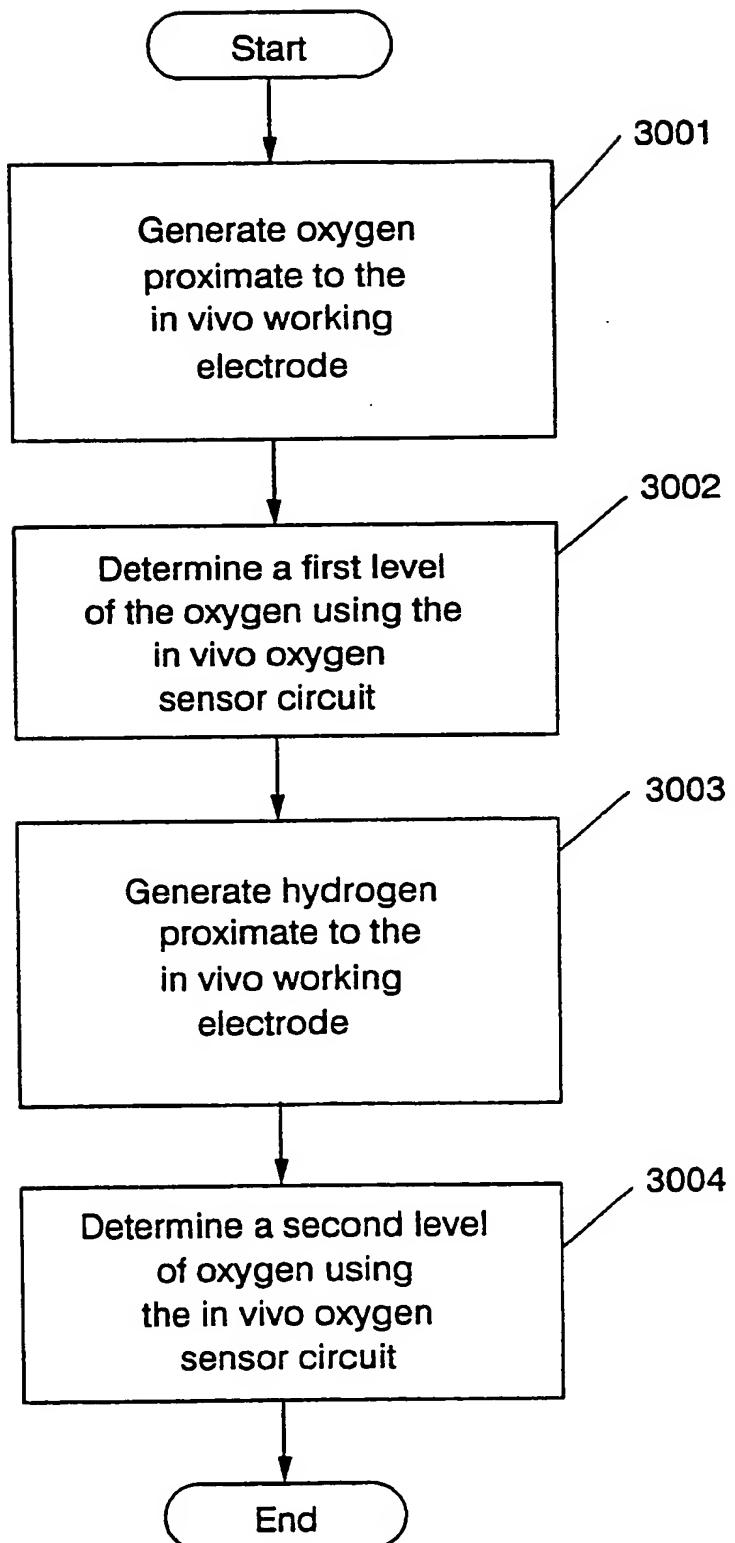
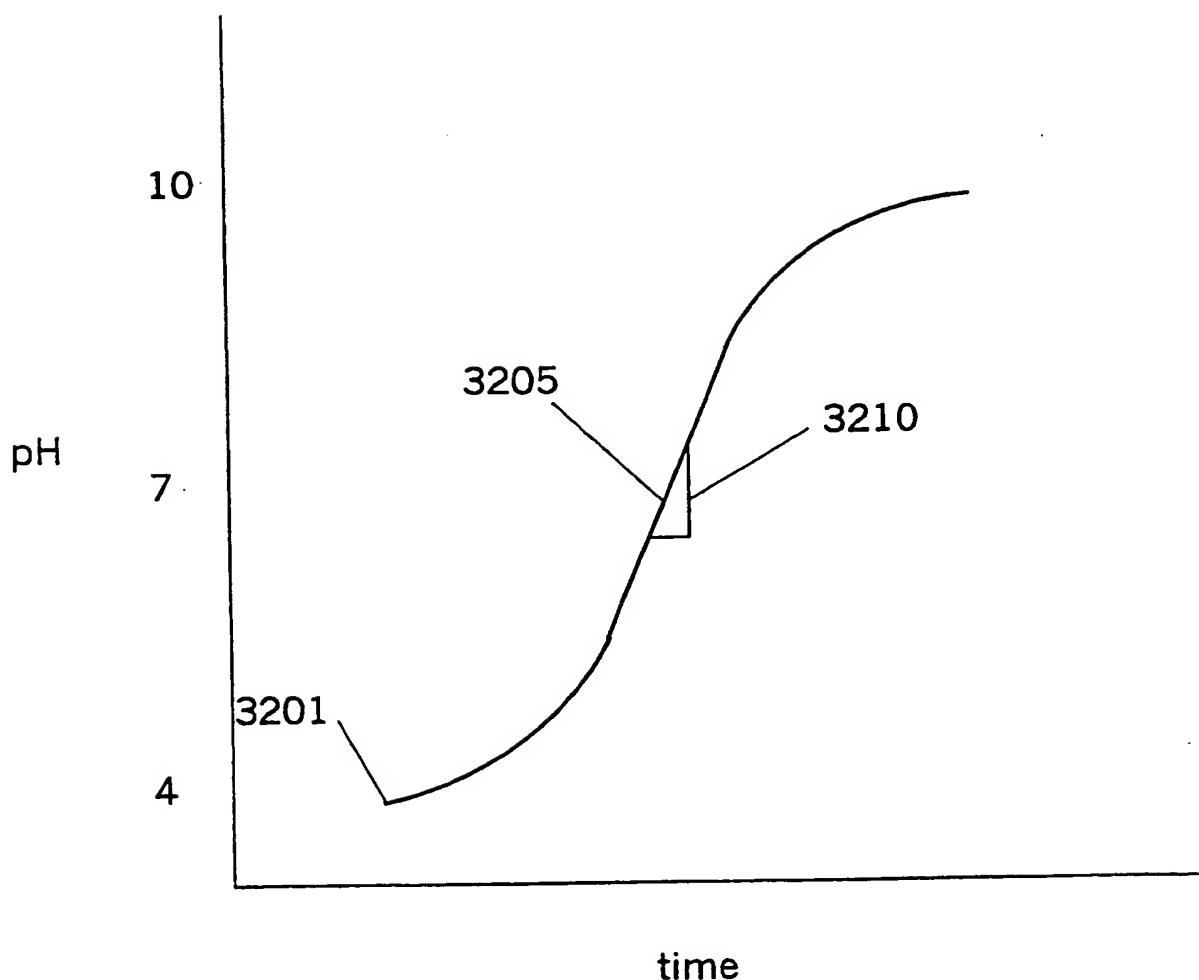


FIG. 32



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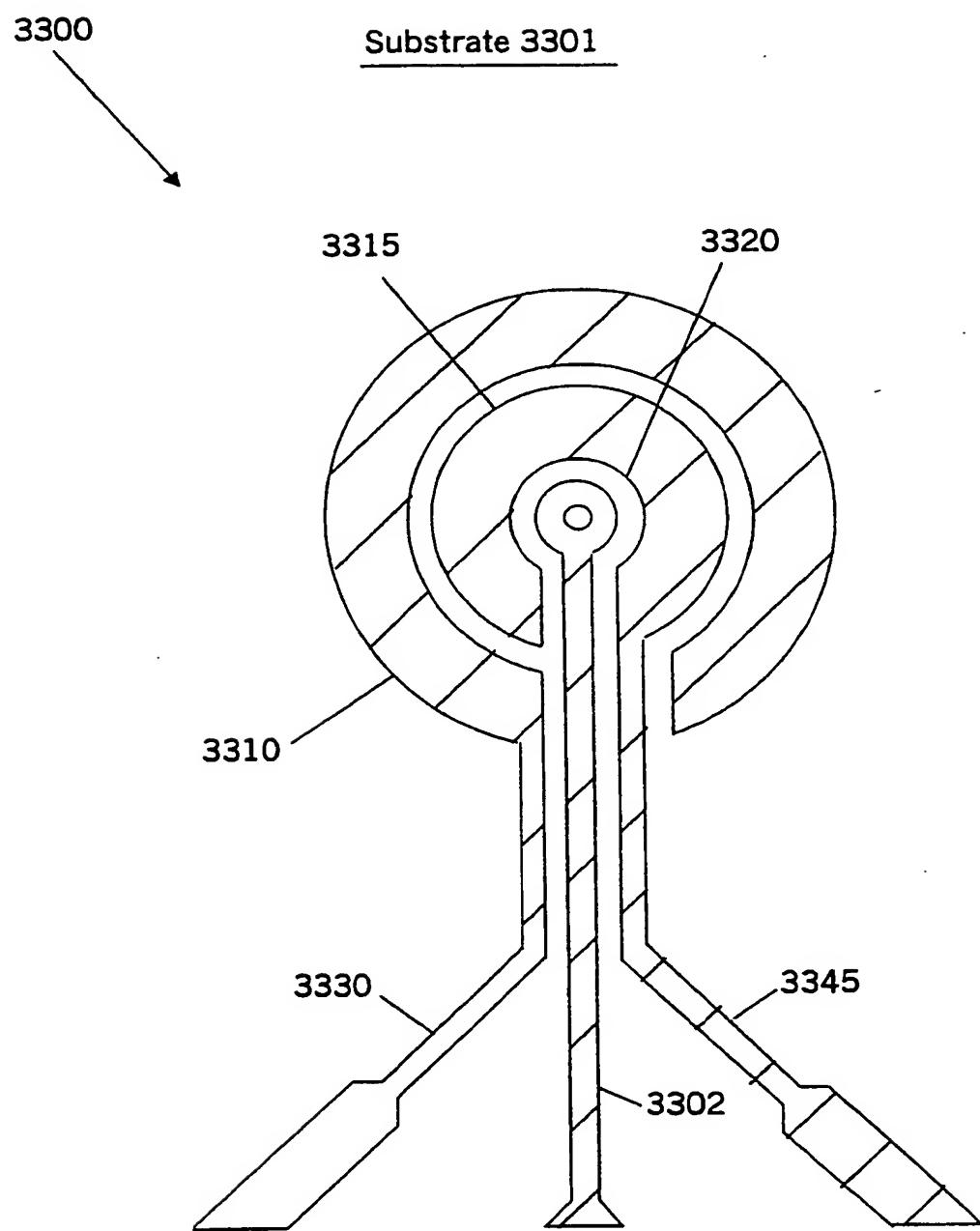
FIG. 33

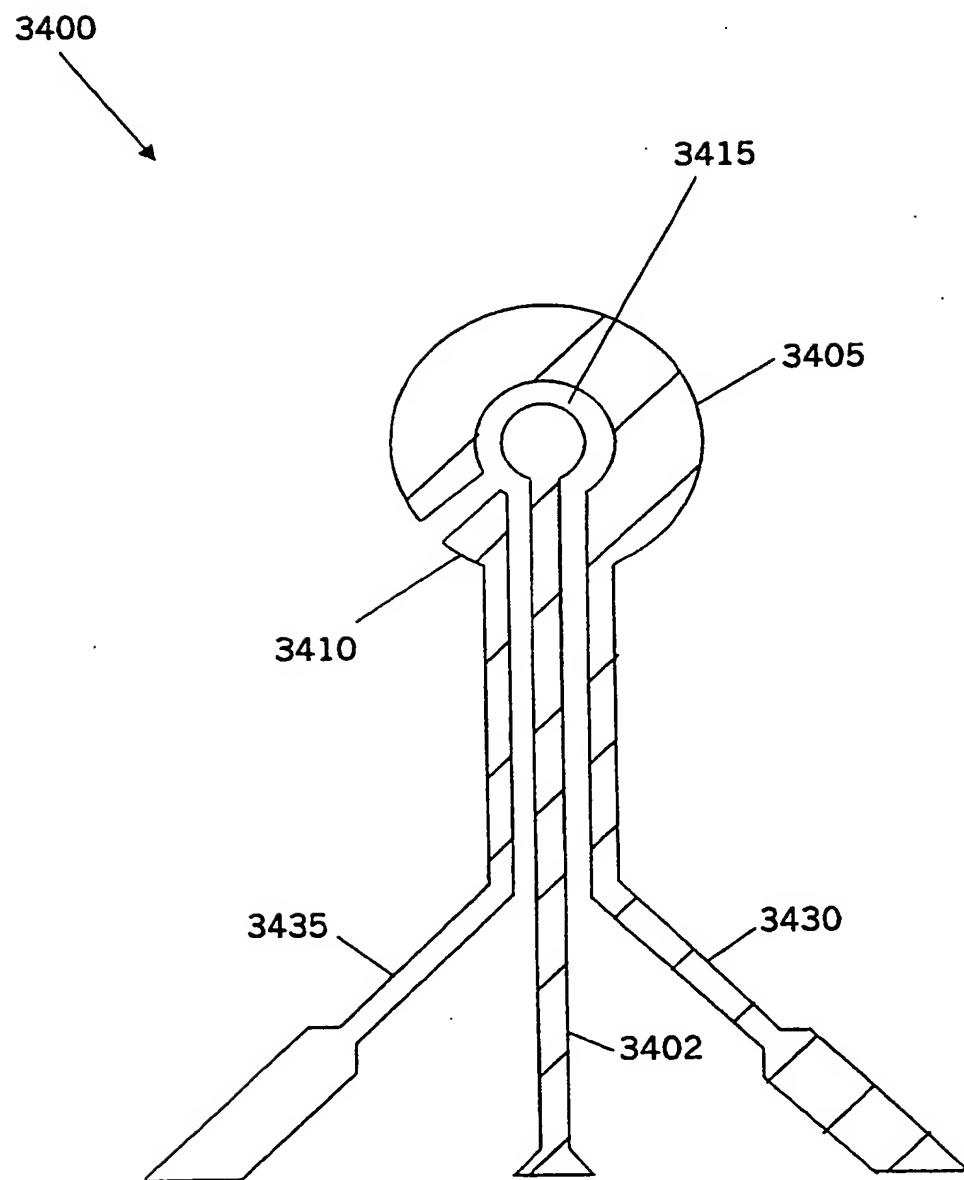
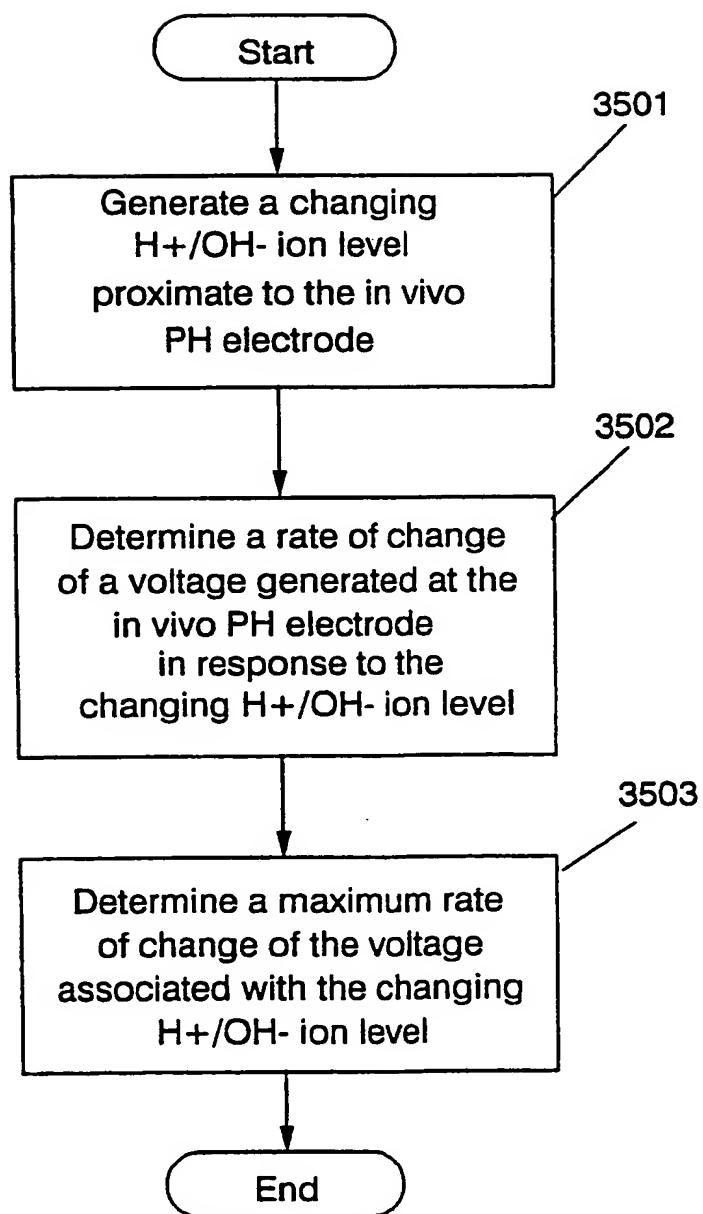
FIG. 34Substrate 3401

FIG. 35

INTERNATIONAL SEARCH REPORT

Inte Application No
PCT/US 00/08310

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61B5/00 C12Q1/00 G01N27/52

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61B C12Q G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 58250 A (ELAN CORP.) 23 December 1998 (1998-12-23) page 3, line 25 -page 16, line 8	1-5, 7, 10, 12 6, 11, 13-21, 24-31
	page 18, line 7 -page 30, line 20	
X	US 4 655 880 A (CASE WESTERN) 7 April 1987 (1987-04-07) column 4, line 2 -column 6, line 11 column 13, line 19 -column 14, line 58	32, 41
X	US 4 571 292 A (CASE WESTERN) 18 February 1986 (1986-02-18) column 10, line 23 - line 37	32
A		33-41
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

6 October 2000

25.10.2000

Name and mailing address of the ISA

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NL - 2280 HV Rijswijk
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Fax: (+31-70) 340-3016

Authorized officer

Lemercier, D

INTERNATIONAL SEARCH REPORT

Inte [REDACTED] Application No

PCT/US 00/08310

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 537 761 A (MATSUSHITA) 21 April 1993 (1993-04-21) column 6, line 14 -column 18, line 58 _____	32-41

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 00/08310

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

As a result of the prior review under R. 40.2(e) PCT,
no additional fees are to be refunded.

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-31

Methods of calibrating an in vivo sensor system

2. Claims: 32-41

Electrode assembly

INTERNATIONAL SEARCH REPORT

Information on patent family members

Inte [REDACTED] Application No

PCT/US 00/08310

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 9858250	A	23-12-1998		IE 970443 A AU 8031898 A EP 0990151 A ZA 9805189 A		16-12-1998 04-01-1999 05-04-2000 08-01-1999
US 4655880	A	07-04-1987		NONE		
US 4571292	A	18-02-1986		NONE		
EP 537761	A	21-04-1993		DE 69221808 D DE 69221808 T EP 0735363 A JP 2960265 B JP 5340915 A US 5264103 A JP 2658769 B JP 5196596 A		02-10-1997 02-04-1998 02-10-1996 06-10-1999 24-12-1993 23-11-1993 30-09-1997 06-08-1993

What is Claimed:

1. A method for calibrating an in vivo sensor system, the method comprising the steps of:
generating a constituent element of an environment proximate to an in vivo sensor electrode via an in vivo generating electrode; and
determining a level of the constituent element in the tissue via the in vivo sensor electrode.

2. A method according to Claim 1 further comprising the step of:
calibrating the in vivo sensor circuit based on the level of the constituent element using a chronically tissue-implanted device.

3. A method according to Claim 1, wherein the step of generating comprises the steps of:
generating a first constituent element at a first time at the in vivo generating electrode; and
generating a second constituent element at a second time at the in vivo generating electrode.

4. A method according to Claim 3, wherein the step of determining comprises the steps of:
determining a first level of the constituent element between the first time and the second time; and
determining a second level of the constituent element after the second time.

5. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of generating oxygen, and wherein the step of generating the second constituent element comprises the step of generating hydrogen.

6. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of generating an oxygen saturated environment proximate to the in vivo sensor electrode, and wherein the step of generating the second constituent element comprises the step of generating a hydrogen saturated environment proximate to the in vivo sensor electrode.

7. A method according to Claim 1, wherein the step of generating comprises the step of generating the constituent element using electrolysis.

8. A method according to Claim 3, wherein the step of generating the second constituent element is preceded by the step of waiting a time interval to allow the generated first constituent element to dissipate.

9. A method according to Claim 8, wherein the time interval comprises about 1 minute.

10. A method according to Claim 3, wherein the step of generating the first constituent element comprises the step of conducting a current from a first in vivo generating electrode to a second in vivo generating electrode through the tissue.

11. A method according to Claim 10, wherein the step of generating the second constituent element comprises the step of conducting the current from the second in vivo generating electrode to the first in vivo generating electrode through the tissue.

12. A method according to Claim 3, wherein the step of calibrating comprises the step of determining a relationship between a current conducted by the in vivo sensor electrode and a third level of the constituent element using the first and second levels of the constituent element.

13. A method according to Claim 1, wherein the step of generating comprises the step of generating a changing H⁺/OH⁻ ion level proximate to the in vivo sensor electrode.

14. A method according to Claim 13, wherein the step of determining comprises the step of determining a rate of change of a voltage generated at the in vivo sensor electrode in response to the changing H⁺/OH⁻ ion level.

15. A method according to Claim 12, wherein the step of calibrating comprises the steps of:

determining a maximum rate of change of the voltage; and
associating the maximum rate of change of the voltage with a voltage level that is associated with a neutral pH level.

16. A method for calibrating an in vivo sensor system, the method comprising the steps of:

generating a first constituent element of an environment proximate to an in vivo sensor electrode at an in vivo generating electrode;

determining a first level of the first constituent element via the in vivo sensor electrode;

generating a second constituent element of the environment proximate to the in vivo sensor electrode at the in vivo generating electrode; and

determining a second level of the second constituent element using an in vivo sensor electrode.

17. A method according to Claim 16 further comprising the step of:
calibrating the in vivo sensor using an in vivo device.

18. A method according to Claim 17, wherein the step of calibrating comprises the step of determining a relationship between a current in the environment and a third level of the first constituent element using the first and second levels of the constituent elements.

19. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating oxygen, and wherein the step of generating the second constituent element comprises the step of generating hydrogen.

20. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating an oxygen saturated environment, and wherein the step of generating the second constituent element comprises the step of generating an oxygen depleted environment.

21. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of generating the first constituent element using electrolysis.

22. A method according to Claim 16, wherein the step of generating the second constituent element is preceded by the step of waiting a time interval to allow the generated first level of the first constituent element to dissipate.

23. A method according to Claim 22, wherein the time interval comprises about 1 minute.

24. A method according to Claim 16, wherein the step of generating the first constituent element comprises the step of conducting a current from the first in vivo generating electrode to a second in vivo generating electrode.

25. A method according to Claim 24, wherein the step of generating the second constituent element comprises the step of conducting a current from the second in vivo generating electrode to the first in vivo generating electrode.

26. A method for calibrating an in vivo sensor system, the method comprising the steps of:

generating a changing H⁺/OH⁻ ion level proximate to an in vivo sensor electrode;

determining a rate of change of a voltage at the in vivo sensor electrode generated in response to the changing H⁺/OH⁻ ion level; and

determining a rate of change of the voltage associated with the changing H⁺/OH⁻ ion level.

27. A method according to Claim 26 further comprising the step of: calibrating the in vivo sensor based on the determined rate of change of the voltage using an in vivo device.

28. A method according to Claim 27, wherein the step of calibrating comprises the step of associating the rate of change of the voltage with a voltage level that is associated with a pH level.

29. A method according to Claim 26, wherein the step of generating comprises the steps of:

generating an increase in OH⁻ ions and a decrease in H⁺ ions proximate to the in vivo sensor electrode; and

generating a decrease in OH⁻ ions and an increase in H⁺ ions proximate to the in vivo sensor electrode.

30. A method according to Claim 29, wherein the generating steps are performed more than once.

31. A method according to Claim 27, wherein the step of calibrating comprises the step of associating a maximum rate of change of the voltage with a voltage level that is associated with a neutral pH level.

32. An electrode assembly comprising:
an in vivo substrate;
an in vivo generating electrode, on the substrate, having a first shape that defines an interior region; and
an in vivo sensor electrode, spaced-apart from the in vivo generating electrode on the substrate, having a second shape and positioned in the interior region in a non-contacting relationship with the in vivo generating electrode.

33. An electrode assembly according to Claim 32, wherein the first shape comprises a u shape and wherein the second shape comprises a strip shape.

34. An electrode assembly according to Claim 32, wherein the in vivo generating electrode and the in vivo sensor electrode are spaced-apart by about 1micrometer.

35. An electrode assembly according to Claim 32, wherein the first shape comprises an open circular shape defining a first central axis therethrough and wherein the second shape comprises a circular shape defining a second central axis therethrough, wherein the first and second central axes are co-axial.

36. An electrode assembly according to Claim 32 further comprising:
a reference electrode, on the substrate, having the first shape and that defines a second interior region, wherein the in vivo generating electrode and the in vivo sensor electrode are located in the second interior region in a non-contacting relationship with the in vivo reference electrode.

37. An electrode assembly according to Claim 36 further comprising:
a counter electrode, on the substrate, having the first shape and that defines a third interior region, wherein the in vivo generating electrode, the in vivo sensor electrode, and the in vivo reference electrode are located in the third interior region in a non-contacting relationship with the in vivo counter electrode.

38. An electrode assembly according to Claim 32 further comprising:
an insulating layer on the in vivo generating electrode and the in vivo sensor electrode, wherein a first portion of the in vivo sensor electrode is exposed through the insulating layer and has the first shape, and wherein a second portion of the in

vivo generating electrode is exposed through the insulating layer and has the second shape.

39. An electrode assembly according to Claim 37, wherein a length of the first portion of the in vivo generating electrode is about 50 times greater than a width of the first portion.

40. An electrode assembly according to Claim 32, wherein the in vivo generating electrode is configured to generate a first constituent element at a first time at the in vivo generating electrode and to generate a second constituent element at a second time at the in vivo generating electrode.

41. An electrode assembly according to Claim 32 further comprising a glucose selective enzyme on the in vivo sensor electrode.